# The shortest path tree problem 

Combinatorial optimization

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## The Shortest Path Tree Problem

## Data:

- a digraph $\mathcal{D}=(\mathcal{N}, \mathcal{A})$ with $|\mathcal{N}|=n$ nodes and $|\mathcal{A}|=m$ arcs;
- a source node $s \in \mathcal{N}$;
- a cost function $c: \mathcal{A} \mapsto \Re$.


## Shortest Path Tree Problem.

Find all minimum cost (i.e. shortest) paths from $s$ to all nodes in $\mathcal{N}$.

The problem is called Shortest Path Tree/Arborescence Problem, because of a property of its solution: the set of all shortest paths forms a spanning arborescence rooted in $s$.

The shortest paths arborescence


Figure: A shortest paths arborescence ( $s=1$ ). Costs are black. Flows are red. Distances are blue.

## Bellman's optimality principle

Bellman's optimality principle states that every optimal policy is made by optimal sub-policies.

Translating this statement for the SPP: every shortest path from $s$ to $t \in \mathcal{N}$ visiting $i \in \mathcal{N}$ is made by the shortest path from $s$ to $i$ and the shortest path from $i$ to $t$.

As a consequence of this principle, the set of all the shortest paths from $s$ to $\mathcal{N}$ forms a spanning arborescence rooted in $s$.

But this "principle" is indeed a theorem: it can be proved, instead of assumed.

We do not assume a priori that we are looking for a spanning arborescence rooted in $s$.

## The mathematical model: variables

Variables. $x_{i j} \in \mathcal{Z}_{+} \forall(i, j) \in \mathcal{A}$ : number of shortest paths that use arc (i,j).


Figure: One unit of flow goes from $s$ to each other node. The flow on each arc equals the number of nodes that are reached through it.

## The mathematical model: obj. function

Objective function. Minimize each path from $s$ to $t \forall t \in \mathcal{N}$ :

$$
\operatorname{minimize} \sum_{(i, j) \in \mathcal{A}} c_{i j} x_{i j} .
$$



Figure: The sum of the costs times the flow equals the sum of the distances:
$1 \times 2+1 \times 1+2 \times 4+2 \times 3+1 \times 1+2 \times 1=0+1+2+2+4+5+6=20$.

The mathematical model: constraints
Constraints. Flow conservation constraints for each shortest path from $s$ to $t \in \mathcal{N}$ :

$$
\begin{gathered}
\sum_{(j, i) \in \delta_{i}^{-}} x_{j i}-\sum_{(i, j) \in \delta_{i}^{+}} x_{i j}=0 \quad \forall i \in \mathcal{N} \backslash\{s, t\} \\
\sum_{(j, s) \in \delta_{s}^{-}} x_{j s}-\sum_{(s, j) \in \delta_{s}^{+}} x_{s j}=-1 \\
\sum_{(j, t) \in \delta_{t}^{-}} x_{j t}-\sum_{(t, j) \in \delta_{t}^{+}} x_{t j}=+1
\end{gathered}
$$

Summing them up for all $t \in \mathcal{N}$ :

$$
\begin{gathered}
\sum_{(j, i) \in \delta_{i}^{-}} x_{j i}-\sum_{(i, j) \in \delta_{i}^{+}} x_{i j}=1 \quad \forall i \in \mathcal{N} \backslash\{s\} \\
\sum_{(j, s) \in \delta_{s}^{-}} x_{j s}-\sum_{(s, j) \in \delta_{s}^{+}} x_{s j}=1-n
\end{gathered}
$$

## SPP: primal formulation (ILP)

$$
\begin{array}{rlr}
\hat{P}) \operatorname{minimize} & \sum_{(i, j) \in \mathcal{A}} c_{i j} x_{i j} & \\
\text { s.t. } & \sum_{(j, i) \in \delta_{i}^{-}} x_{j i}-\sum_{(i, j) \in \delta_{i}^{+}} x_{i j}=1 & \forall i \in \mathcal{N} \backslash\{s\} \\
& \sum_{(j, s) \in \delta_{s}^{-}} x_{j s}-\sum_{(s, j) \in \delta_{s}^{+}} x_{s j}=1-n & \\
& x_{i j} \in \mathcal{Z}_{+} & \forall(i, j) \in \mathcal{A} .
\end{array}
$$

Observation 1. The constraint matrix is totally unimodular.

Observation 2. The right-hand-sides of the constraints are all integer numbers.

Therefore every base solution of the continuous relaxation of $\hat{P}$ has integer coordinates.

## Reformulation (relaxation) of the primal problem (LP)

Hence we can relax the integrality restrictions:

$$
\begin{array}{rlr}
\text { P) minimize } & \sum_{(i, j) \in \mathcal{A}} c_{i j} x_{i j} & \\
\text { s.t. } & \sum_{(j, i) \in \delta_{i}^{-}} x_{j i}-\sum_{(i, j) \in \delta_{i}^{+}} x_{i j}=1 & \forall i \in \mathcal{N} \backslash\{s\} \\
& \sum_{(j, s) \in \delta_{s}^{-}} x_{j s}-\sum_{(s, j) \in \delta_{s}^{+}} x_{s j}=1-n & \\
& x_{i j} \geq 0 & \forall(i, j) \in \mathcal{A} .
\end{array}
$$

This primal problem $P$ has a dual problem $D$.
For the primal-dual pair $(P, D)$ the LP duality theorems hold.

## SPP: Dual formulation (LP)

$$
\begin{array}{rlr}
\text { P) minimize } & \sum_{(i, j) \in \mathcal{A}} c_{i j} x_{i j} & \\
\text { s.t. } & \sum_{(j, i) \in \delta_{i}^{-}} x_{j i}-\sum_{(i, j) \in \delta_{i}^{+}} x_{i j}=1 & \forall i \in \mathcal{N} \backslash\{s\} \\
& \sum_{(j, s) \in \delta_{s}^{-}} x_{j s}-\sum_{(s, j) \in \delta_{s}^{+}} x_{s j}=1-n & \\
& x_{i j} \geq 0 & \forall(i, j) \in \mathcal{A} .
\end{array}
$$

D) maximize $\sum_{i \in \mathcal{N} \backslash\{s\}} y_{i}+(1-n) y_{s}$

$$
\begin{array}{cl}
\text { s.t. } y_{j}-y_{i} \leq c_{i j} & \forall(i, j) \in \mathcal{A} \\
y_{i} \text { free } & \forall i \in \mathcal{N} .
\end{array}
$$

## An equivalent dual formulation (LP)

$$
\begin{array}{cl}
\text { D) } \operatorname{maximize} \sum_{i \in \mathcal{N} \backslash\{s\}} y_{i}+(1-n) y_{s} & \\
\text { s.t. } y_{j}-y_{i} \leq c_{i j} & \forall(i, j) \in \mathcal{A} \\
y_{i} \text { free } & \forall i \in \mathcal{N} .
\end{array}
$$

Observation 1. Adding a constant $\alpha$ to each $y$ variable, nothing changes. Hence we can fix a variable:

$$
y_{s}=0
$$

Observation 2. There are $m$ inequality constraints, $n-1$ original $y$ variables and $m$ slack variables. The LP tableau of the dual problem has $m$ rows and $n-1+m$ columns. Hence, in each base solution of $D$ there should be $m$ basic variables and $n-1$ non-basic (null) variables. For the complementary slackness theorem, there should be $n-1$ basic variables in the primal problem.

## An equivalent primal formulation (LP)

P) minimize $\sum_{(i, j) \in \mathcal{A}} c_{i j} x_{i j}$

$$
\begin{array}{ll}
\text { s.t. } \sum_{(j, i) \in \delta_{i}^{-}} x_{j i}-\sum_{(i, j) \in \delta_{i}^{+}} x_{i j}=1 & \forall i \in \mathcal{N} \backslash\{s\} \\
\sum_{(j, s) \in \delta_{s}^{-}} x_{j s}-\sum_{(s, j) \in \delta_{s}^{+}} x_{s j}=1-n & \\
x_{i j} \geq 0 & \forall(i, j) \in \mathcal{A} .
\end{array}
$$

Observation 3. There are $n$ equality constraints that are not linearly independent: summing up all the rows we obtain $0=0$. Hence we can delete a constraint: the flow conservation constraint for $s$. Observation 4. There are now $n-1$ equality constraints and $m$ variables. The LP tableau of $P$ has $n-1$ rows and $m$ columns. Hence, in each base solution of $P$ there are $n-1$ basic variables and $m-(n-1)$ non-basic variables.

## Complementary slackness conditions (CSC)

$\left.P^{\prime}\right)$ minimize $z=\sum_{(i, j) \in \mathcal{A}} c_{i j} x_{i j}$

$$
\begin{array}{ll}
\text { s.t. } \sum_{(j, i) \in \delta_{i}^{-}} x_{j i}-\sum_{(i, j) \in \delta_{i}^{+}} x_{i j}=1 & \forall i \in \mathcal{N} \backslash\{s\} \\
x_{i j} \geq 0 & \forall(i, j) \in \mathcal{A} .
\end{array}
$$

$\left.D^{\prime}\right)$ maximize $w=\sum_{i \in \mathcal{N} \backslash\{s\}} y_{i}$

$$
\begin{array}{cl}
\text { s.t. } y_{j}-y_{i} \leq c_{i j} & \forall(i, j) \in \mathcal{A} \\
y_{i} \text { free } & \forall i \in \mathcal{N} \backslash\{s\} .
\end{array}
$$

Primal CSCs: $x_{i j}\left(c_{i j}+y_{i}-y_{j}\right)=0$
Basic variables in $P^{\prime}$ correspond to active constraints in $D^{\prime}$. Only arcs $(i, j)$ for which $y_{i}+c_{i j}=y_{j}$ can carry flow $x_{i j}$.

## The Ford-Fulkerson algorithm (1962)

A spanning $s$-arborescence is completely described by a vector of predecessors, one for each node but $s$.
for $i \in \mathcal{N} \backslash\{s\}$ do
$y_{i} \leftarrow \infty$
$\pi_{i} \leftarrow n i l$
$y_{s} \leftarrow 0$
$\pi_{s} \leftarrow s$
$V \leftarrow\{(s, j) \in \mathcal{A}\}$
while $V \neq \emptyset$ do
$(i, j) \leftarrow \operatorname{Select}(V)$
$y_{j} \leftarrow y_{i}+c_{i j}$
$\pi_{j} \leftarrow i$
Update( $V$ )

## Data structures:

- a predecessor label, $\pi_{i} \forall i \in \mathcal{N}$;
- a cost label, $y_{i} \forall i \in \mathcal{N}$.
- $V=\{(i, j) \in \mathcal{A}\}$ s.t. $y_{j}-y_{i}>c_{i j}$ (violated dual constraints).

Different algorithms with different worst-case time complexity are obtained from different implementations of the Select function.

## Feasibility

After initialization we have neither primal feasibility nor dual feasibility.

## Primal viewpoint:

We have $\pi_{i}=$ nil for all $i \in \mathcal{N}$; hence no flow enters any node.

## Dual viewpoint:

We have $y_{j}=\infty$ for all $j \in \mathcal{N} \backslash\{s\}$; hence all constraints $y_{j}-y_{s} \leq c_{s j}$ are violated.

The algorithm maintains the CSCs and iteratively enforces primal and dual feasibility.

## Dual descent

After each iteration one of the dual values $y_{j}$ is decreased

- from a value such that $y_{j}-y_{i}>c_{i j}$
- to a value such that $y_{j}-y_{i}=c_{i j}$
so that arc $(i, j)$ becomes tight and $x_{i j}$ enters the primal basis.
The update affects the other constraints (dual viewpoint) and arcs (primal viewpoint).
- Case I: before the iteration, $y_{j}=\infty$ and $\pi_{j}=n i l$. Then arc ( $i, j$ ) becomes tight and nothing else changes. Flow can now reach $j$ from $i$ (node $j$ has been appended to the arborescence).
- Case II: before the iteration, $y_{j} \neq \infty$ and $\pi_{j}=k$. Then, $j$ was already in the arborescence and was receiving flow from some node $k$ along a tight arc $(k, j)$, i.e. $y_{j}-y_{k}=c_{k j}$. After the iteration, $\operatorname{arc}(k, j)$ is no longer tight, i.e. $y_{j}-y_{k}<c_{k j}$ and cannot carry flow any more.
Node $j$ now receives flow from $i$ and not from $k$.


## Case I



Figure: $\operatorname{Arc}(5,6)$ becomes tight and $y_{6}$ takes a finite value.

Case II


Figure: $\operatorname{Arc}(7,6)$ replaces arc $(5,6)$. Arcs $(6,2)$ and $(6,4)$ become infeasible again.

## A mechanical analogy

Assume we have $n$ balls to be linked together by a set of $m$ strings of given lengths. Let ball $s$ to be fixed at the ceiling and let $y_{i}$ be the distance of ball $i$ from the ceiling $\left(y_{s}=0\right)$.

Initially all balls but $s$ are put on the floor, very far from the ceiling ( $y_{i}=\infty$ ), and they are not connected to the ceiling ( $\pi_{i}=$ nil).
Iteratively take a string $(i, j)$ and append ball $j$ to ball $i$. Select one for which the distance between the balls exceeds the length of the string (so you will never select $i$ on the floor).
In doing this you can either link a ball $j$ that was on the floor to a ball $i$ hanging from above (Case I) or pull up a ball $j$ already hanging from the ceiling by connecting it to a ball $i$ over it (Case II).
When all strings have been used (all dual constraints have been enforced), there are $n-1$ tight strings (the spanning arborescence).

This analogy holds for the case in which $c_{i j} \geq 0 \forall(i, j) \in \mathcal{A}$.

## Termination

The algorithm always achieves primal and dual feasibility, but two special cases may occur.

Infeasibility. If there is a node $t \in \mathcal{N}$ not reachable from $s$, the algorithm does not find any arc $(i, t) \in \mathcal{A}$ corresponding to a violated dual constraint. Hence $y_{t}$ remains equal to $\infty$; no arc entering $t$ becomes tight; no flow can reach $t$ : the primal problem is infeasible and the dual problem is unbounded.

Unboundedness. If there is a negative-cost cycle reachable from $s$, the algorithm keeps finding a violated dual constraint corresponding to one of the arcs in the cycle. Hence the algorithm enters a never-ending loop in which the $y$ values of the nodes in the cycle are decreased to $-\infty$ and it never finds a feasible dual solution: the dual problem is infeasible and the primal problem is unbounded.

The two things can also happen independently: both problems are infeasible.

## Bellman-Ford algorithm $(1956,1958)$

$$
\begin{aligned}
& \text { for } i=1, \ldots, n \text { do } \\
& y[i] \leftarrow c(s, i) \\
& \pi[i] \leftarrow s \\
& \text { for } k=1, \ldots, n-1 \text { do } \\
& \text { for }(i, j) \in \mathcal{A} \text { do } \\
& \text { if }(y[i]+c(i, j)<y[j]) \text { then } \\
& \quad \pi[j] \leftarrow i \\
& \quad y[j] \leftarrow y[i]+c(i, j) \\
& \hline
\end{aligned}
$$

The time complexity is $O(n m)$ because it requires $O(n)$ iterations, each one with complexity $O(m)$.

## Moore algorithm (1959)

$$
\begin{aligned}
& \text { for } i=1, \ldots, n \text { do } \\
& y[i] \leftarrow c(s, i) \\
& \pi[i] \leftarrow s \\
& Q \leftarrow\{s\} \\
& \text { while } Q \neq \emptyset \text { do } \\
& E x t r a c t(Q, i) \\
& \text { for }(i, j) \in \delta^{+}(i) \text { do } \\
& \text { if }(y[i]+c(i, j)<y[j]) \text { then } \\
& y[j] \leftarrow y[i]+c(i, j) \\
& \pi[j] \leftarrow i \\
& \quad \text { if } j \notin Q \text { then } \\
& \quad \operatorname{lnsert}(Q, j) \\
& \hline
\end{aligned}
$$

The worst-case time complexity is still $O(n m)$ but in practice it runs faster than Bellman-Ford, because many operations are skipped.

## Moore algorithm (1959)

The performance of Moore's algorithm (also called SPFA, for Shortest Path Faster Algorithm) depends on how $Q$ is implemented.

- Nodes are not ordered in $Q$.

Extract and Insert take $O(1)$; the complexity remains $O(m n)$. No queue is needed; just a binary flag for each node.

- Nodes are sorted according to their value of $y$. A priority queue is used: Insert and Extract take $O(\log n)$, they are executed at most $n-1$ times for each node: they contribute $O\left(n^{2} \log n\right)$ to the complexity.
- An approximate order is given to the nodes, using a list.

Extract always extracts the head of the list in $O(1)$.
Three Insert policies have been tried in practice:

- FIFO: always inserts $j$ at the end of the list (queue) in $O(1)$.
- Small Label First: if $y(j)<y($ First $(Q))$, then $j$ is inserted as the first element of $Q$, otherwise as the last one, in $O(1)$.
- Large Label Last: let $\bar{q}$ be the average of the values in $Q$ (it can be updated in $O(1)$ after each operation on $Q$ ); all elements larger than $\bar{q}$ are moved at the end of $Q$ in $O(n)$.


## Dijkstra's algorithm (1959)

```
\(T \leftarrow \emptyset\)
for \(i \in \mathcal{N}\) do
    \(y(i) \leftarrow c(s, i)\)
    \(\pi(i) \leftarrow s\)
    \(f(i) \leftarrow(i=s)\)
    for \(k=1, \ldots, n-1\) do
    \(i^{*} \leftarrow \operatorname{argmin}_{i \in \mathcal{N}: \neg f(i)}\{y(i)\}\)
    \(T \leftarrow T \cup\left\{\left(\pi\left(i^{*}\right), i^{*}\right)\right\}\)
    \(f\left(i^{*}\right) \leftarrow\) true
    for \(i \in \mathcal{N}\) do
    if \((\neg f(i)) \wedge\left(y\left(i^{*}\right)+c\left(i^{*}, i\right)<y(i)\right)\) then
        \(\pi(i) \leftarrow i^{*}\)
        \(y(i) \leftarrow y\left(i^{*}\right)+c\left(i^{*}, i\right)\)
```

The time complexity is $O\left(n^{2}\right)$ (improvable). It requires $c \geq 0$.

## Dijkstra algorithm (dual ascent)

When $c \geq 0$, Dijkstra algorithm can be revisited as a dual ascent algorithm.

Assume to represent the graph as a set of stars (lists of outgoing arcs).

We introduce two node sets:

- O: set of nodes for which a path from $s$ has been found, but the labels $\pi$ and $y$ are not permanent:

$$
y(i) \leq d(s, i) \quad \pi(i) \neq n i l \quad \forall i \in O
$$

- $E$ : set of nodes with permanent labels:

$$
y(i)=d(s, i) \quad y(i)=y(\pi(i))+c(\pi(i), i) \quad \forall i \in E
$$

where $d(s, i)$ is the cost of a shortest path from $s$ to $i \forall i \in N$.

## Dijkstra algorithm (dual ascent)

All dual variables $y$ are initialized at 0 .
This corresponds to a feasible dual solution.
All primal variables $\pi$ are not permanent.
This corresponds to an infeasible primal solution.
The algorithm iteratively selects a node whose corresponding $y$ and $\pi$ are made permanent.
The selected node is used to update $y$ and $\pi$ for other nodes, keeping dual feasibility and keeping C.S.C. satisfied.

The values of $y$ are non-decreasing (dual ascent procedure).
The algorithm terminates when all labels are permanent (or when $t$ enters $E$ if we are computing an $s-t$ shortest path).

## Dijkstra algorithm (dual ascent)

$O \leftarrow\{s\} ; \quad E \leftarrow \emptyset ; \quad W \leftarrow 0 ; \quad y(s) \leftarrow 0 ; \quad \pi(s) \leftarrow s$
while $(O \neq \emptyset) \wedge(t \notin E)$ do
$j \leftarrow \operatorname{argmin}_{v \in O}\{c(\pi(v), v)-y(v)+y(\pi(v))\}$
$\theta \leftarrow c(\pi(j), j)-y(j)+y(\pi(j))$
$O \leftarrow O \backslash\{j\} ; \quad E \leftarrow E \cup\{j\} ; \quad w \leftarrow w+\theta ; \quad y(j) \leftarrow w$
for $k \in O$ do
$y(k) \leftarrow w$
for $(j, k) \in \delta^{+}(j): k \notin E$ do
if $k \in O$ then
if $y(j)+c(j, k)<y(\pi(k))+c(\pi(k), k)$ then $\pi(k) \leftarrow j$
else

$$
O \leftarrow O \cup\{k\} ; \quad y(k) \leftarrow w ; \quad \pi(k) \leftarrow j
$$

## Correctness

Dual feasibility is guaranteed after every iteration.
The rule for selecting the next node to insert in $E$ is equivalent to find an arc from $i \in E$ to $j \in O$ corresponding to a dual constraint with minimum slack, i.e. minimum reduced cost.

Such a dual constraint becomes active (the corresponding arc becomes tight).

The other dual constraints, not corresponding to arcs in the ( $E, O$ ) cut, are not affected by the increase of the dual variables $y(i) \forall i \in O$.

For each node $i$ in $E, y(i)-y(s)=d(s, i)$, and $y(i)=d(s, i) \forall i \in E$ because $y(s)$ remains fixed to 0 .

## Dijkstra algorithm

The computational complexity of the array implementation of Dijkstra algorithm is $O\left(n^{2}\right)$.

However, it can be improved in case of sparse graphs, using suitable data-structures, such as heaps.

## Initialization

$$
H \leftarrow \emptyset
$$

$$
\text { for } i \in \mathcal{N} \text { do }
$$

$$
\pi(i) \leftarrow \text { nil }
$$

$$
\text { if } i=s \text { then }
$$

$$
y(i) \leftarrow 0
$$

else

$$
y(i) \leftarrow+\infty
$$

BuildHeap( $H$ )
$H$ is a min-heap of nodes, partially sorted according to their associated $y$ value.

## Dijkstra algorithm

```
Inizialization
while \(H \neq \emptyset\) do
    ExtractMin \((H, i, v)\)
    for \((i, j) \in \delta^{+}(i)\) do
        if \(v+c(i, j)<y(j)\) then
        DecreaseKey \((j, v+c(i, j), H)\)
        \(y(j) \leftarrow v+c(i, j)\)
        \(\pi(j) \leftarrow i\)
```

Here $\delta^{+}(i)$ indicates the set of arcs outgoing from $i$, while $\pi$ and $y$ are the primal and dual variables.

## Complexity

- BuildHeap is called once and has $O(n)$ complexity.
- DecreaseKey is called $O(m)$ times (each arc is used only once).
- ExtractMin is called $O(n)$ times (the heap includes only $n$ nodes). The latter two sub-routines have complexity $O(\log n)$ if the values of non-permanent labels are stored in a binary heap.

Therefore the overall complexity of Dijkstra algorithm implemented in this way is $O(m \log n)$.

## $d$-heaps

Dijkstra algorithm with a $d$-heap:

- each MoveDn requirs $O\left(\log _{d} n\right)$ executions of Swap.
- the selection of the min cost successor node requires $O(d)$.

In Dijkstra algorithm this occurs up to $O\left(\log _{d} n\right)$ times for each call of ExtractMin and ExtractMin is called $O(n)$ times.

- BuildHeap is called once and its complexity is $O(n)$ (same as binary heaps).
- DecreaseKey is called $O(m)$ times and its complexity is $O\left(\log _{d} n\right)$.
- ExtractMin is called $O(n)$ times and its complexity is $O\left(d \log _{d} n\right)$.

Complexity: $O\left(n d \log _{d} n+m \log _{d} n\right)$.

## $d$-heaps

The resulting complexity $O\left(n d \log _{d} n+m \log _{d} n\right)$ depends on $d$.
Best choice: $d=\lfloor m / n\rfloor$, yielding complexity
$O\left(m \log _{m / n} n\right)=O\left(m \frac{\log _{n} n}{\log _{n} \frac{m}{n}}\right)=O\left(m_{\left.\frac{1}{\log _{n} m-1}\right)}\right)$.
Assuming $m=\Omega\left(n^{\epsilon}\right)$ for any fixed $\epsilon>1$, the complexity is $O\left(m \frac{1}{\epsilon-1}\right)=O(m)$.

The complexity is linear in $m$ for very mild hypothesis on the density of the digraph.

## Fibonacci heaps

Using a Fibonacci heap instead of a binary heap:

- BuildHeap is called once and its complexity is $O(n)$.
- DecreaseKey is called $O(m)$ times and its complexity is $O(1)$.
- ExtractMin is called $O(n)$ times and its complexity is $O(\log n)$.

Therefore the overall complexity of Dijkstra algorithm in this implementation is $O(m+n \log n)$.

## Data-dependent data-structures

Bucket: array of sets that uses the key values as indices.
It requires two assumptions:

- all values are integer;
- all values are bounded by a known constant $C$.

In Dijkstra algorithm all the values of non-permanent labels are in the range $[0, \ldots, n C]$, where $C=\max _{(i, j) \in A}\left\{c_{i j}\right\}$.

## Operations

Initialize.
Initialize an array of $n C+1$ empty buckets, indexed by $0,1, \ldots, n C$.
Set an index MinValue to 0 .
Complexity: $O(n C)$.
Insert(x).
Insert $x$ into Bucket[key(x)].
Complexity: $O(1)$.

## Operations

DecreaseKey ( $x, v$ ).
Extract $x$ from Bucket $[k e y(x)]$ and insert it into Bucket $[v]$.
Complexity: $O(1)$.
ExtractMin.
Increase MinValue iteratively until a non-empty bucket is found in position $p$.
Remove an element from Bucket[p].
Complexity: $O(n C)$.
Amortized complexity for all ExtractMin operations is $O(C)$ (i.e. $O(1)$ ), because $n-1$ iterations are done and MinValue never decreases.

## Buckets

Dijkstra algorithm using buckets (Dial implementation):

- Insert is called $O(n)$ times and its complexity is $O(1)$.
- DecreaseKey is called $O(m)$ times and its complexity is $O(1)$.
- ExtractMin is called $O(n)$ times and its amortized complexity is $O(C)$.
Complexity: $O(m+n C)$.
This implementation has pseudo-polynomial complexity.


## Radix heap

A radix heap is made by $1+\left\lfloor\log _{2}(C)\right\rfloor$ buckets.
Bucket $k=0$ contains 1 key value;
each bucket $k \geq 1$ contains $2^{k-1}$ key values, from $2^{k-1}$ to $2^{k}-1$. An array $\lambda[k]$ indicates the minimum key value in each bucket $k$.

Initialization.
Allocate the array in $O\left(\log _{2}(C)\right)$.
Set $\lambda[0]=1$; set $\lambda[k]=2^{k-1}$ in $O(1) \forall k \geq 1$. Set MinValue to 0 in $O(1)$. Complexity: $O\left(\log _{2}(C)\right)$.
$\operatorname{Insert}(x)$ (initially).
Insert element $x$ with $\operatorname{key}(x)=v$ in bucket $k=1+\left\lfloor\log _{2}(v)\right\rfloor$.
Complexity: $O(1)$ for each inserted element.

## Radix heap

DecreaseKey ( $x, v$ ).
Test each bucket $k^{\prime}$ from $k$ down to 1 until $\lambda\left[k^{\prime}\right] \leq v$ is found in $O\left(\log _{2}(C)\right)$.
Extract $x$ from its bucket $k$ and insert it into bucket $k^{\prime}$ in $O(1)$.
Complexity: $O\left(\log _{2}(C)\right)$ (amortized).

## ExtractMin.

Starting at MinValue, scan the heap until a non-empty bucket $k$ is found.
If $k \geq 2$, replace bucket $k$ by $k$ buckets of size $1,1,2,4, \ldots, 2^{k-2}$. Set $\lambda[0]=\lambda[k]$ and $\lambda\left[k^{\prime}\right]=\lambda[0]+2^{k^{\prime}-1} \quad \forall k^{\prime}=1, \ldots, k-1$ in $O\left(\log _{2}(C)\right)$.
For each element of bucket $k$, find its new bucket $k^{\prime}<k$ in $O\left(\log _{2}(C)\right)$ and insert it.
Complexity: $O\left(\log _{2}(C)\right)$ (amortized).
Whenever an element is moved by DecreaseKey or ExtractMin, it always goes down the list of $\log _{2}(C)$ buckets.

## Radix heap

Dijkstra algorithm with a radix heap:

- Insert is initially called $O(n)$ times and its complexity is $O(1)$.
- DecreaseKey is called $O(m)$ times and its complexity is $O(1)$ for each execution plus the time to move the elements which takes $O\left(n \log _{2}(C)\right)$ overall.
- ExtractMin is called $O(n)$ times and its amortized complexity is $O\left(\log _{2}(C)\right)$.

Complexity: $O\left(m+n \log _{2}(C)\right)$.
This implementation has polynomial complexity in the input size.

## Dijkstra algorithm implementations

| Data structure | Insert | DecreaseKey | ExtractMin | Total complexity |
| :---: | :---: | :---: | :---: | :---: |
| Basic | $O(1)$ | $O(1)$ | $O(n)$ | $O\left(n^{2}\right)$ |
| Binary heap | $O\left(\log ^{n} n\right.$ | $O\left(\log _{n} n\right)$ | $O(\log n)$ | $O(m \log n)$ |
| $d$-heap | $O\left(\log _{d} n\right)$ | $O\left(\log _{d} n\right)$ | $O\left(d \log _{d} n\right)$ | $O\left(m \log _{m / n} n\right)$ |
| Buckets | $O(1)$ | $O(1)$ | $O(n C)_{T}$ | $O(m+n C)$ |
| Radix heap | $O(n \log (C))_{T}$ | $O(n \log (C))_{T}$ | $O(n \log (C))_{T}$ | $O(m+n \log (C))$ |
| Fib. heap | $O(m)_{T}$ | $O(m)_{T}$ | $O(n \log n)_{T}$ | $O(m+n \log n)$ |

Improved priority queue: $O(m \log \log C)$.
Radix + Fibonacci heaps: $O(m+\sqrt{\log C})$.

