Algorithms

Implementation and data-structures

# The shortest path tree problem Combinatorial optimization

Giovanni Righini



## The Shortest Path Tree Problem

#### Data:

- a digraph  $\mathcal{D} = (\mathcal{N}, \mathcal{A})$  with  $|\mathcal{N}| = n$  nodes and  $|\mathcal{A}| = m$  arcs;
- a source node  $s \in \mathcal{N}$ ;
- a cost function  $c : A \mapsto \Re$ .

#### Shortest Path Tree Problem.

Find all minimum cost (i.e. shortest) paths from s to all nodes in  $\mathcal{N}$ .

The problem is called Shortest Path Tree/Arborescence Problem, because of a property of its solution: the set of all shortest paths forms a spanning arborescence rooted in *s*.



Implementation and data-structures

## The shortest paths arborescence

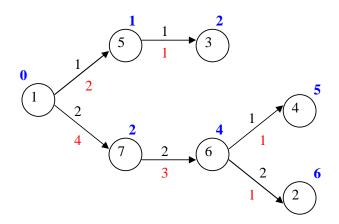


Figure: A shortest paths arborescence (s = 1). Costs are black. Flows are red. Distances are blue.



## Bellman's optimality principle

Bellman's optimality principle states that every optimal policy is made by optimal sub-policies.

Translating this statement for the SPP: every shortest path from *s* to  $t \in \mathcal{N}$  visiting  $i \in \mathcal{N}$  is made by the shortest path from *s* to *i* and the shortest path from *i* to *t*.

As a consequence of this principle, the set of all the shortest paths from *s* to  $\mathcal{N}$  forms a spanning arborescence rooted in *s*.

But this "principle" is indeed a theorem: it can be proved, instead of assumed.

We do *not* assume a priori that we are looking for a spanning arborescence rooted in *s*.



## The mathematical model: variables

**Variables.**  $x_{ij} \in \mathcal{Z}_+ \ \forall (i, j) \in \mathcal{A}$ : number of shortest paths that use arc (i, j).

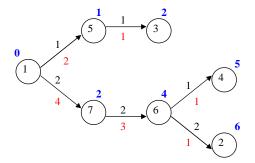


Figure: One unit of flow goes from *s* to each other node. The flow on each arc equals the number of nodes that are reached through it.



## The mathematical model: obj. function

**Objective function.** Minimize each path from *s* to  $t \forall t \in \mathcal{N}$ :

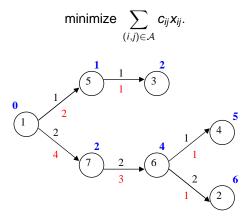


Figure: The sum of the costs times the flow equals the sum of the distances:  $1 \times 2 + 1 \times 1 + 2 \times 4 + 2 \times 3 + 1 \times 1 + 2 \times 1 = 0 + 1 + 2 + 2 + 4 + 5 + 6 = 20$ 

*t*}

## The mathematical model: constraints

**Constraints.** *Flow conservation constraints* for each shortest path from *s* to  $t \in \mathcal{N}$ :

$$\sum_{(j,i)\in\delta_i^-} x_{ji} - \sum_{(i,j)\in\delta_i^+} x_{ij} = 0 \quad \forall i \in \mathcal{N} \setminus \{s, \\ \sum_{(j,s)\in\delta_s^-} x_{js} - \sum_{(s,j)\in\delta_s^+} x_{sj} = -1 \\ \sum_{(j,t)\in\delta_t^-} x_{jt} - \sum_{(t,j)\in\delta_t^+} x_{tj} = +1$$

Summing them up for all  $t \in \mathcal{N}$ :

$$\sum_{(j,i)\in\delta_i^-} x_{ji} - \sum_{(i,j)\in\delta_i^+} x_{ij} = 1 \quad \forall i \in \mathcal{N} \setminus \{s\}$$
$$\sum_{(j,s)\in\delta_s^-} x_{js} - \sum_{(s,j)\in\delta_s^+} x_{sj} = 1 - n$$



Algorithms

Implementation and data-structures

#### SPP: primal formulation (ILP)

$$\begin{split} \hat{P}) \text{ minimize } & \sum_{(i,j) \in \mathcal{A}} c_{ij} x_{jj} \\ \text{ s.t. } & \sum_{(j,i) \in \delta_i^-} x_{ji} - \sum_{(i,j) \in \delta_i^+} x_{ij} = 1 \qquad \forall i \in \mathcal{N} \setminus \{s\} \\ & \sum_{(j,s) \in \delta_s^-} x_{js} - \sum_{(s,j) \in \delta_s^+} x_{sj} = 1 - n \\ & x_{ij} \in \mathcal{Z}_+ \qquad \forall (i,j) \in \mathcal{A}. \end{split}$$

**Observation 1.** The constraint matrix is totally unimodular.

**Observation 2.** The right-hand-sides of the constraints are all integer numbers.

Therefore every base solution of the continuous relaxation of  $\hat{P}$  has integer coordinates.

Implementation and data-structures

## Reformulation (relaxation) of the primal problem (LP)

Hence we can relax the integrality restrictions:

$$\begin{array}{ll} \textit{P} \textit{) minimize } & \sum_{(i,j)\in\mathcal{A}} c_{ij} x_{ij} \\ \textit{s.t. } & \sum_{(j,i)\in\delta_i^-} x_{ji} - \sum_{(i,j)\in\delta_i^+} x_{ij} = 1 \\ & \sum_{(j,s)\in\delta_s^-} x_{js} - \sum_{(s,j)\in\delta_s^+} x_{sj} = 1 - n \\ & x_{ij} \geq 0 \end{array} \quad \forall (i,j) \in \mathcal{A}. \end{array}$$

This primal problem P has a dual problem D. For the primal-dual pair (P, D) the LP duality theorems hold.



1

Algorithms

Implementation and data-structures

## SPP: Dual formulation (LP)

$$\begin{array}{ll} \text{P) minimize } & \sum_{(i,j)\in\mathcal{A}} c_{ij}x_{ij} \\ \text{s.t. } & \sum_{(j,i)\in\delta_i^-} x_{ji} - \sum_{(i,j)\in\delta_i^+} x_{ij} = 1 \\ & \sum_{(j,s)\in\delta_s^-} x_{js} - \sum_{(s,j)\in\delta_s^+} x_{sj} = 1 - n \\ & x_{ij} \ge 0 \end{array} \quad \forall (i,j)\in\mathcal{A}. \end{array}$$

D) maximize 
$$\sum_{i \in \mathcal{N} \setminus \{s\}} y_i + (1 - n)y_s$$
  
s.t.  $y_j - y_i \leq c_{ij}$   
 $y_i$  free

 $\forall (i,j) \in \mathcal{A} \\ \forall i \in \mathcal{N}.$ 



UNIVERSITÀ DEGLI STUDI DI MILANO

Implementation and data-structures

An equivalent dual formulation (LP)

$$\begin{array}{ll} \textit{D} \text{) maximize } & \sum_{i \in \mathcal{N} \setminus \{s\}} y_i + (1 - n) y_s \\ \text{s.t. } & y_j - y_i \leq c_{ij} & \forall (i, j) \in \mathcal{A} \\ & y_i \text{ free } & \forall i \in \mathcal{N}. \end{array}$$

**Observation 1.** Adding a constant  $\alpha$  to each *y* variable, nothing changes. Hence we can fix a variable:

**Observation 2.** There are *m* inequality constraints, n - 1 original *y* variables and *m* slack variables. The LP tableau of the dual problem has *m* rows and n - 1 + m columns. Hence, in each base solution of *D* there should be *m* basic variables and n - 1 non-basic (null) variables. For the complementary slackness theorem, there should be n - 1 basic variables in the primal problem.



Implementation and data-structures

## An equivalent primal formulation (LP)

$$\begin{array}{ll} P) \text{ minimize } & \sum_{(i,j)\in\mathcal{A}} c_{ij} x_{ij} \\ \text{ s.t. } & \sum_{(j,i)\in\delta_i^-} x_{ji} - \sum_{(i,j)\in\delta_i^+} x_{ij} = 1 \\ & \sum_{(j,s)\in\delta_s^-} x_{js} - \sum_{(s,j)\in\delta_s^+} x_{sj} = 1 - n \\ & x_{ij} \ge 0 \end{array} \qquad \forall (i,j)\in\mathcal{A}. \end{array}$$

**Observation 3.** There are *n* equality constraints that are not linearly independent: summing up all the rows we obtain 0 = 0. Hence we can delete a constraint: the flow conservation constraint for *s*. **Observation 4.** There are now n - 1 equality constraints and *m* variables. The LP tableau of *P* has n - 1 rows and *m* columns. Hence, in each base solution of *P* there are n - 1 basic variables and m - (n - 1) non-basic variables.

Implementation and data-structures

Complementary slackness conditions (CSC)

$$\begin{array}{l} \mathsf{P}') \text{ minimize } z = \sum_{(i,j) \in \mathcal{A}} c_{ij} x_{ij} \\ \text{ s.t. } \sum_{(j,i) \in \delta_i^-} x_{ji} - \sum_{(i,j) \in \delta_i^+} x_{ij} = 1 \qquad \forall i \in \mathcal{N} \backslash \{s\} \\ x_{ij} \geq 0 \qquad \forall (i,j) \in \mathcal{A}. \end{array}$$

$$\begin{array}{ll} \mathcal{D}') \text{ maximize } \mathbf{w} = \sum_{i \in \mathcal{N} \setminus \{s\}} \mathbf{y}_i \\ \text{ s.t. } \mathbf{y}_j - \mathbf{y}_i \leq \mathbf{c}_{ij} & \forall (i,j) \in \mathcal{A} \\ \mathbf{y}_i \text{ free } & \forall i \in \mathcal{N} \setminus \{s\}. \end{array}$$

**Primal CSCs:**  $x_{ij}(c_{ij} + y_i - y_j) = 0$ Basic variables in *P'* correspond to active constraints in *D'*. Only arcs (i, j) for which  $y_i + c_{ij} = y_j$  can carry flow  $x_{ij}$ .



## The Ford-Fulkerson algorithm (1962)

A spanning *s*-arborescence is completely described by a vector of predecessors, one for each node but *s*.

```
for i \in \mathcal{N} \setminus \{s\} do

y_i \leftarrow \infty

\pi_i \leftarrow nil

y_s \leftarrow 0

\pi_s \leftarrow s

V \leftarrow \{(s,j) \in \mathcal{A}\}

while V \neq \emptyset do

(i,j) \leftarrow \text{Select}(V)

y_j \leftarrow y_i + c_{ij}

\pi_j \leftarrow i

Update(V)
```

#### Data structures:

- a predecessor label,  $\pi_i \ \forall i \in \mathcal{N}$ ;
- a cost label,  $y_i \forall i \in \mathcal{N}$ .
- V = {(*i*, *j*) ∈ A} s.t. *y<sub>j</sub>* − *y<sub>i</sub>* > *c<sub>ij</sub>* (violated dual constraints).

Different algorithms with different worst-case time complexity are obtained from different implementations of the *Select* function.



Implementation and data-structures

## Feasibility

After initialization we have neither primal feasibility nor dual feasibility.

#### **Primal viewpoint:**

We have  $\pi_i = nil$  for all  $i \in \mathcal{N}$ ; hence no flow enters any node.

#### **Dual viewpoint:**

We have  $y_j = \infty$  for all  $j \in \mathcal{N} \setminus \{s\}$ ; hence all constraints  $y_j - y_s \leq c_{sj}$  are violated.

The algorithm *maintains the CSCs* and iteratively *enforces primal and dual feasibility*.



## **Dual descent**

After each iteration one of the dual values  $y_i$  is decreased

- from a value such that  $y_j y_i > c_{ij}$
- to a value such that  $y_j y_i = c_{ij}$

so that arc (i, j) becomes *tight* and  $x_{ij}$  enters the primal basis.

The update affects the other constraints (dual viewpoint) and arcs (primal viewpoint).

• Case *I*: before the iteration,  $y_j = \infty$  and  $\pi_j = nil$ . Then arc (i, j) becomes tight and nothing else changes. Flow can now reach *j* from *i* (node *j* has been appended to the arborescence).

• Case II: before the iteration,  $y_j \neq \infty$  and  $\pi_j = k$ . Then, *j* was already in the arborescence and was receiving flow from some node *k* along a tight arc (k, j), i.e.  $y_j - y_k = c_{kj}$ . After the iteration, arc (k, j) is no longer tight, i.e.  $y_j - y_k < c_{kj}$  and cannot carry flow any more.

Node *j* now receives flow from *i* and not from *k*.



Algorithms

Case I

Implementation and data-structures

2 5 5 3 3 5 6

Figure: Arc (5, 6) becomes tight and  $y_6$  takes a finite value.



Algorithms

Implementation and data-structures



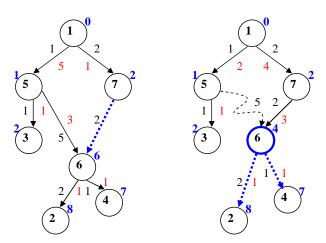


Figure: Arc (7, 6) replaces arc (5, 6). Arcs (6, 2) and (6, 4) become infeasible again.



Implementation and data-structures

## A mechanical analogy

Assume we have *n* balls to be linked together by a set of *m* strings of given lengths. Let ball *s* to be fixed at the ceiling and let  $y_i$  be the distance of ball *i* from the ceiling ( $y_s = 0$ ).

Initially all balls but *s* are put on the floor, very far from the ceiling  $(y_i = \infty)$ , and they are not connected to the ceiling  $(\pi_i = nil)$ .

Iteratively take a string (i, j) and append ball *j* to ball *i*. Select one for which the distance between the balls exceeds the length of the string (so you will never select *i* on the floor).

In doing this you can either link a ball j that was on the floor to a ball i hanging from above (Case I) or pull up a ball j already hanging from the ceiling by connecting it to a ball i over it (Case II).

When all strings have been used (all dual constraints have been enforced), there are n - 1 tight strings (the spanning arborescence).

This analogy holds for the case in which  $c_{ij} \ge 0 \quad \forall (i,j) \in A$ .



Implementation and data-structures

## Termination

The algorithm always achieves primal and dual feasibility, but two special cases may occur.

**Infeasibility.** If there is a node  $t \in \mathcal{N}$  not reachable from *s*, the algorithm does not find any arc  $(i, t) \in \mathcal{A}$  corresponding to a violated dual constraint. Hence  $y_t$  remains equal to  $\infty$ ; no arc entering *t* becomes tight; no flow can reach *t*: *the primal problem is infeasible* and *the dual problem is unbounded*.

**Unboundedness.** If there is a negative-cost cycle reachable from *s*, the algorithm keeps finding a violated dual constraint corresponding to one of the arcs in the cycle. Hence the algorithm enters a never-ending loop in which the *y* values of the nodes in the cycle are decreased to  $-\infty$  and it never finds a feasible dual solution: *the dual problem is infeasible* and *the primal problem is unbounded*.

The two things can also happen independently: both problems are infeasible.

Algorithms

Implementation and data-structures

## Bellman-Ford algorithm (1956,1958)

for 
$$i = 1, ..., n$$
 do  
 $y[i] \leftarrow c(s, i)$   
 $\pi[i] \leftarrow s$   
for  $k = 1, ..., n - 1$  do  
for  $(i, j) \in A$  do  
if  $(y[i] + c(i, j) < y[j])$  then  
 $\pi[j] \leftarrow i$   
 $y[j] \leftarrow y[i] + c(i, j)$ 

The time complexity is O(nm) because it requires O(n) iterations, each one with complexity O(m).



Algorithms

Implementation and data-structures

## Moore algorithm (1959)

for 
$$i = 1, ..., n$$
 do  
 $y[i] \leftarrow c(s, i)$   
 $\pi[i] \leftarrow s$   
 $Q \leftarrow \{s\}$   
while  $Q \neq \emptyset$  do  
*Extract*(Q, i)  
for  $(i, j) \in \delta^+(i)$  do  
if  $(y[i] + c(i, j) < y[j])$  then  
 $y[j] \leftarrow y[i] + c(i, j)$   
 $\pi[j] \leftarrow i$   
if  $j \notin Q$  then  
*Insert*(Q, j)

The worst-case time complexity is still O(nm) but in practice it runs faster than Bellman-Ford, because many operations are skipped and a structure of the s

## Moore algorithm (1959)

The performance of Moore's algorithm (also called SPFA, for Shortest Path Faster Algorithm) depends on how Q is implemented.

Nodes are not ordered in Q.

*Extract* and *Insert* take O(1); the complexity remains O(mn). No queue is needed; just a binary flag for each node.

- Nodes are sorted according to their value of y. A priority queue is used: Insert and Extract take  $O(\log n)$ , they are executed at most n-1 times for each node: they contribute  $O(n^2 \log n)$  to the complexity.
- An approximate order is given to the nodes, using a list. Extract always extracts the head of the list in O(1). Three *Insert* policies have been tried in practice:
  - FIFO: always inserts i at the end of the list (queue) in O(1).
  - Small Label First: if y(j) < y(First(Q)), then j is inserted as the first element of Q, otherwise as the last one, in O(1).
  - Large Label Last: let  $\overline{q}$  be the average of the values in Q (it can be updated in O(1) after each operation on Q); all elements larger than  $\overline{q}$  are moved at the end of Q in O(n). UNIVERSITÀ DEGLI STUDI DI MILANO



Algorithms

Implementation and data-structures

## Dijkstra's algorithm (1959)

$$\begin{array}{l} \textbf{T} \leftarrow \emptyset \\ \text{for } i \in \mathcal{N} \text{ do} \\ \textbf{y}(i) \leftarrow c(s,i) \\ \pi(i) \leftarrow s \\ f(i) \leftarrow (i = s) \\ \text{for } k = 1, \dots, n-1 \text{ do} \\ i^* \leftarrow \operatorname{argmin}_{i \in \mathcal{N}: \neg f(i)} \{ \textbf{y}(i) \} \\ \textbf{T} \leftarrow \textbf{T} \cup \{ (\pi(i^*), i^*) \} \\ f(i^*) \leftarrow true \\ \text{for } i \in \mathcal{N} \text{ do} \\ \text{ if } (\neg f(i)) \land (\textbf{y}(i^*) + c(i^*, i) < \textbf{y}(i)) \text{ then} \\ \pi(i) \leftarrow i^* \\ \textbf{y}(i) \leftarrow \textbf{y}(i^*) + c(i^*, i) \end{array}$$

The time complexity is  $O(n^2)$  (improvable). It requires  $c \ge 0$ .



## Dijkstra algorithm (dual ascent)

When  $c \ge 0$ , Dijkstra algorithm can be revisited as a dual ascent algorithm.

Assume to represent the graph as a set of stars (lists of outgoing arcs).

We introduce two node sets:

 O: set of nodes for which a path from s has been found, but the labels π and y are not permanent:

 $y(i) \leq d(s, i) \quad \pi(i) \neq nil \quad \forall i \in O$ 

• E: set of nodes with permanent labels:

y(i) = d(s, i)  $y(i) = y(\pi(i)) + c(\pi(i), i)$   $\forall i \in E$ 

where d(s, i) is the cost of a shortest path from s to  $i \forall i \in N$ .



Implementation and data-structures

## Dijkstra algorithm (dual ascent)

All dual variables *y* are initialized at 0. This corresponds to a feasible dual solution.

All primal variables  $\pi$  are not permanent. This corresponds to an infeasible primal solution.

The algorithm iteratively selects a node whose corresponding y and  $\pi$  are made permanent.

The selected node is used to update y and  $\pi$  for other nodes, keeping dual feasibility and keeping C.S.C. satisfied.

The values of y are non-decreasing (dual ascent procedure).

The algorithm terminates when all labels are permanent (or when *t* enters *E* if we are computing an s - t shortest path).



Algorithms

Implementation and data-structures

## Dijkstra algorithm (dual ascent)

$$\begin{array}{l} \mathsf{O} \leftarrow \{s\}; \quad E \leftarrow \emptyset; \quad w \leftarrow 0; \quad y(s) \leftarrow 0; \quad \pi(s) \leftarrow s \\ \texttt{while} \quad (O \neq \emptyset) \land (t \notin E) \texttt{ do} \\ j \leftarrow \operatorname{argmin}_{v \in O} \{c(\pi(v), v) - y(v) + y(\pi(v))\} \\ \theta \leftarrow c(\pi(j), j) - y(j) + y(\pi(j)) \\ \mathsf{O} \leftarrow O \setminus \{j\}; \quad E \leftarrow E \cup \{j\}; \quad w \leftarrow w + \theta; \quad y(j) \leftarrow w \\ \texttt{for} \quad k \in O \texttt{ do} \\ y(k) \leftarrow w \\ \texttt{for} \quad (j, k) \in \delta^+(j) : k \notin E \texttt{ do} \\ \texttt{if} \quad k \in O \texttt{ then} \\ \texttt{if} \quad y(j) + c(j, k) < y(\pi(k)) + c(\pi(k), k) \texttt{ then} \\ \pi(k) \leftarrow j \\ \texttt{else} \\ \mathsf{O} \leftarrow \mathsf{O} \cup \{k\}; \quad y(k) \leftarrow w; \quad \pi(k) \leftarrow j \end{array}$$



Implementation and data-structures

## Correctness

Dual feasibility is guaranteed after every iteration.

The rule for selecting the next node to insert in *E* is equivalent to find an arc from  $i \in E$  to  $j \in O$  corresponding to a dual constraint with minimum slack, i.e. minimum reduced cost.

Such a dual constraint becomes active (the corresponding arc becomes tight).

The other dual constraints, not corresponding to arcs in the (*E*, *O*) cut, are not affected by the increase of the dual variables  $y(i) \forall i \in O$ .

For each node *i* in *E*, y(i) - y(s) = d(s, i), and  $y(i) = d(s, i) \forall i \in E$  because y(s) remains fixed to 0.



## Dijkstra algorithm

The computational complexity of the array implementation of Dijkstra algorithm is  $O(n^2)$ .

However, it can be improved in case of sparse graphs, using suitable data-structures, such as *heaps*.



Implementation and data-structures

## Initialization

$$\begin{array}{l} H \leftarrow \emptyset \\ \text{for } i \in \mathcal{N} \text{ do} \\ \pi(i) \leftarrow nil \\ \text{if } i = s \text{ then} \\ y(i) \leftarrow 0 \\ \text{else} \\ y(i) \leftarrow +\infty \\ BuildHeap(H) \end{array}$$

*H* is a min-heap of nodes, partially sorted according to their associated *y* value.



Implementation and data-structures

## Dijkstra algorithm

```
Inizialization

while H \neq \emptyset do

ExtractMin(H, i, v)

for (i, j) \in \delta^+(i) do

if v + c(i, j) < y(j) then

DecreaseKey(j, v + c(i, j), H)

y(j) \leftarrow v + c(i, j)

\pi(j) \leftarrow i
```

Here  $\delta^+(i)$  indicates the set of arcs outgoing from *i*, while  $\pi$  and *y* are the primal and dual variables.



Algorithms

Implementation and data-structures

## Complexity

- BuildHeap is called once and has O(n) complexity.
- DecreaseKey is called O(m) times (each arc is used only once).
- ExtractMin is called O(n) times (the heap includes only *n* nodes).

The latter two sub-routines have complexity  $O(\log n)$  if the values of non-permanent labels are stored in a binary heap.

Therefore the overall complexity of Dijkstra algorithm implemented in this way is  $O(m \log n)$ .



## d-heaps

Dijkstra algorithm with a *d*-heap:

- each MoveDn requirs  $O(\log_d n)$  executions of Swap.
- the selection of the min cost successor node requires O(d).

In Dijkstra algorithm this occurs up to  $O(\log_d n)$  times for each call of ExtractMin and ExtractMin is called O(n) times.

- BuildHeap is called once and its complexity is *O*(*n*) (same as binary heaps).
- DecreaseKey is called O(m) times and its complexity is O(log<sub>d</sub> n).
- ExtractMin is called O(n) times and its complexity is  $O(d \log_d n)$ .

Complexity:  $O(nd \log_d n + m \log_d n)$ .



## d-heaps

The resulting complexity  $O(nd \log_d n + m \log_d n)$  depends on *d*.

Best choice:  $d = \lfloor m/n \rfloor$ , yielding complexity  $O(m \log_{m/n} n) = O(m \frac{\log_n n}{\log_n \frac{m}{n}}) = O(m \frac{1}{\log_n m-1}).$ 

Assuming  $m = \Omega(n^{\epsilon})$  for any fixed  $\epsilon > 1$ , the complexity is  $O(m\frac{1}{\epsilon-1}) = O(m)$ .

The complexity is linear in m for very mild hypothesis on the density of the digraph.



Implementation and data-structures

## Fibonacci heaps

Using a Fibonacci heap instead of a binary heap:

- BuildHeap is called once and its complexity is O(n).
- DecreaseKey is called O(m) times and its complexity is O(1).
- ExtractMin is called O(n) times and its complexity is  $O(\log n)$ .

Therefore the overall complexity of Dijkstra algorithm in this implementation is  $O(m + n \log n)$ .



## Data-dependent data-structures

Bucket: array of sets that uses the key values as indices.

It requires two assumptions:

- all values are integer;
- all values are bounded by a known constant *C*.

In Dijkstra algorithm all the values of non-permanent labels are in the range [0, ..., nC], where  $C = \max_{(i,j) \in A} \{c_{ij}\}$ .



Implementation and data-structures

## Operations

#### Initialize.

Initialize an array of nC + 1 empty buckets, indexed by 0, 1, ..., nC. Set an index *MinValue* to 0. **Complexity:** O(nC).

Insert(x). Insert x into Bucket[key(x)]. Complexity: O(1).



Implementation and data-structures

## Operations

DecreaseKey(x, v). Extract x from Bucket[key(x)] and insert it into Bucket[v]. Complexity: O(1).

*ExtractMin.* Increase *MinValue* iteratively until a non-empty bucket is found in position *p*.

Remove an element from *Bucket*[*p*].

Complexity: O(nC).

Amortized complexity for all *ExtractMin* operations is O(C) (i.e. O(1)), because n - 1 iterations are done and *MinValue* never decreases.



Implementation and data-structures

## **Buckets**

Dijkstra algorithm using buckets (Dial implementation):

- *Insert* is called O(n) times and its complexity is O(1).
- DecreaseKey is called O(m) times and its complexity is O(1).
- *ExtractMin* is called *O*(*n*) times and its amortized complexity is *O*(*C*).

Complexity: O(m + nC).

This implementation has pseudo-polynomial complexity.



Implementation and data-structures

## Radix heap

A radix heap is made by  $1 + \lfloor \log_2(C) \rfloor$  buckets. Bucket k = 0 contains 1 key value; each bucket  $k \ge 1$  contains  $2^{k-1}$  key values, from  $2^{k-1}$  to  $2^k - 1$ . An array  $\lambda[k]$  indicates the minimum key value in each bucket k.

Initialization. Allocate the array in  $O(\log_2(C))$ . Set  $\lambda[0] = 1$ ; set  $\lambda[k] = 2^{k-1}$  in  $O(1) \quad \forall k \ge 1$ . Set *MinValue* to 0 in O(1). **Complexity:**  $O(\log_2(C))$ .

*Insert*(*x*) (initially). Insert element *x* with key(x) = v in bucket  $k = 1 + \lfloor \log_2(v) \rfloor$ . **Complexity:** O(1) for each inserted element.



## Radix heap

DecreaseKey(x, v). Test each bucket k' from k down to 1 until  $\lambda[k'] \le v$  is found in  $O(\log_2(C))$ . Extract x from its bucket k and insert it into bucket k' in O(1). Complexity:  $O(\log_2(C))$  (amortized).

ExtractMin.

Starting at *MinValue*, scan the heap until a non-empty bucket *k* is found.

If  $k \ge 2$ , replace bucket k by k buckets of size 1, 1, 2, 4, ...,  $2^{k-2}$ . Set  $\lambda[0] = \lambda[k]$  and  $\lambda[k'] = \lambda[0] + 2^{k'-1} \quad \forall k' = 1, ..., k-1$  in  $O(\log_2(C))$ .

For each element of bucket k, find its new bucket k' < k in  $O(\log_2(C))$  and insert it.

**Complexity:**  $O(\log_2(C))$  (amortized).

Whenever an element is moved by *DecreaseKey* or *ExtractMin*, it always goes *down* the list of  $\log_2(C)$  buckets.



Implementation and data-structures

## Radix heap

Dijkstra algorithm with a radix heap:

- *Insert* is initially called O(n) times and its complexity is O(1).
- DecreaseKey is called O(m) times and its complexity is O(1) for each execution plus the time to move the elements which takes O(nlog<sub>2</sub> (C)) overall.
- *ExtractMin* is called *O*(*n*) times and its amortized complexity is *O*(log<sub>2</sub>(*C*)).

Complexity:  $O(m + n \log_2 (C))$ .

This implementation has *polynomial* complexity in the input size.



Algorithms

Implementation and data-structures

## Dijkstra algorithm implementations

Data structure	Insert	DecreaseKey	ExtractMin	Total complexity
Basic	O(1)	O(1)	O(n)	O(n <sup>2</sup> )
Binary heap	$O(\log n)$	$O(\log n)$	$O(\log n)$	$O(m \log n)$
<i>d</i> -heap	$O(\log_d n)$	$O(\log_d n)$	$O(d \log_d n)$	$O(m \log_{m/n} n)$
Buckets	O(1)	O(1)	$O(nC)_T$	O(m + nC)
Radix heap	$O(n \log{(C)})_T$	$O(n \log(C))_T$	$O(n \log{(C)})_T$	$O(m + n \log{(C)})$
Fib. heap	$O(m)_T$	$O(m)_T$	$O(n \log n)_T$	$O(m + n \log n)$

Improved priority queue:  $O(m \log \log C)$ . Radix + Fibonacci heaps:  $O(m + \sqrt{\log C})$ .

