

Investigations on Automata and Languages over a Unary Alphabet

Giovanni Pighizzini

Dipartimento di Informatica
Università degli Studi di Milano, Italy

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Unary or Tally Languages

- ▶ One letter alphabet $\Sigma = \{a\}$
- ▶ Many differences with the general case have been discovered

First example:

Theorem [Ginsurg&Rice '62]

Each unary context-free languages is regular

- ▶ Structural complexity: classes of *tally sets*
 - ▶ Hartmanis, 1972
 - ▶ Book, 1974, 1979
 - ▶ ...

Space complexity:

- ▶ Alt&Mehlhorn, 1975
- ▶ Geffert, 1993
- ▶ ...

Unary or Tally Languages

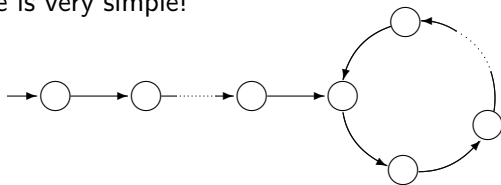
This talk:

- ▶ Focus mainly on *descriptive complexity aspects*
 - Optimal simulations between variants of unary automata
 - Unary two-way automata:
connection with the question $L \stackrel{?}{=} NL$
 - Unary context-free grammars and pushdown automata
- ▶ Devices accepting nonregular languages

Unary Automata

Unary One-Way Deterministic Automata (1DFAs)

The structure is very simple!



Theorem

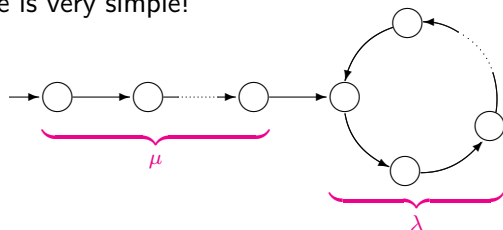
$L \subseteq \{a\}^*$ is regular iff $\exists \mu \geq 0, \lambda \geq 1$ s.t.

$$\forall n \geq \mu : a^n \in L \text{ iff } a^{n+\lambda} \in L$$

When $\mu = 0$ the language L is said to be *cyclic*

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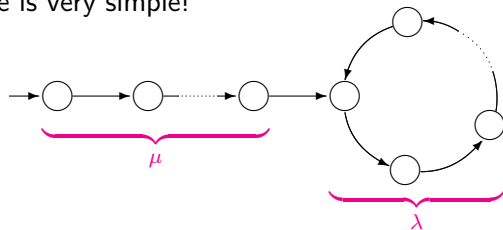
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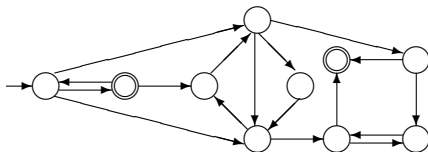
Unary One-Way Nondeterministic Automata (1NFAs)

The structure can be very complicated!

Each direct graph with

- ▶ *a vertex selected as initial state*
- ▶ *some vertices selected as final states*

is the transition diagram of a unary 1NFA!



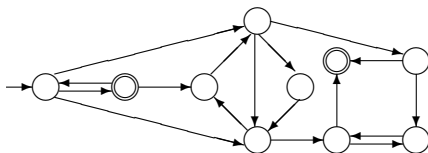
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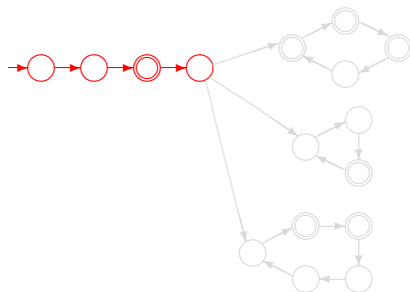


However, we can always obtain an equivalent 1NFA with a

- ▶ *simple* and
- ▶ *not too big*

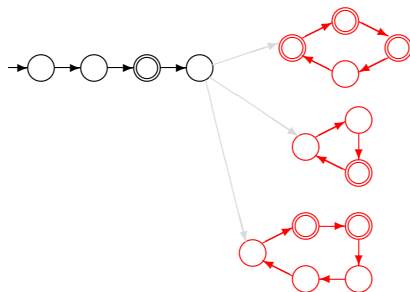
transition graph

Chrobak Normal Form for 1NFAs



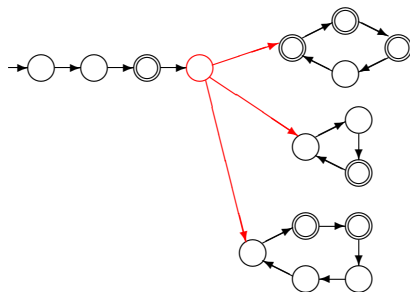
- ▶ *An initial deterministic path*
- ▶ *Some disjoint deterministic loops*
- ▶ *Only one nondeterministic decision*

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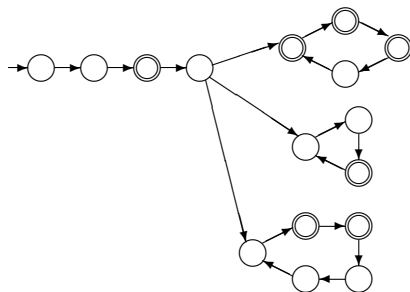
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Chrobak Normal Form for 1NFAs



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Theorem ([Chrobak '86])

Each unary n -state 1NFA can be converted into an equivalent 1NFA in Chrobak normal form with

- ▶ *an initial path of $O(n^2)$ states*
- ▶ *total number of states in the loops $\leq n$*

Conversion to Chrobak Normal Form for 1NFAs

- ▶ Subtle error in the original proof fixed by To (2009)
- ▶ Different transformation proposed by Geffert (2007)
- ▶ Polynomial time conversion algorithms
by Martinez (2004), Gawrychowski (2011), Sawa (2013)
- ▶ From the results by Geffert and Gawrychowski:
 - length of the initial path $\leq n^2 - n$
 - total number of states in the loops $\leq n - 1$
(except when the given 1NFA is the trivial loop of n states)

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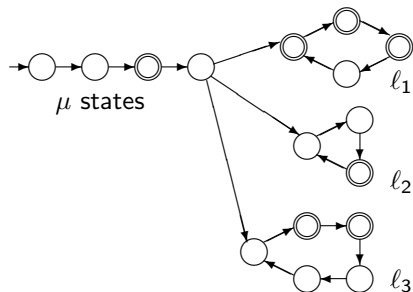
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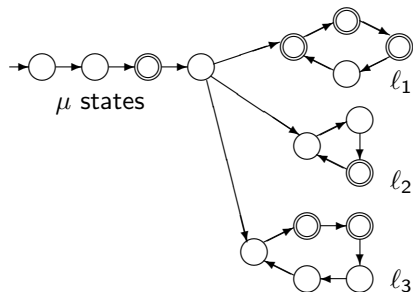
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Removing Nondeterminism from Unary Automata



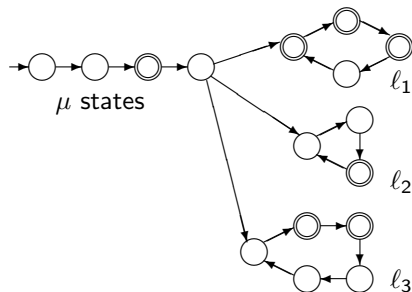
- ▶ **Keep the same initial path**
- ▶ Simulate all the loops “in parallel”
- ▶ A loop of $\text{lcm}\{l_1, l_2, \dots\}$ many states is enough
- ▶ Total number of states $\leq \mu + \text{lcm}\{l_1, l_2, \dots\}$
- ▶ From a n -state 1NFA:
 $\mu = O(n^2)$, $l_1 + l_2 + \dots \leq n$

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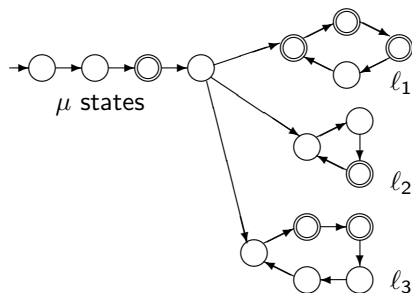
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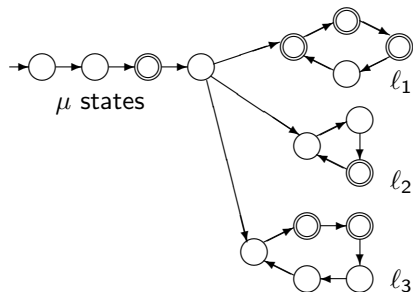
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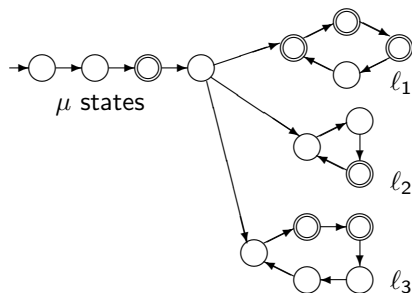
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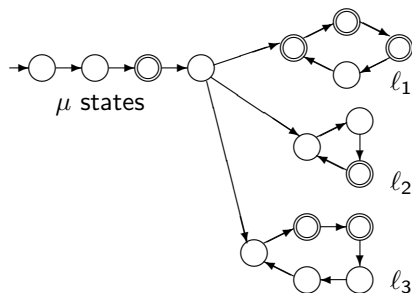


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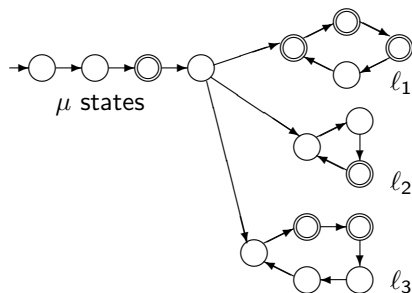
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Landau's function (1903)

$$F(n) = e^{\Theta(\sqrt{n \ln n})} \text{ [Szalay '80]}$$

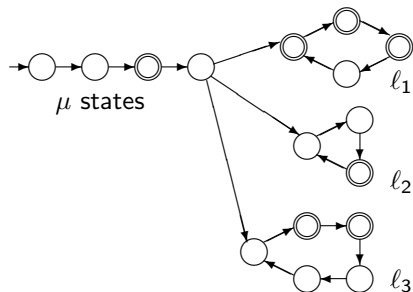
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- ▶ $F(n)$ states are also necessary in the worst case [Chrobak '86]

Removing Nondeterminism from Unary Automata



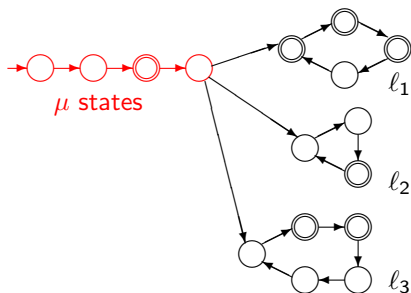
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Theorem ([Ljubič '64, Chrobak '86])

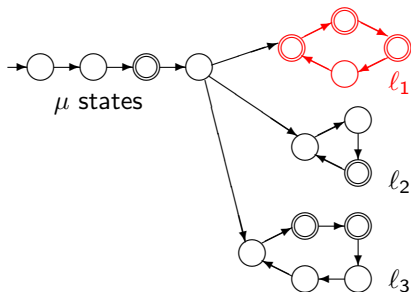
The state cost of the simulation of unary n -state 1NFAs by equivalent 1DFAs is $e^{\Theta(\sqrt{n \ln n})}$

From Chrobak Normal Form to Two-Way Automata



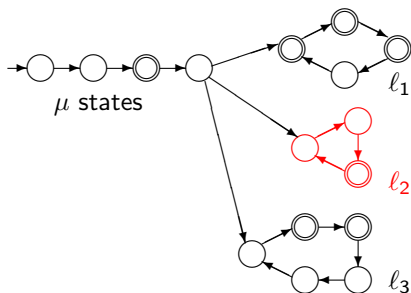
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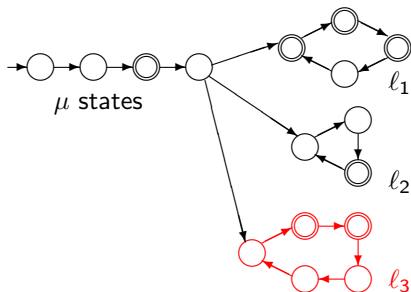
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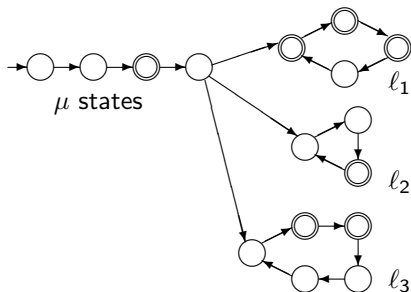
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$\mu + l_1 + l_2 + \dots + 2$ states are sufficient!

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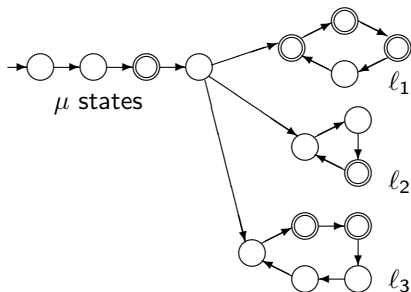


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Theorem

The state cost of the simulation of unary n -state 1NFAs by 2DFAs is $\Theta(n^2)$

Optimal Simulations Between Unary Automata

1DFA

1NFA

2DFA

2NFA

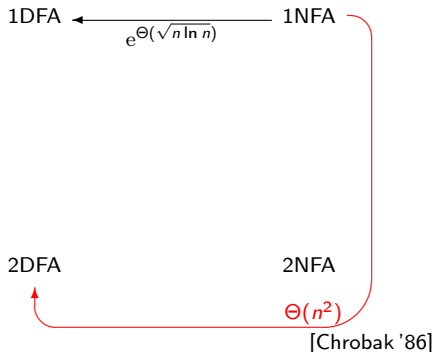
Optimal Simulations Between Unary Automata

1DFA $\xleftarrow[\frac{e^{\Theta(\sqrt{n \ln n})}]{\text{[Chrobak '86]}}$ 1NFA

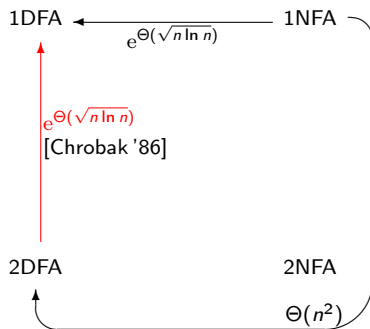
2DFA

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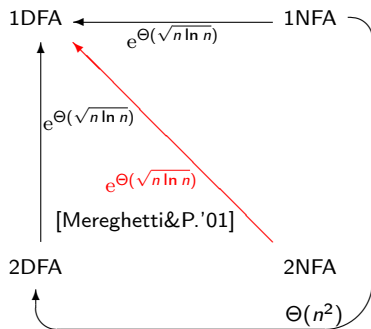
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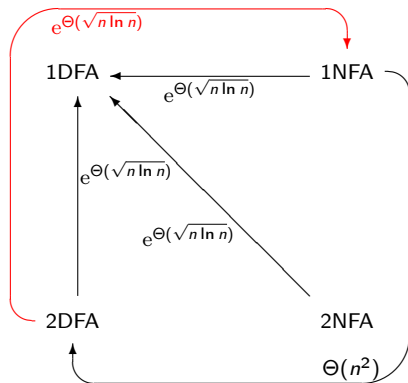
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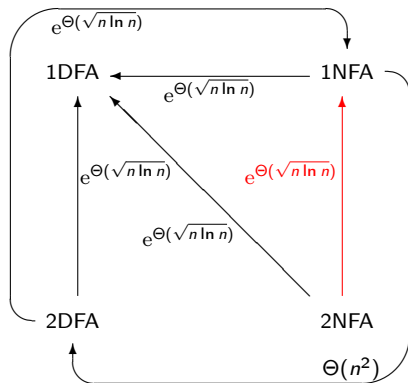


Optimal Simulations Between Unary Automata



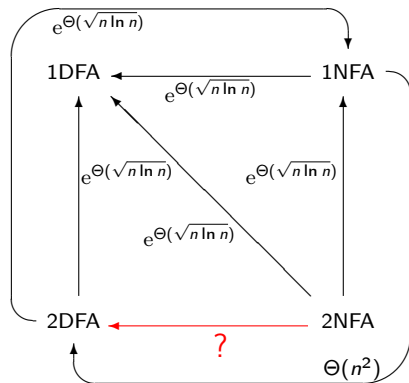
from 2DFA \rightarrow 1DFA

Optimal Simulations Between Unary Automata



from 2NFA \rightarrow 1DFA

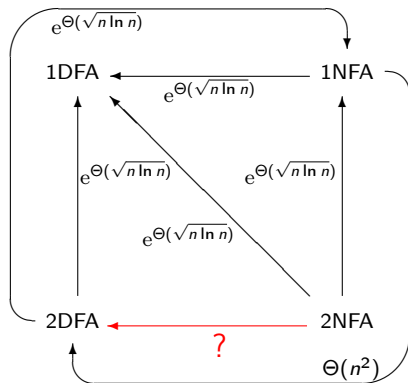
Optimal Simulations Between Unary Automata



2NFA \rightarrow 2DFA Open!

- ▶ upper bound $e^{\Theta(\sqrt{n \ln n})}$
(from 2NFA \rightarrow 1DFA)
- ▶ lower bound $\Omega(n^2)$
(from 1NFA \rightarrow 2DFA)

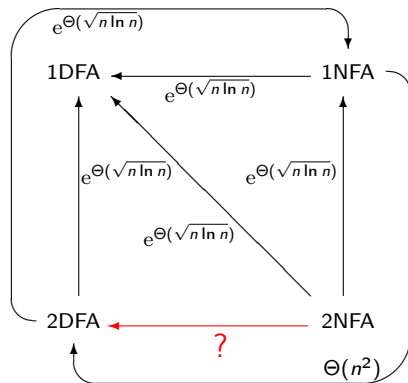
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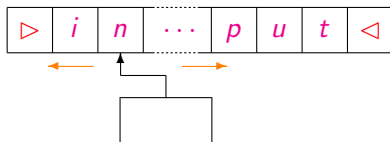
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Better upper bound $e^{O(\ln^2 n)}$
[Geffert&Mereghetti&P.'03]

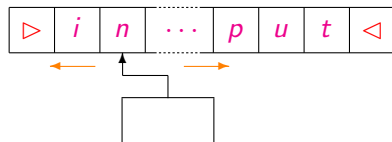
Unary Two-Way Automata

Two-Way Automata: Few Technical Details



- ▶ **Input surrounded by the end-markers \triangleright and \triangleleft**
- ▶ $w \in \Sigma^*$ is accepted iff there is a computation
 - with input tape $\triangleright w \triangleleft$
 - starting with the head on \triangleright in the initial state
 - reaching a final state (with the head on \triangleright)

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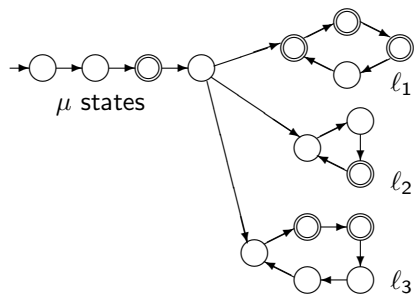
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Almost Equivalent Automata

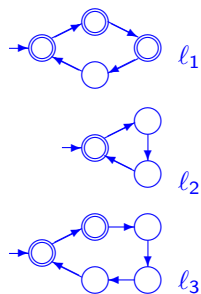
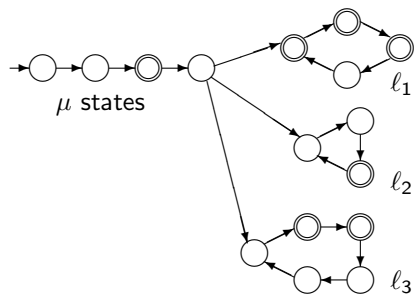
Definition

Two automata A and B are *almost equivalent* if $L(A)$ and $L(B)$ differ for finitely many strings

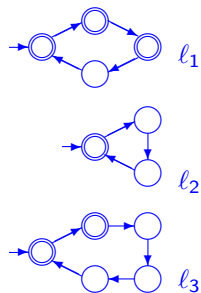
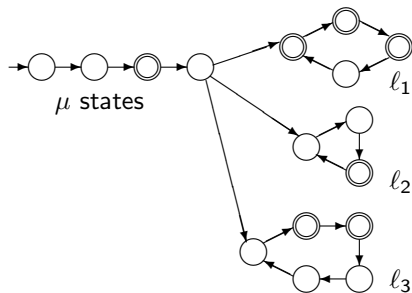
Chrobak Normal Form Revisited



Chrobak Normal Form Revisited



Chrobak Normal Form Revisited



Each unary n -state 1NFA A is almost equivalent to a 1NFA B :

- ▶ s disjoint loops of lengths l_1, \dots, l_s , with $l_1 + \dots + l_s \leq n$
- ▶ at the beginning of the computation, B nondeterministically selects a loop $i \in \{1, \dots, s\}$
- ▶ then B counts the input length modulo l_i
- ▶ $L(A)$ and $L(B)$ can differ only on strings of length at most $n^2 - n$

A Normal Form for Unary 2NFAs

Theorem ([Geffert&Mereghetti&P.'03])

For each unary n -state 2NFA A there exists an almost equivalent 2NFA M s.t.

- ▶ *M makes nondeterministic choices and changes the head direction only visiting the end-markers*
- ▶ *M has $N \leq 2n + 2$ many states*
- ▶ *$L(A)$ and $L(M)$ can differ only on strings of length $\leq 5n^2$*

A Normal Form for Unary 2NFAs

More details on M :

- ▶ State set: $\{q_I, q_F\} \cup Q_1 \cup \dots \cup Q_s$
 - q_I initial state
 - q_F accepting state
 - Q_i deterministic loop of length ℓ_i
- ▶ A computation is a sequence of traversals of the input
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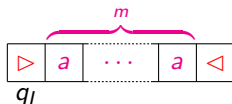
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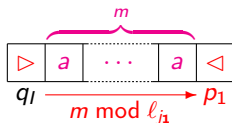
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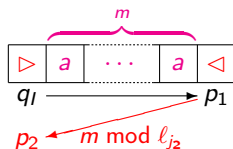
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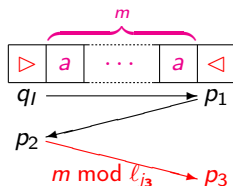
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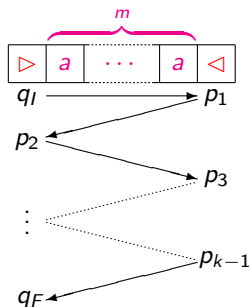
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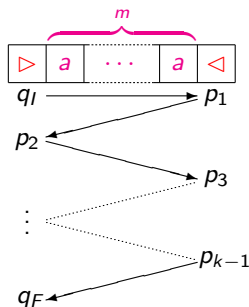
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Remark

If a string is accepted by M then it is accepted by a computation which visits the left end-marker at most N times

Converting Unary 2NFAs into 2DFAs

[Geffert&Mereghetti&P.'03]

*M unary N -state 2NFA in normal form
 a^m input string*

- ▶ For $p, q \in Q$, $k \geq 1$, we consider the predicate
 $reachable(p, q, k) \equiv$

\exists computation path on a^m which

- starts in the state p on \triangleright
- ends in the state q on \triangleright
- visits \triangleright at most k times

Then:

$a^m \in L(M)$ iff $reachable(q_I, q_F, N)$ is true

- ▶ *$reachable(p, q, k)$ can be computed by a recursive procedure*
- ▶ *Implemented by a 2DFA with $e^{O(\ln^2 N)}$ states*

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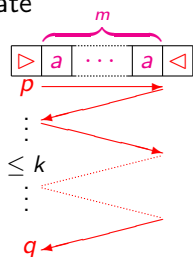
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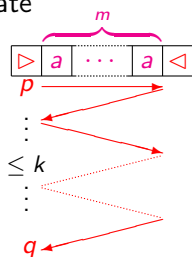
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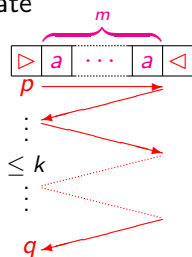
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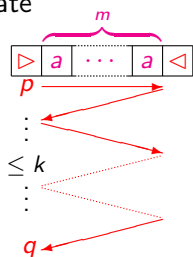
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Conversion into normal form

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- subexponential

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Can this upper bound be reduced to a polynomial?

Upper bound

- superpolynomial
- subexponential

Logspace Classes and Graph Accessibility Problem

L: class of languages accepted in logarithmic space
by *deterministic* machines

NL: class of languages accepted in logarithmic space
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Problem

$$L \stackrel{?}{=} NL$$

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Graph Accessibility Problem GAP

- ▶ Given $G = (V, E)$ oriented graph, $s, t \in V$
- ▶ Decide whether or not G contains a path from s to t

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Theorem ([Jones '75])

GAP is complete for NL

Hence $GAP \in L$ iff $L = NL$

Reduction to GAP

[Geffert&P.'11]

M unary 2NFA in normal form, with N states

- ▶ Accepting computation on a^m
 - sequence of traversals of the input
 - starting in q_I on \triangleright
 - ending in q_F on \triangleright
- ▶ Graph $G(m)$
 - vertices \equiv states
 - edges \equiv traversals on a^m
- ▶ a^m is accepted iff $G(m)$ contains a path from q_I to q_F

Reduction to GAP

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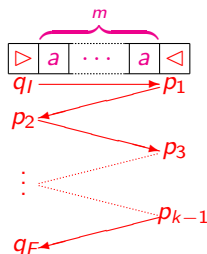
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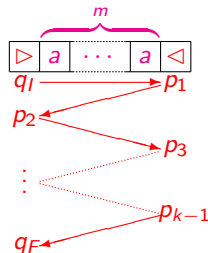


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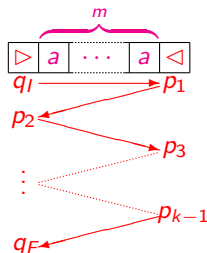
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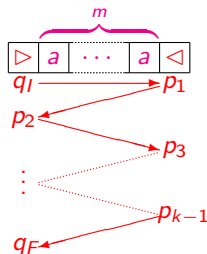
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To decide whether or not $a^m \in L(M)$ reduces to decide GAP for $G(m)$

$L = NL \Rightarrow$ Polynomial Deterministic Simulation!

[Geffert&P.'11]



D_{GAP} logspace bounded *deterministic* machine solving GAP

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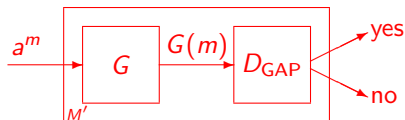


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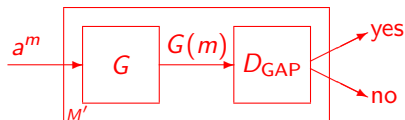
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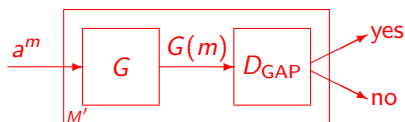
- $O(\log N)$ space
 - $poly(N)$ different configurations
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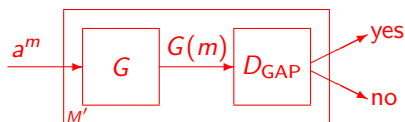
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Too many!!!

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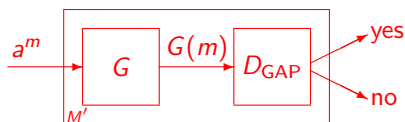
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an N -state 1DFA $A_{p,q}$ tests the existence of the edge (p, q)
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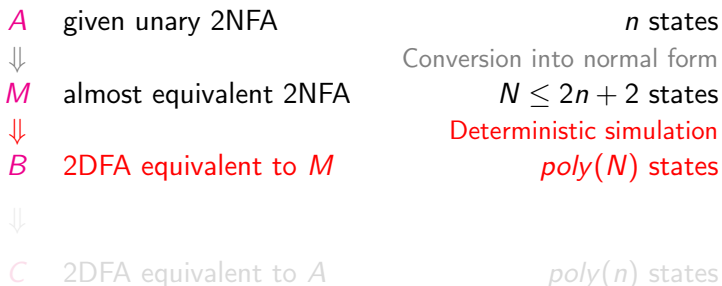
From Unary 2NFAs to 2DFAs (under $L = NL$)

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If $L = NL$ then each unary n -state 2NFA can be simulated by a 2DFA with $poly(n)$ many states

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Proving that the best known upper bound $e^{O(\ln^2 n)}$ is tight would separate L and NL

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If $L = NL$ then each unary n -state 2NFA can be simulated by a 2DFA with $poly(n)$ many states

Theorem ([Kapoutsis&P.'12])

$L/poly \supseteq NL$ iff each unary n -state 2NFA can be simulated by a 2DFA with $poly(n)$ many states

Normal Form for Unary 2NFAs: Consequences

- (i) Subexponential simulation of unary 2NFAs by 2DFAs
[Geffert&Mereghetti&P.'03]
- (ii) Polynomial simulation of unary 2NFAs by 2DFAs
under the condition $L = NL$ [Geffert&P.'11]
- (iii) Polynomial simulation of unary 2NFAs by unambiguous 2NFAs
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Pushdown Automata and Other Devices

Unary Context-Free Languages

Theorem [Ginsurg&Rice '62]

Each unary context-free languages is regular

*How large should be a finite automata equivalent
to a given unary context-free grammar
or pushdown automaton?*

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Unary Pushdown Automata

From PDAs of size s , accepting regular languages,
to equivalent 1DFAs

	unary input	general input
PDAs	$2^{poly(s)}$ [P.&Shallit&Wang '02]	

All the bounds are tight!

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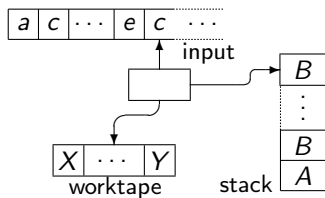
	unary input	general input
PDAs	$2^{poly(s)}$ [P.&Shallit&Wang '02]	non recursive [Meyer&Fischer '71]
deterministic PDAs	$2^{O(s)}$ [P.'09]	$2^{2^{O(s)}}$ [Valiant '75]

All the bounds are tight!

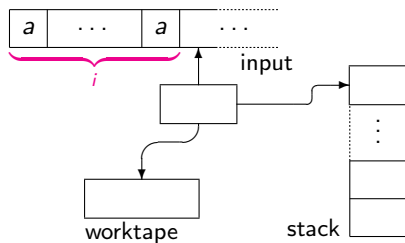
Auxiliary Pushdown Automata (AuxPDAs)

PDAs augmented with an
auxiliary worktape

'SPACE' \equiv worktape

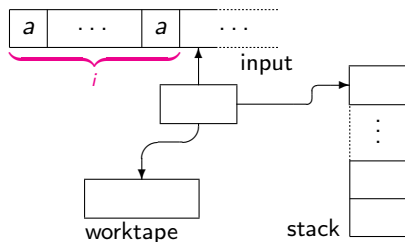


1AuxPDAs: How to Count the Input Length



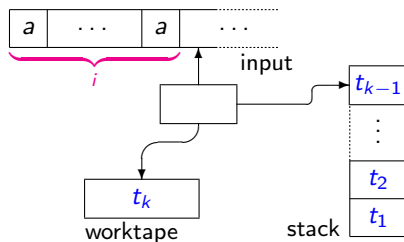
i

1AuxPDAs: How to Count the Input Length



$$i = (110 \cdots 100010)_2$$

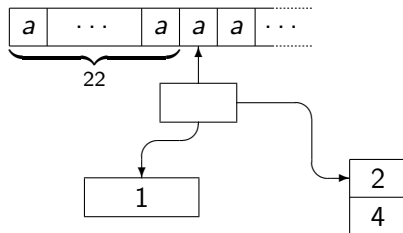
1AuxPDAs: How to Count the Input Length



$$i = (\underbrace{1\ 1}_t \ 0 \ \cdots \ \underbrace{1\ 0\ 0\ 0}_t \ \underbrace{1\ 0}_t)_2 = 2^{t_1} + 2^{t_2} + \cdots + 2^{t_{k-1}} + 2^{t_k}$$

1AuxPDAs: How to Count the Input Length

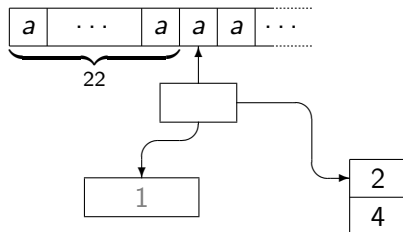
$$22 = 2^4 + 2^2 + 2^1$$



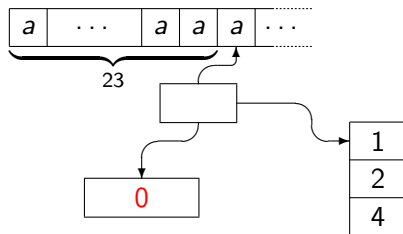
$$23 = 2^4 + 2^2 + 2^1 + 2^0$$

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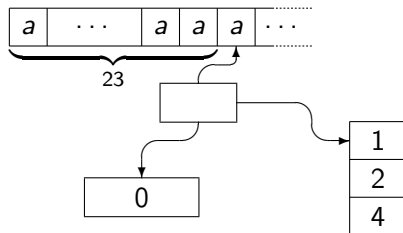


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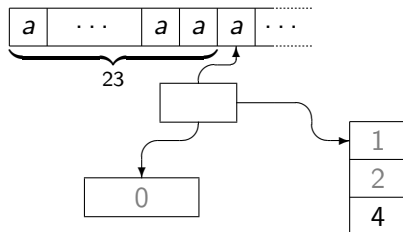
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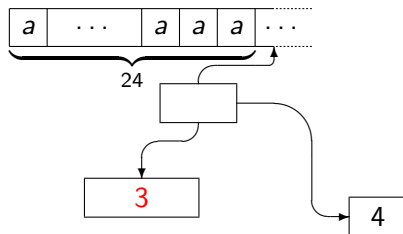
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Example: $\mathcal{L}_p = \{a^{2^m} \mid m \geq 0\}$

- ▶ \mathcal{L}_p is nonregular
- ▶ \mathcal{L}_p is accepted by a 1AuxPDA M which:
 - scans the input while counting its length
 - accepts iff the pushdown store is empty
i.e., the binary representation of the input length contains exactly one digit 1
- ▶ On input a^n
the largest integer stored on the worktape is $\lfloor \log_2 n \rfloor$,
which is represented in $O(\log \log n)$ space

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$$\mathcal{L}_p \in 1\text{AuxPDASpace}(\log \log n)$$

Space Bounds on 1AuxPDAs

\mathcal{L}_p is accepted using the *minimum amount of space* for nonregular languages recognition:

Theorem ([P.&Shallit&Wang '02])

If a unary language L is accepted by a 1AuxPDA in $o(\log \log n)$ space then L is regular

In contrast

- with a binary alphabet,
- and space measured on the 'less' expensive accepting computation:

Theorem ([Chytil '86])

For each $k \geq 2$ there is a non context-free language L_k accepted by a 1AuxPDA in $O(\underbrace{\log \dots \log n}_k)$ space

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Two-way Pushdown Automata (2PDAs)

- ▶ More powerful than PDAs, e.g., $\{a^n b^n c^n \mid n \geq 0\}$
- ▶ 2DPDAs can be simulated by RAMs in *linear time* [Cook '71]

Main open problems:

- ▶ Power of nondeterminism, i.e., 2DPDAs vs 2PDA
- ▶ 2DPDAs vs linear bounded automata

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Unary 2PDAs

- ▶ Very powerful models, even in the deterministic version

Theorem ([Monien '84])

The unary encoding of each language in P is accepted by a 2DPDA

- ▶ With a *constant number* of input head reversals they accept only regular languages [Liu&Weiner '68]
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- ▶ Unary multi-head 2PDAs making $O(1)$ input head reversals accept only regular languages [Ibarra '74]

Conclusion

Unary Automata and Languages

- ▶ Interesting properties and differences with respect to the general case
- ▶ Special methods (e.g., from number theory)
- ▶ Important relationships with the general case
- ▶ Several open problems

Thank you for your attention!