Union-Find

Giovanni Righini





Union-Find data-structure

Assume we have a set *N* of *n* items, partitioned into subsets.

We need to execute two operations on *N*:

- testing whether two items are in the same subset or not (Find);
- merging two subsets into one (Union).

Possible implementations:

- arrays;
- linked lists;
- trees.

Each subset is identified by a representative item: its head.



Union-Find data-structure: arrays

For each $i \in N$:

- ν[i] is the item (ID, value, pointer...);
- h[i] is the head of its subset (index).

correponds the partition $\{A, B, C\}, \{D, F, G, H\}, \{E, I, L\}.$

Complexity:

- Find: O(1) with the test h[i] = h[j].
- Union: O(n) because all values of h in a merged subset must be updated.



Union-Find data-structure: arrays

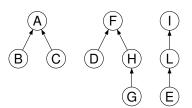
Union(2, 4):

Modifying the smallest subset yields total complexity $O(n \log n)$ for all *Union* operations, i.e. amortized complexity $O(\log n)$ for each *Union* operation.

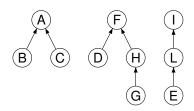
The same results hold for the implementation with linked lists.



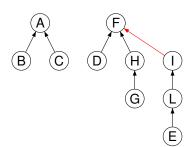
Each subset is a tree; its head is the root element.







Union(E, G): head(E) = I, head(G) = F. One of the roots is appended to the other.





Complexity:

• Find: O(n) (when a tree is a path)

• Union: O(1)

Objective: improve the complexity of *Find*: balance the trees.

Ideas for Union:

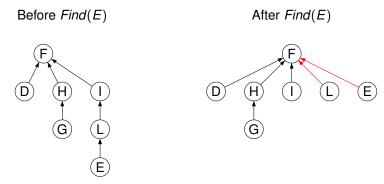
- Union-by-size: append the root of the tree with fewer nodes to the root of the tree with more nodes;
- Union-by-rank: append the root of the tree with smaller depth to the root of the tree with larger depth.

Complexity of Find: $O(\log n)$.





Idea for *Find*: path compression: when the root is searched from node i by Find(i), all nodes between i and the root are made successors of the root.



Total complexity of m executions of Find with m > n is $O(m \log^* n)$.



The log* function

$$\begin{aligned} \log^* 2 &= 1 \\ \log^* 2^2 &= \log^* 4 = 2 \\ \log^* 2^{(2^2)} &= \log^* 16 = 3 \\ \log^* 2^{(2^{(2^2)})} \log^* 65536 = 4 \\ \log^* 2^{(2^{(2^2)})} &= 5 \end{aligned}$$

For all "reasonable" values of n, $\log^* n \le 5$.

Even better bounds were proven by Tarjan.

