

## Image segmentation

Stefano Ferrari

Università degli Studi di Milano  
stefano.ferrari@unimi.it

### Elaborazione delle immagini (Image processing I)

academic year 2011–2012

## Segmentation by thresholding

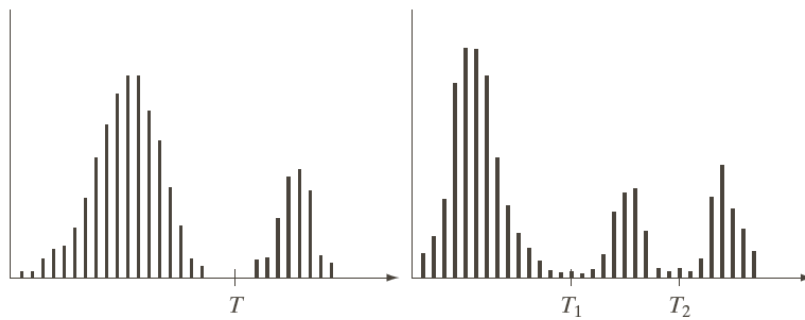
- ▶ Thresholding is the simplest segmentation method.
- ▶ The pixels are partitioned depending on their intensity value.
- ▶ Global thresholding, using an appropriate threshold  $T$ :

$$g(x, y) = \begin{cases} 1, & \text{if } f(x, y) > T \\ 0, & \text{if } f(x, y) \leq T \end{cases}$$

- ▶ Variable thresholding, if  $T$  can change over the image.
  - ▶ Local or regional thresholding, if  $T$  depends on a neighborhood of  $(x, y)$ .
  - ▶ adaptive thresholding, if  $T$  is a function of  $(x, y)$ .
- ▶ Multiple thresholding:

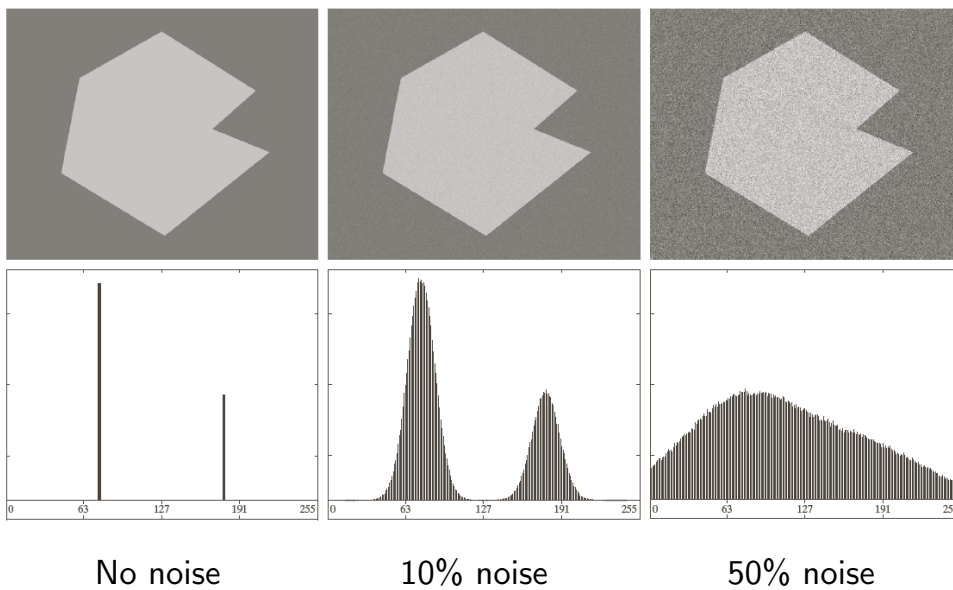
$$g(x, y) = \begin{cases} a, & \text{if } f(x, y) > T_2 \\ b, & \text{if } T_1 < f(x, y) \leq T_2 \\ c, & \text{if } f(x, y) \leq T_1 \end{cases}$$

## Choosing the thresholds

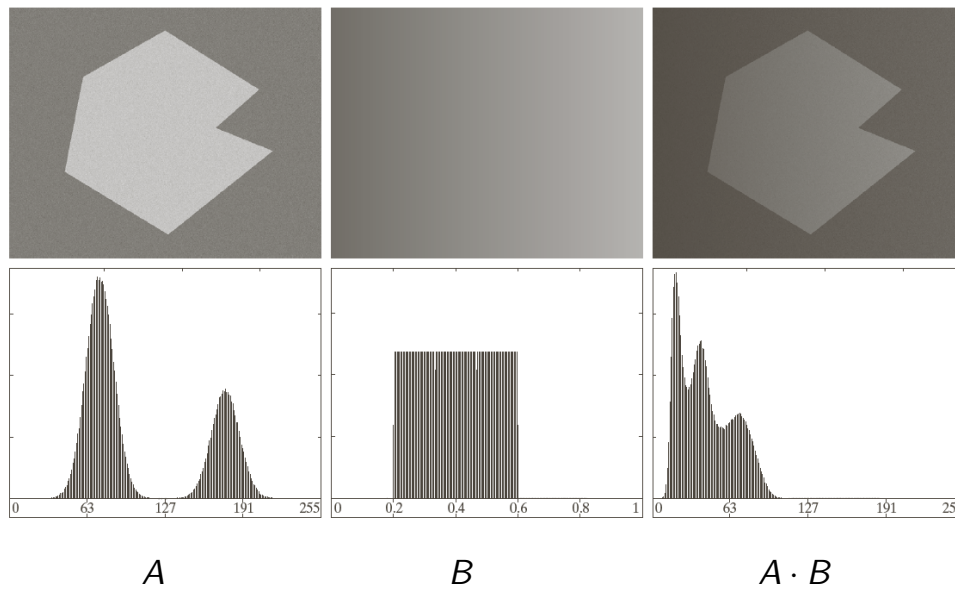


- ▶ Peaks and valleys of the image histogram can help in choosing the appropriate value for the threshold(s).
- ▶ Some factors affect the suitability of the histogram for guiding the choice of the threshold:
  - ▶ the separation between peaks;
  - ▶ the noise content in the image;
  - ▶ the relative size of objects and background;
  - ▶ the uniformity of the illumination;
  - ▶ the uniformity of the reflectance.

## Noise role in thresholding



## Illumination and reflection role in thresholding



## Global thresholding

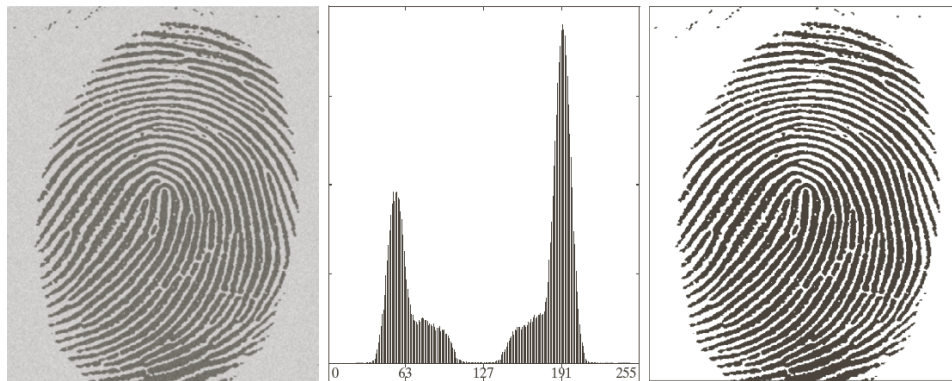
A simple algorithm:

1. Initial estimate of  $T$
2. Segmentation using  $T$ :
  - ▶  $G_1$ , pixels brighter than  $T$ ;
  - ▶  $G_2$ , pixels darker than (or equal to)  $T$ .
3. Computation of the average intensities  $m_1$  and  $m_2$  of  $G_1$  and  $G_2$ .
4. New threshold value:

$$T_{\text{new}} = \frac{m_1 + m_2}{2}$$

5. If  $|T - T_{\text{new}}| > \Delta T$ , back to step 2, otherwise stop.

## Global thresholding: an example



## Otsu's method

- ▶ Otsu's method is aimed in finding the optimal value for the global threshold.
- ▶ It is based on the interclass variance maximization.
  - ▶ Well thresholded classes have well discriminated intensity values.
- ▶  $M \times N$  image histogram:
  - ▶  $L$  intensity levels,  $[0, \dots, L - 1]$ ;
  - ▶  $n_i$  #pixels of intensity  $i$ :

$$MN = \sum_{i=0}^{L-1} n_i$$

- ▶ Normalized histogram:

$$p_i = \frac{n_i}{MN}$$

$$\sum_{i=0}^{L-1} p_i = 1, \quad p_i \geq 0$$

## Otsu's method (2)

- ▶ Using  $k$ ,  $0 < k < L - 1$ , as threshold,  $T = k$ :
  - ▶ two classes:  $C_1$  (pixels in  $[0, k]$ ) and  $C_2$  (pixels in  $[k + 1, L - 1]$ )
  - ▶  $P_1 = P(C_1) = \sum_{i=0}^k p_i$ , probability of the class  $C_1$ 
    - ▶  $P_2 = P(C_2) = \sum_{i=k+1}^{L-1} p_i = 1 - P_1$ , probability of the class  $C_2$
  - ▶  $m_1$ , mean intensity of the pixels in  $C_1$ :

$$\begin{aligned}m_1 &= \sum_{i=0}^k i \cdot P(i|C_1) \\ &= \sum_{i=0}^k i \frac{P(C_1|i)P(i)}{P(C_1)} \\ &= \frac{1}{P_1} \sum_{i=0}^k i \cdot p_i\end{aligned}$$

where  $P(C_1|i) = 1$ ,  $P(i) = p_i$  e  $P(C_1) = P_1$ .

## Otsu's method (3)

- ▶ Similarly,  $m_2$ , mean intensity of the pixels in  $C_2$ :

$$m_2 = \frac{1}{P_2} \sum_{i=k+1}^{L-1} i \cdot p_i$$

- ▶ Mean global intensity,  $m_G$ :

$$m_G = \sum_{i=0}^{L-1} i \cdot p_i$$

- ▶ while the mean intensity up to the  $k$  level,  $m$ :

$$m = \sum_{i=0}^k i \cdot p_i$$

- ▶ Hence:

$$P_1 m_1 + P_2 m_2 = m_G$$

$$P_1 + P_2 = 1$$

## Otsu's method (4)

- ▶ The global variance  $\sigma_G^2$ :

$$\sigma_G^2 = \sum_{i=0}^{L-1} (i - m_G)^2 \cdot p_i$$

- ▶ The *between-class variance*,  $\sigma_B$ , can be defined as:

$$\begin{aligned}\sigma_B^2 &= P_1(m_1 - m_G)^2 + P_2(m_2 - m_G)^2 \\ &= P_1 P_2 (m_1 - m_2)^2 \\ &= \frac{(m_G P_1 - m)^2}{P_1(1 - P_1)}\end{aligned}$$

- ▶ The *goodness* of the choice  $T = k$  can be estimated as the ratio  $\eta$ :

$$\eta = \frac{\sigma_B^2}{\sigma_G^2}$$

## Otsu's method (5)

- ▶ The quantities required for the computation of  $\eta$ , can be obtained from the histogram:
- ▶ Hence, for each value of  $k$ ,  $\eta(k)$  can be computed:

$$\eta(k) = \frac{\sigma_B^2(k)}{\sigma_G^2}$$

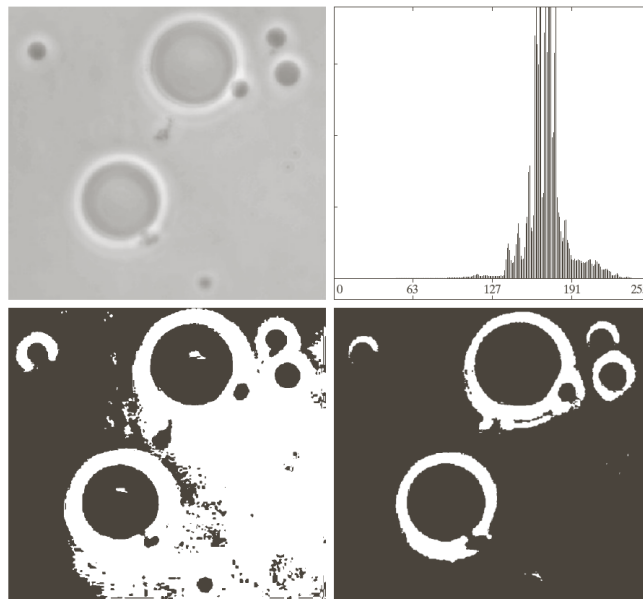
where

$$\sigma_B^2(k) = \frac{(m_G P_1(k) - m(k))^2}{P_1(k)(1 - P_1(k))}$$

- ▶ The optimal threshold value,  $k^*$ , satisfies:

$$\sigma_B^2(k^*) = \max_{0 < k < L-1} \sigma_B^2(k)$$

## Otsu's method: an example



a	b
c	d

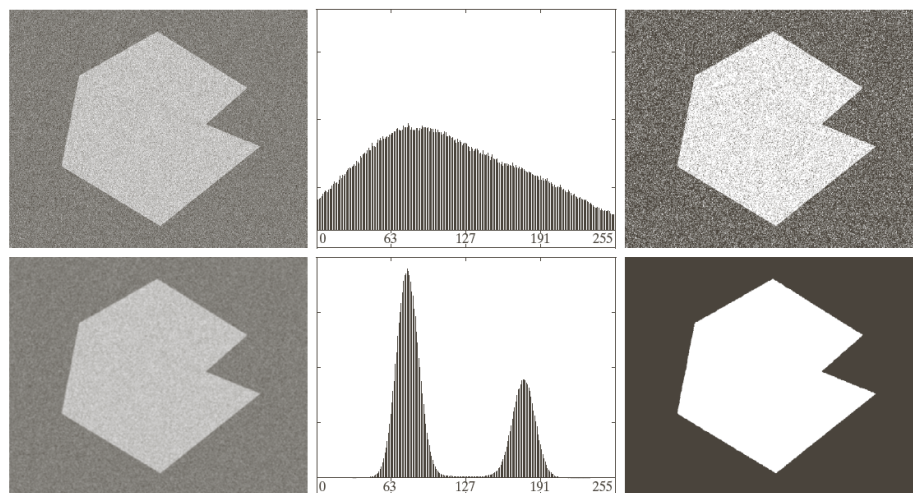
(a) original image;

(b) histogram of (a);

(c) global threshold:  
 $T = 169$ ,  
 $\eta = 0.467$ ;

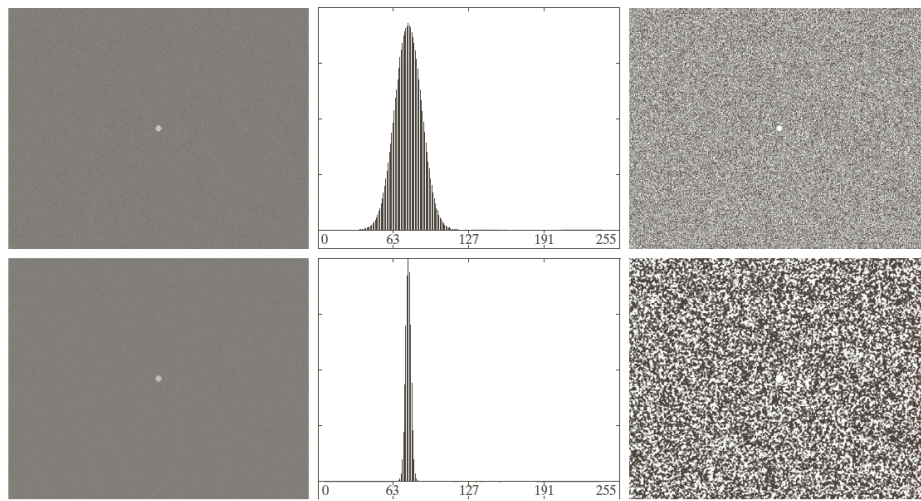
(d) Otsu's method:  
 $T = 181$ ,  
 $\eta = 0.944$ .

## Smoothing



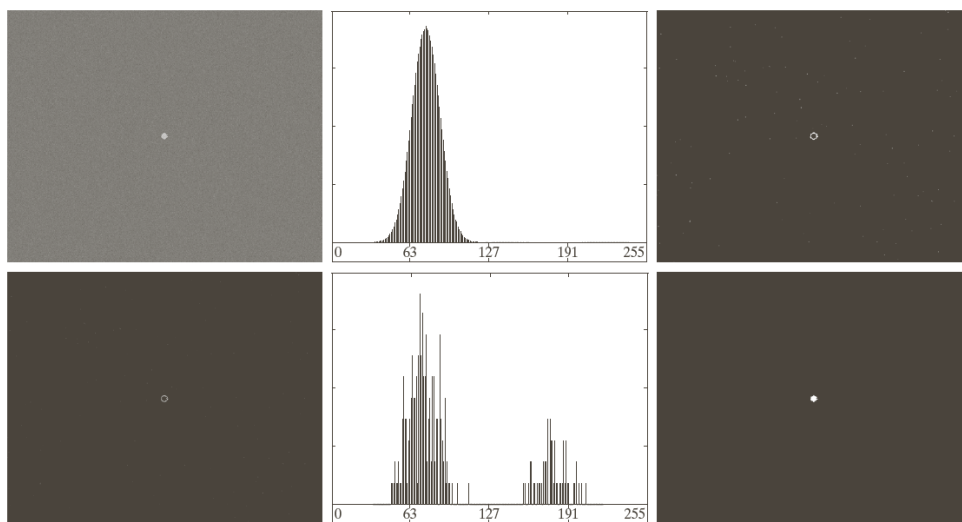
- ▶ Otsu's method may not work in presence of noise.
- ▶ Smoothing can produce a histogram with separated peaks.

## Significance of the histogram



- ▶ If the distribution is not balanced, no information can be extracted from the histogram.
- ▶ Smoothing cannot help.

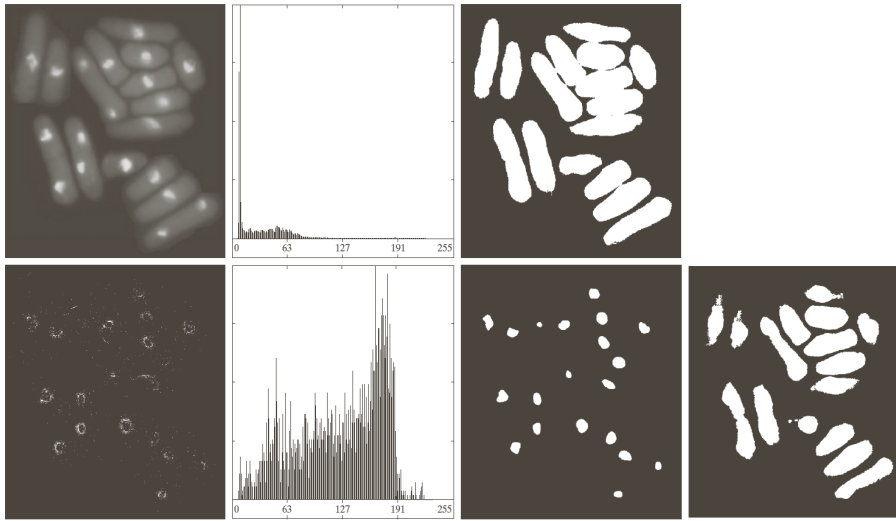
## Selection of the border region



- ▶ Edge extraction techniques (e.g., Laplacian), can be used for selecting the region that carry the valuable information:
  - ▶ Those pixels that belong to the objects and to the background with an equal probability.



## Use of edge for global thresholding (2)



- ▶ Changing the threshold of the Laplacian, several segmentations are obtained.
  - ▶ It can be useful for nested classes.

## Multiple thresholds Otsu's method

- ▶ The Otsu's method can be applied also for the multiple thresholds segmentation (generally, double threshold).
- ▶ Between-class variance:

$$\sigma_B^2(k_1, k_2) = P_1(m_1 - m_G)^2 + P_2(m_2 - m_G)^2 + P_3(m_3 - m_G)^2$$

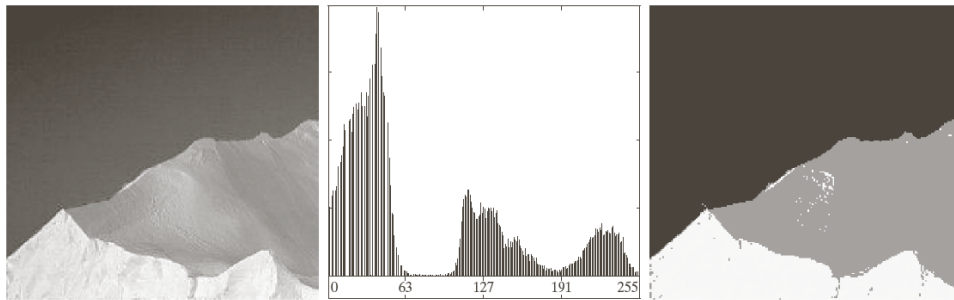
- ▶ The optimal thresholds  $k_1^*$  and  $k_2^*$  can be computed as:

$$\sigma_B^2(k_1^*, k_2^*) = \max_{0 < k_1 < k_2 < L-1} \sigma_B^2(k_1, k_2)$$

- ▶ The separability degree can be measured as:

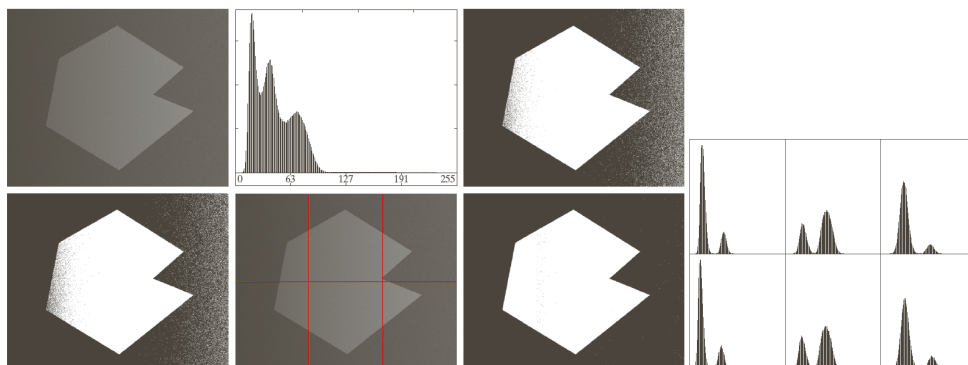
$$\eta(k_1^*, k_2^*) = \frac{\sigma_B^2(k_1^*, k_2^*)}{\sigma_G^2}$$

## Multiple thresholds Otsu's method: an example



## Image partitioning based thresholding

- ▶ In order to face non uniform illumination or reflectance, the image is partitioned and the thresholding is operated on each partition.
  - ▶ In each partition, the illuminance and reflectance is supposed uniform.
  - ▶ In each partition, objects and background have to be equally represented.



## Local properties based thresholding

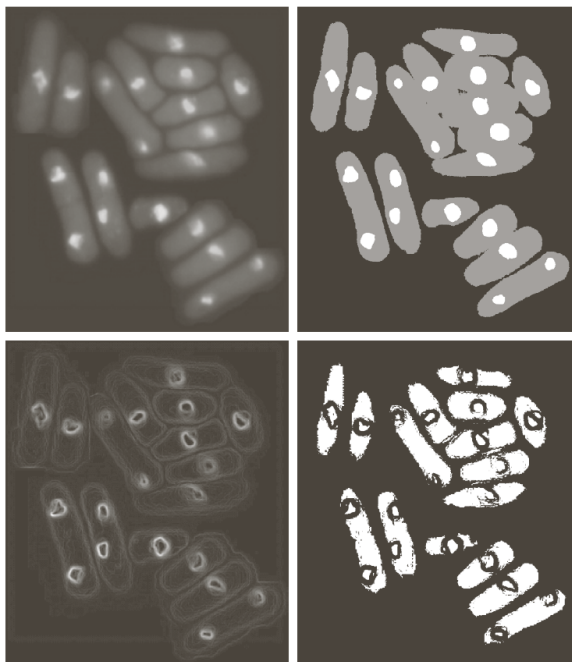
- ▶ Local properties (e.g., statistics) based criteria can be used for adapting the threshold.
- ▶ For example:
  - ▶  $T_{xy} = a\sigma_{xy} + bm_{xy}$
  - ▶  $T_{xy} = a\sigma_{xy} + bm_G$
- ▶ The segmentation is operated using a suitable predicate,  $Q_{xy}$ :

$$g(x, y) = \begin{cases} 1, & \text{if } Q_{xy} \\ 0, & \text{otherwise} \end{cases}$$

where  $Q_{xy}$  can be, for instance:

- ▶  $f(x, y) > T_{xy}$
- ▶  $f(x, y) > a\sigma_{xy}$  AND  $f(x, y) > bm_{xy}$
- ▶ This technique can be easily generalized to multiple thresholds segmentation.

## Local properties based thresholding: an example



a	b
c	d

- (b) segmentation of (a) with double threshold Otsu;
- (c) local (3×3) standard deviation;
- (d) segmentation with local thresholding.

## Moving averages thresholding

- ▶ Pixels are visited following a zigzag path and the statistics are computed using only the last  $n$  visited pixels.



## Growing based segmentation

- ▶ **Region growing** is a technique based on a controlled growing of some initial pixels (*seeds*).
- ▶ The selection of the *seeds* can be operated manually or using automatic procedures based on appropriate criteria.
  - ▶ A-priori knowledge can be included.
  - ▶ It is strictly application-dependent.
- ▶ The growing is controlled by the connectivity.
- ▶ The stop rule is another parameter of the algorithm.
  - ▶ It can depend on the a-priori knowledge on the problem.

## Region growing: the basic algorithm

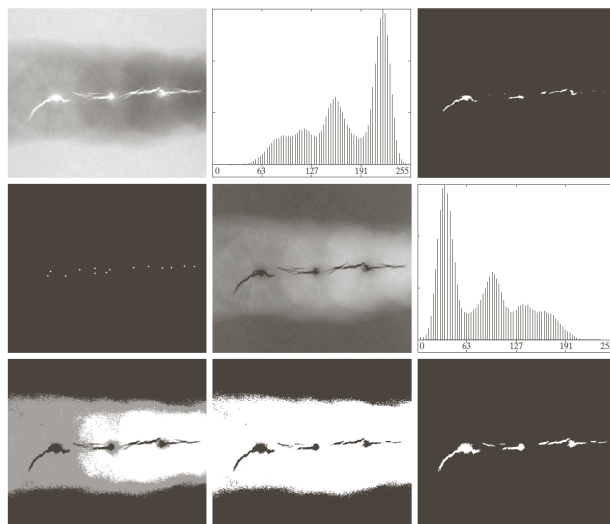
Given:

- ▶  $f(x, y)$ , the image to be segmented;
- ▶  $S(x, y)$ , binary image with the seeds (it is 1 only where the seeds are located);
- ▶  $Q$ , predicate to be tested for each location  $(x, y)$ .

A simple region growing algorithm (based on 8-connectivity) is the following:

1. Erode all the connected components of  $S$  until they are only one pixel wide.
2. Generate the binary image  $f_Q$  such that  $f_Q(x, y) = 1$  if  $Q(x, y)$  is true.
3. Create the binary image  $g$  where  $g(x, y) = 1$  if  $f_Q(x, y) = 1$  and  $(x, y)$  is 8-connected to a seed in  $S$ .
4. The resulting connected components in  $g$  are the segmented regions.

## Region growing: an example



- (a)  $f$
- (c)  $S(x, y) := f(x, y) > 254$
- (d) erosion of  $S$
- (e)  $|f - S|$
- (h)  $|f - S| > 68$
- (i) segmentation by region growing with  $Q := |f - S| \leq 68$

a	b	c
d	e	f
g	h	i

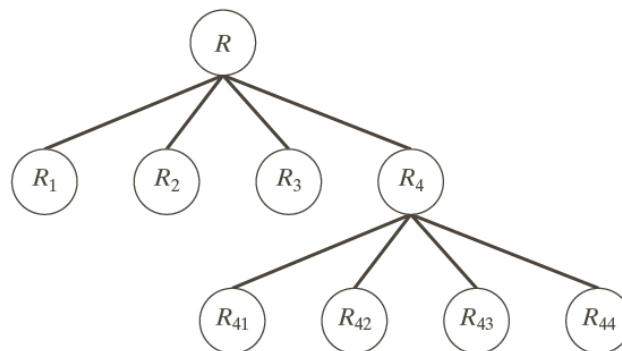
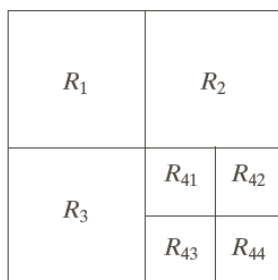
## Region splitting and merging

- ▶ Iterative subdivision of the image in homogeneous regions (*splitting*).
- ▶ Joining of the adjacent homogeneous regions (*merging*).

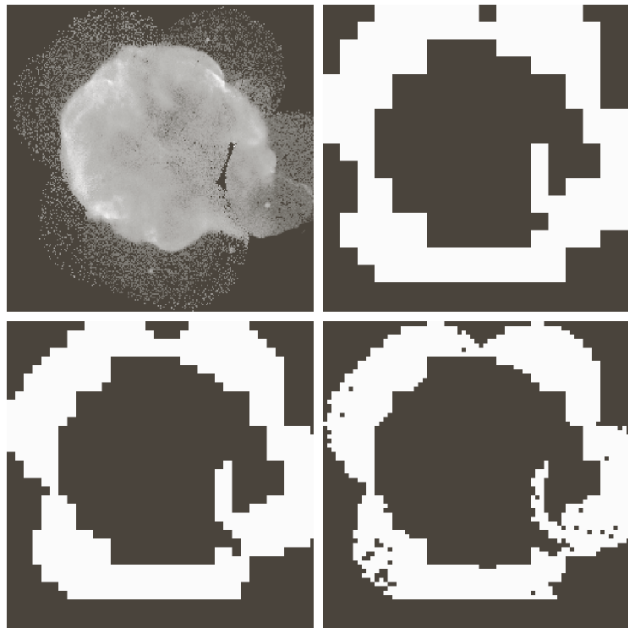
Given an image  $f$  and a predicate  $Q$ , the basic algorithm is:

1.  $R_1 = f$
2. Subdivision in quadrants of each region  $R_i$  for which  $Q(R_i) = \text{FALSE}$ .
3. If  $Q(R_i) = \text{TRUE}$  for every regions, merge those adjacent regions  $R_i$  and  $R_j$  such that  $Q(R_i \cup R_j) = \text{TRUE}$ ; otherwise, repeat step 2.
4. Repeat the step 3 until no merging is possible.

## Quadtree based partitioning



## Splitting and merging: an example

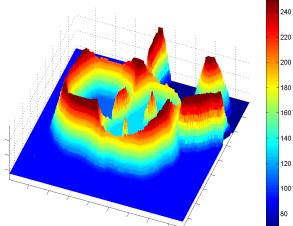
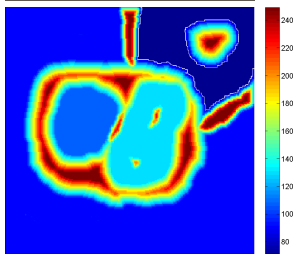
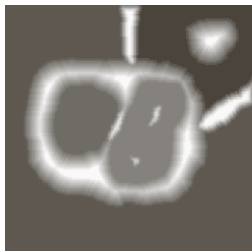


$$\begin{array}{c|c} a & b \\ \hline c & d \end{array}$$

$$Q := \sigma > a \text{ AND} \\ 0 < m < b$$

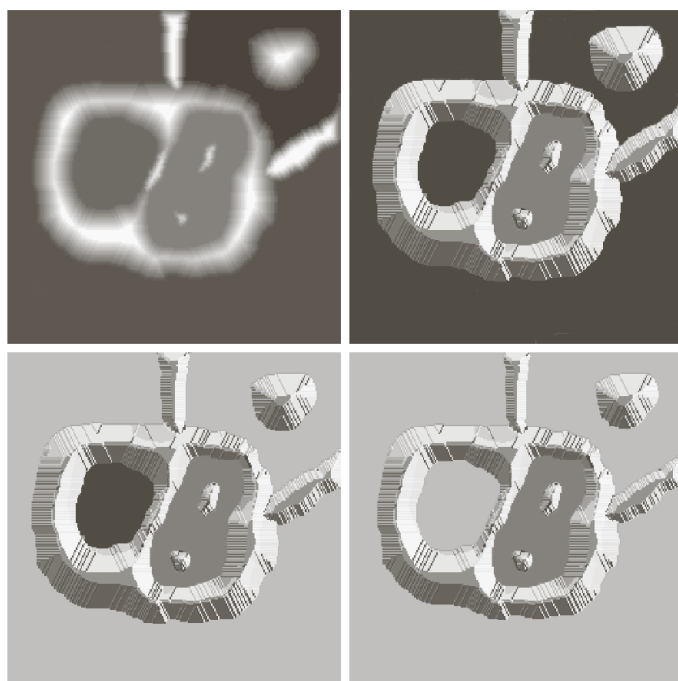
- ▶ (b)  $32 \times 32$
- ▶ (c)  $16 \times 16$
- ▶ (b)  $8 \times 8$

## Watershed

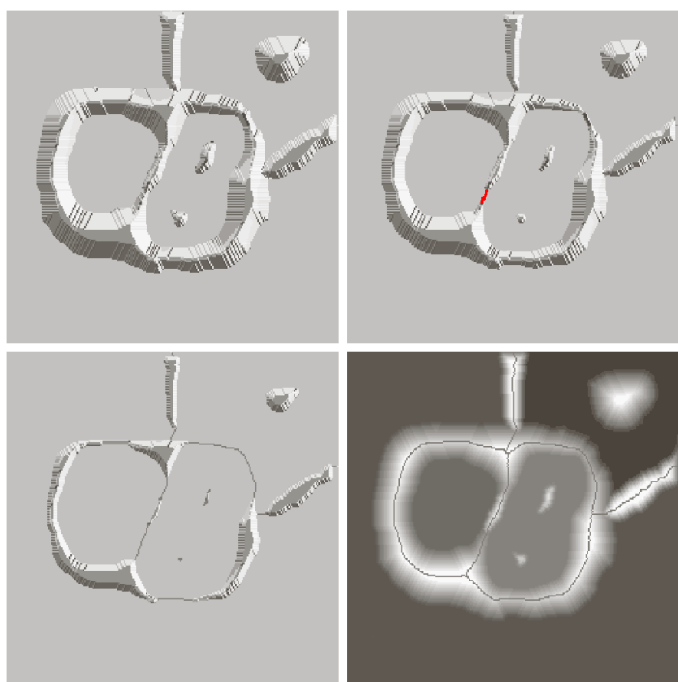


- ▶ The watershed technique is based on a topological interpretation of the image.
  - ▶ The intensity levels represent the height of the terrain that describe mountains and basins.
- ▶ For each basin, a hole in its minimum is supposed to be realized, from which, the rising underground water spills and fills the basins.
- ▶ As the water rises, the level reach the border of the basin and two or more adjacent basins tend to merge together.
- ▶ Dams are required for maintaining a separation between basins.
- ▶ These dams are the borders of the regions of the segmentation.

### Watershed (2)

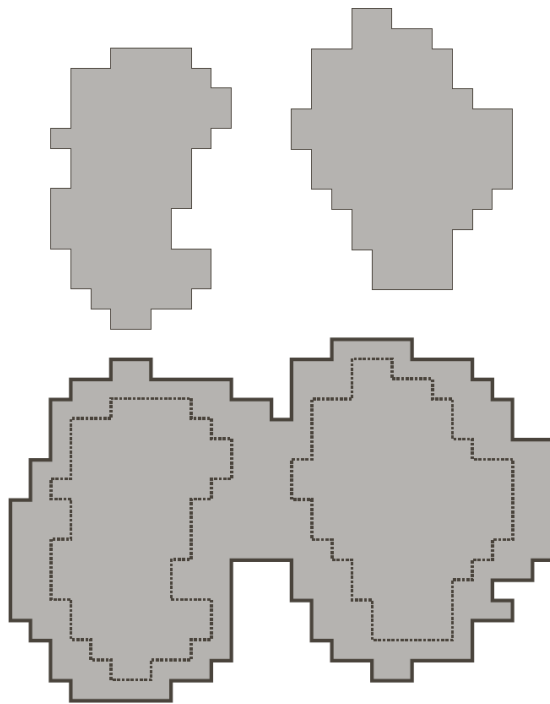


### Watershed (3)



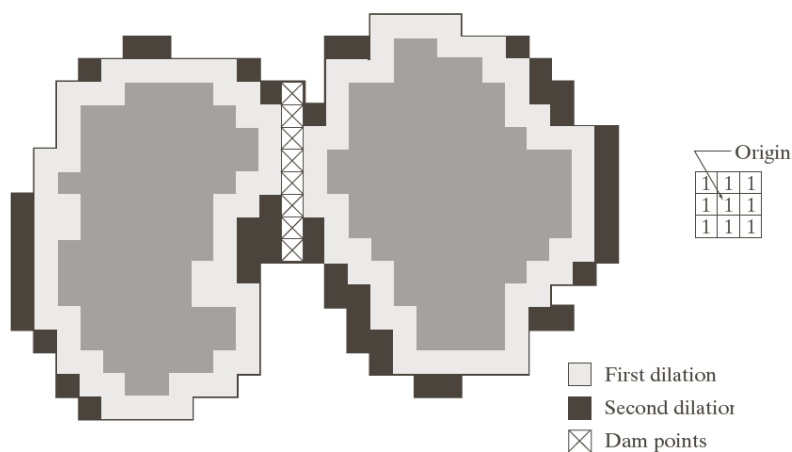


## Dams construction



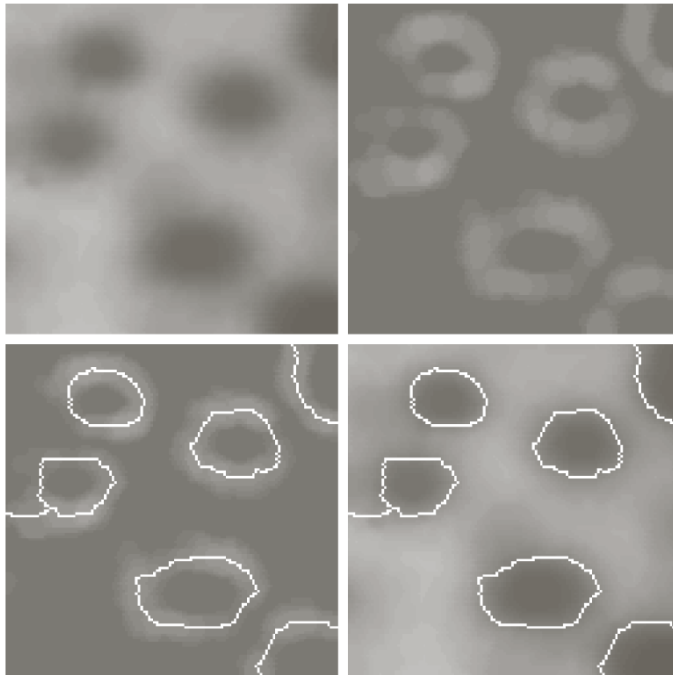
- ▶ The dams can be built using morphological dilation.
- ▶ Starting from the last step before merging, dilation can be performed until the two disjoint components become connected.

## Dams construction (2)



- ▶ The dams can be realized setting the pixels at  $L$  (where the levels of intensity are in  $[0, L - 1]$ ).
- ▶ Generally, the watershed algorithm is applied to the gradient of the image to be segmented.

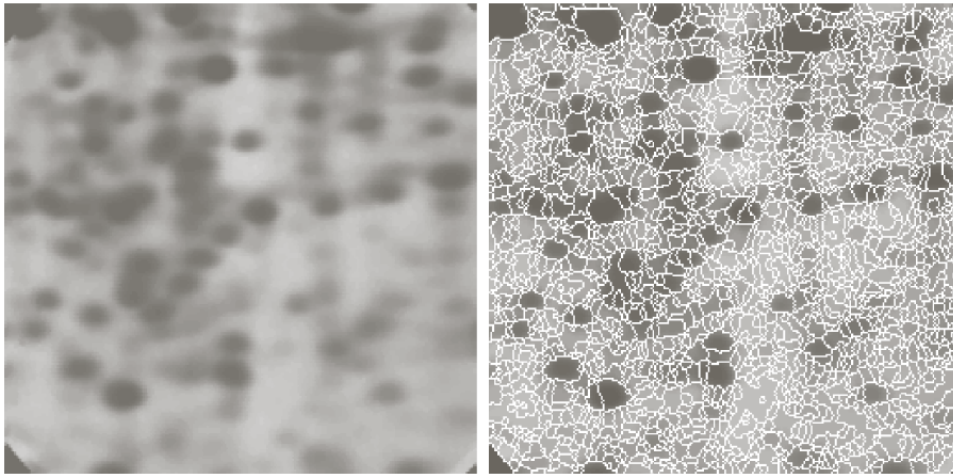
## Watershed: an example



## Watershed with marker

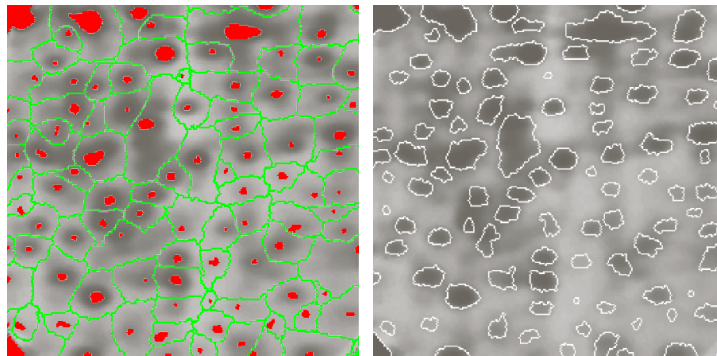
- ▶ Noise as well as irrelevant details make difficult the application of the watershed technique in real images.
  - ▶ *Oversegmentation* can be produced.
- ▶ These problems can be handled limiting the flooding through markers:
  - ▶ *internal*, associated to the object of interest;
  - ▶ *external*, associated to the background (border of the objects).
- ▶ The watershed algorithm can then be applied considering the marker as the only minimum points from which starting the procedure.
- ▶ The criteria used for defining the markers incorporate the a-priori knowledge on the problem.

## Watershed with markers: an example



- ▶ Oversegmentation obtained applying watershed to the image gradient.

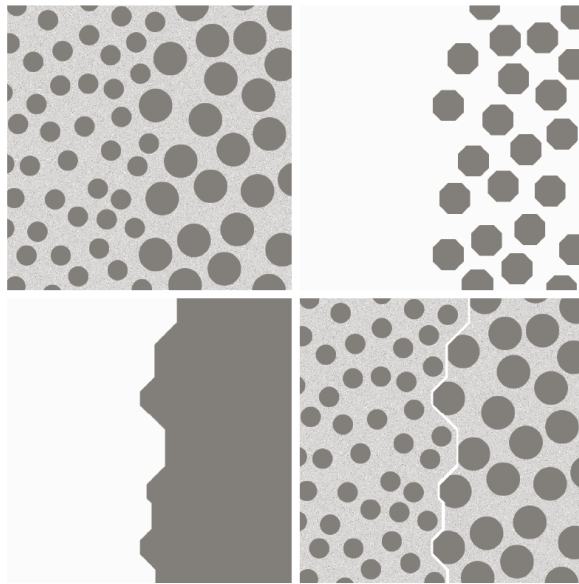
## Watershed with markers: an example (2)



a | b

- (a) Smoothing of the original image.
  - ▶ Internal markers are defined as minimum points that forms connected components (in red).
  - ▶ The application of the watershed starting from internal markers generates the dams (in green), that can be used as external markers.
- (b) Segmentation obtained applying watershed in each region of (a).
  - ▶ Other segmentation algorithms can be applied to the single regions, as well.

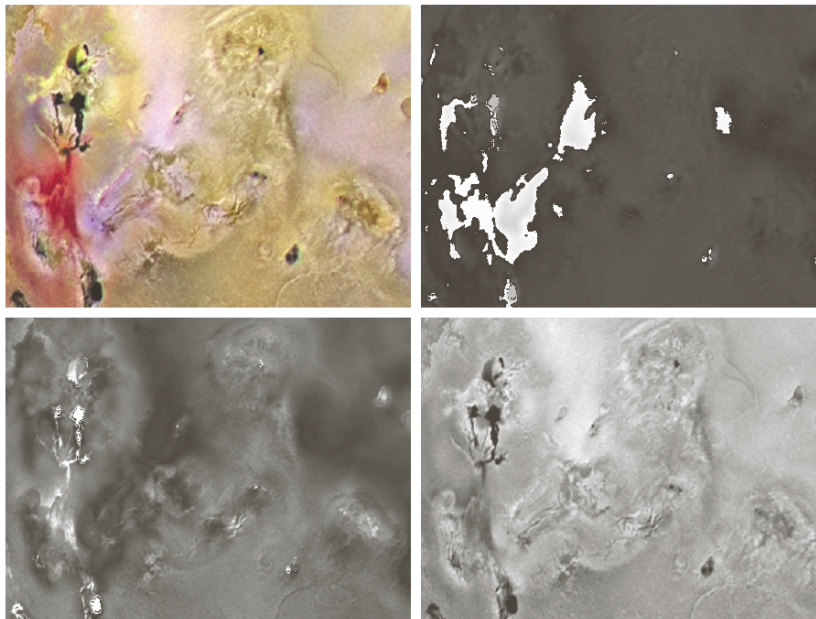
## Texture based segmentation



a	b
c	d

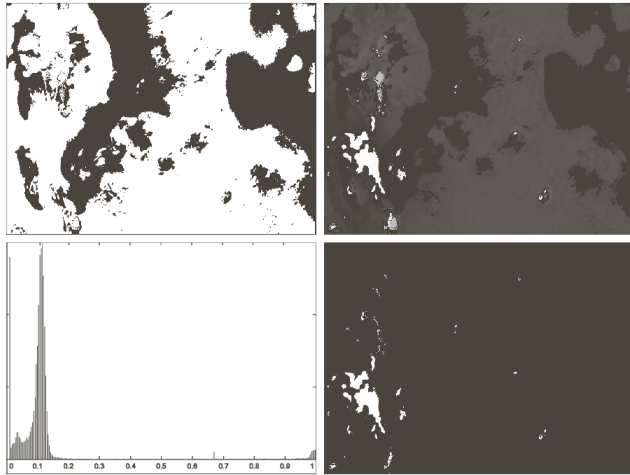
- (a) 600×600 pixels image
- (b) Closing of (a) using a disk of 30 pixels of radius.
- (c) Opening using a disk of 60 pixels of radius.
- (d) Segmentation boundary obtained as morphological gradient.

## Color based segmentation



► HSI space

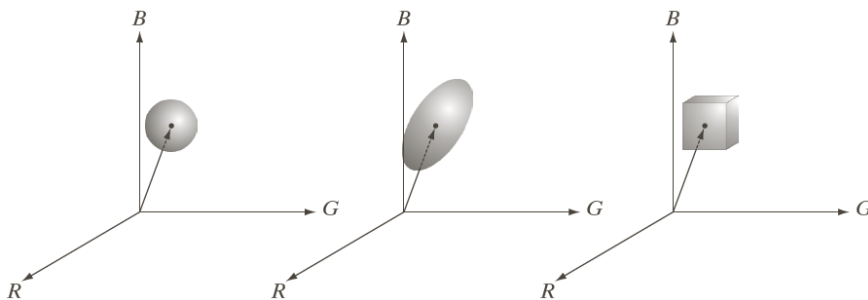
## Color based segmentation (2)



a	b
c	d

- (a) Binary saturation mask (threshold at 90%);
- (b) product of the mask by the hue;
- (c) segmentation of (b) based on its histogram
- (d) segmentation of (b) based on its histogram (c).

## Color based segmentation (3)

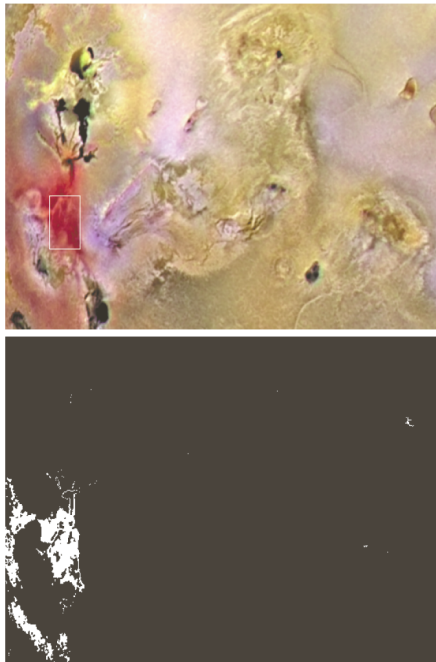


- ▶ RGB space: selection of colors similar to  $a$ 
  - ▶ Criterion:  $D(z, a) < D_0$

$$D(z, a) = \|z - a\| = \left( (z - a)^T (z - a) \right)^{\frac{1}{2}}$$

$$D(z, a) = \left( (z - a)^T C^{-1} (z - a) \right)^{\frac{1}{2}}$$

## Color based segmentation (4)



$\frac{a}{b}$

- (a) Manual selection of the color of interest.
- ▶ Average color computation,  
 $a = [a_R \ a_G \ a_B]$
  - ▶ Standard deviation of the color of the selected pixels computation,  
 $\sigma = [\sigma_R \ \sigma_G \ \sigma_B]$ .
- (b) Segmentation of the pixels that have a red channel value in the interval  $[a_R - \sigma_R, a_R + \sigma_R]$ .