

Once-Marking and Always-Marking 1-Limited Automata

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Introduction to Limited Automata

Limited Automata [Hibbard '67, *scan limited automata*]

One-tape Turing machines with restricted rewritings

Definition

Fixed an integer $d \geq 1$, a *d-limited automaton* is

- ▶ a one-tape Turing machine
- ▶ which is allowed to replace the content of each tape cell *only in the first d visits*

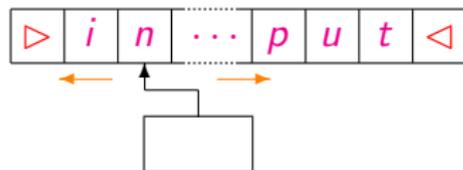
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Technical details:

- Input surrounded by two end-markers
- End-markers are never changed
- The head cannot exceed the end-markers

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Computational power

- ▶ For each $d \geq 2$, *d-limited automata* characterize context-free languages

[Hibbard '67]

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- ▶ For each $d \geq 2$, *d-limited automata* characterize context-free languages [Hibbard '67]
- ▶ *1-limited automata* characterize regular languages [Wagner&Wechsung '86]

Simulation of 1-Limited Automata by Finite Automata

Derived from the simulation of 2NFAs by 1DFAs [Shepherdson '59]:

- ▶ First visit to a cell: direct simulation
 - ▶ Further visits: *transition tables*

 - ▶ Finite control of the simulating automaton:
 - *transition table* τ_x
 - *set of possible current states*
- 2^{n^2+n} states
 2^{n^2} possible tables
 2^n possible sets

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Simulation of 1-LAs:

τ_x depends on the choices made while reading x

⇒ The resulting automaton is *nondeterministic!*

Size Costs of Simulations

1-LAs versus Finite Automata [P.&Pisoni '14]

▶ 1-LAs \rightarrow 1NFAs
exponential

▶ 1-LAs \rightarrow 1DFAs
double exponential

▶ det-1-LAs \rightarrow 1DFAs
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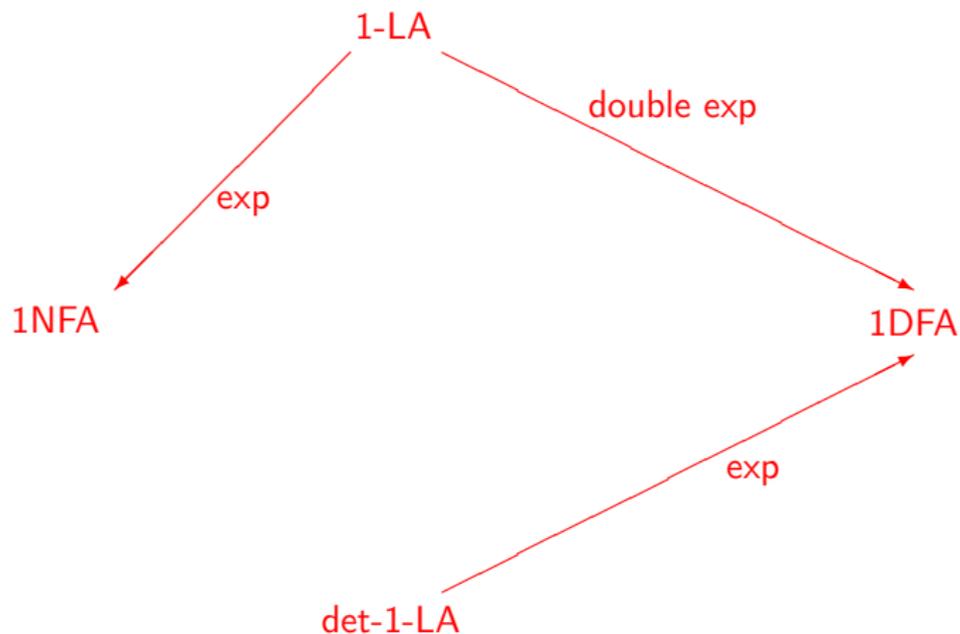
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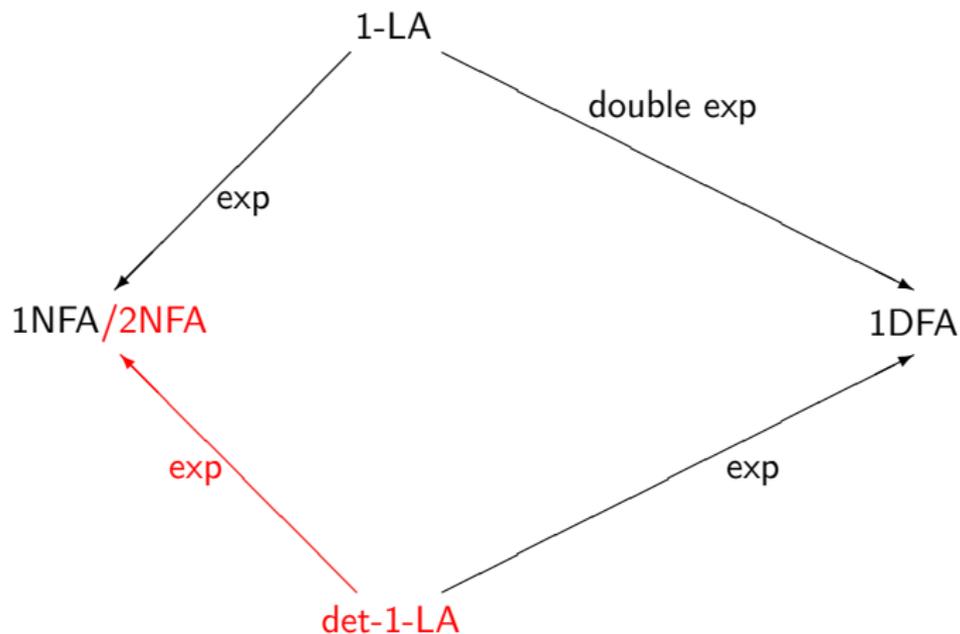
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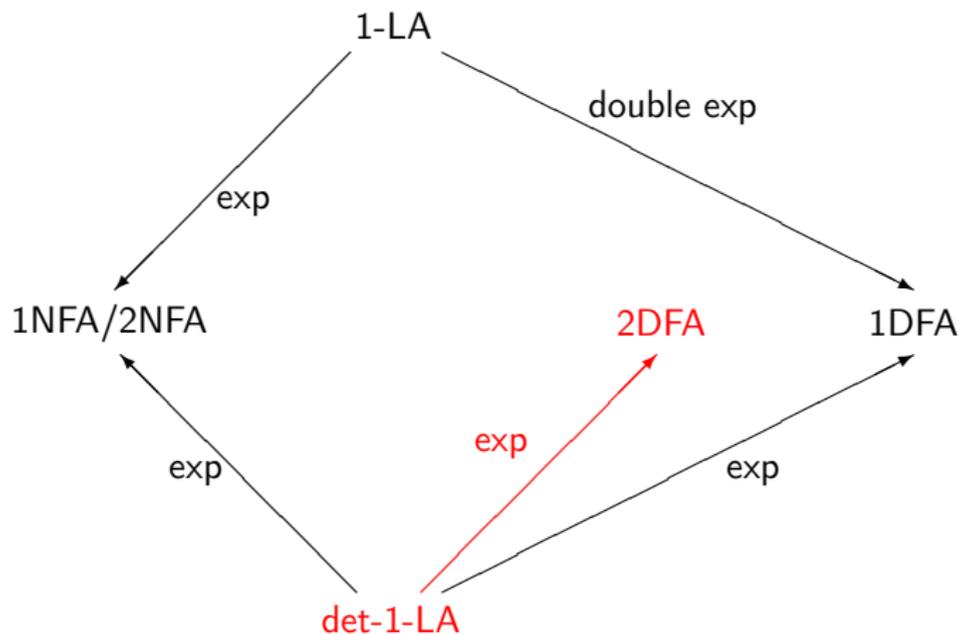
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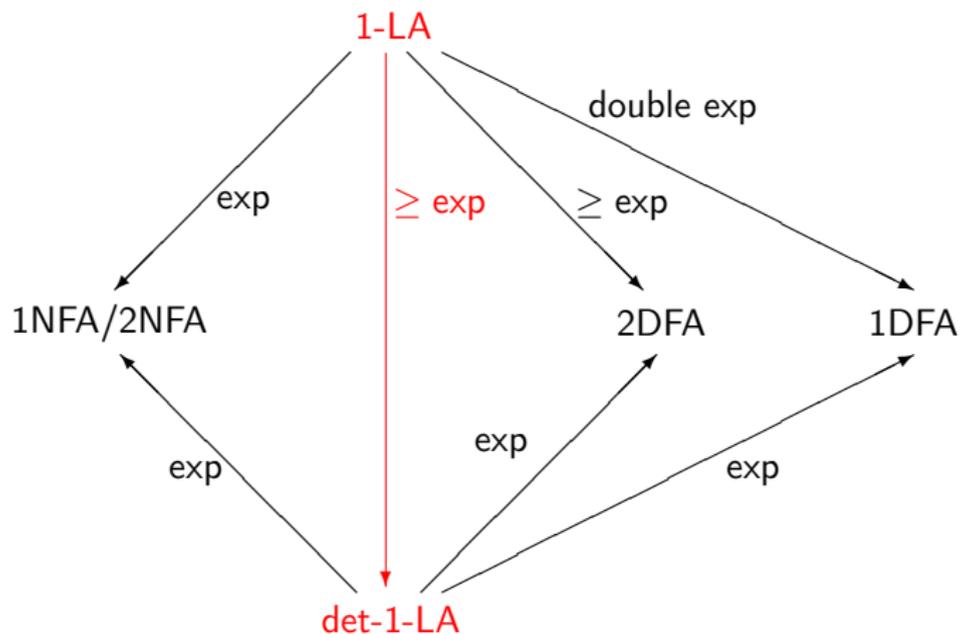
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Once-Marking
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Always-Marking
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Keeping a Double Exponential Gap

Once-Marking 1-Limited Automata

The Language K_n ($n > 0$)

$$K_n = \{x_1 x_2 \cdots x_k x \mid k > 0, x_1, \dots, x_k, x \in \{a, b\}^n, \\ \text{and } \exists j \in \{1, \dots, k\} \text{ s.t. } x_j = x\}$$

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Example ($n = 3$):

a a b a b a b b a a b a b a a b b b b b a

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Example ($n = 3$):

a a b | a b a | **b b a** | a b a | b a a | b b b | **b b a**

A Nondeterministic 1-Limited Automaton for K_n

▷ a a b a b a b b a a b a b a a b b b b b a ◁ $(n = 3)$

1. Scan all the tape from left to right:

- check if the input length is a multiple of n
- mark the rightmost cell of one nondeterministically chosen block

2. Compare symbol by symbol the last block and the one ending with the marked cell

3. Accept if the two blocks are equal

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- ▶ $O(n)$ states \Rightarrow 1-LA of size $O(n)$
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Rewritings: to accept K_n it is enough to mark one tape cell during the first visit!

Recognizing K_n with Finite Automata

$$K_n = \{x_1 x_2 \cdots x_k x \mid k > 0, x_1, \dots, x_k, x \in \{a, b\}^n, \\ \text{and } \exists j \in \{1, \dots, k\} \text{ s.t. } x_j = x\}$$

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Finite automata

To recognize K_n each 1DFA requires a number of states at least *double exponential* in n

Proof: standard distinguishability arguments

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- A 1-limited automaton is said to be *once marking* (OM-1-LA) if
- in each computation there is a unique tape cell whose input symbol σ is replaced with its marked version σ^\bullet
 - all the remaining cells are never changed

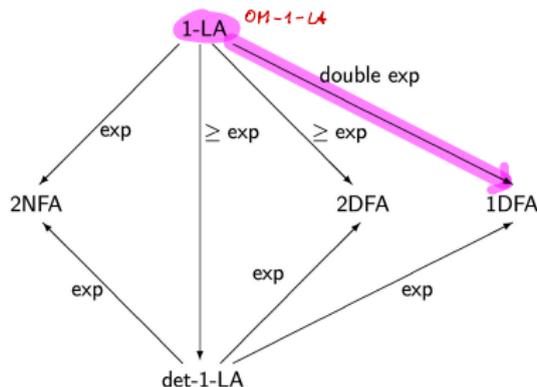
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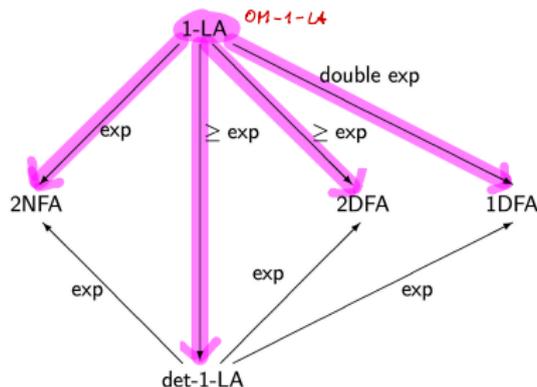
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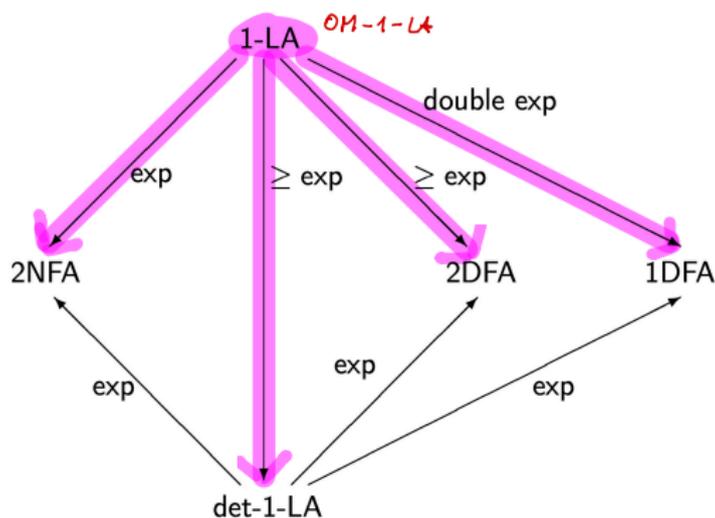
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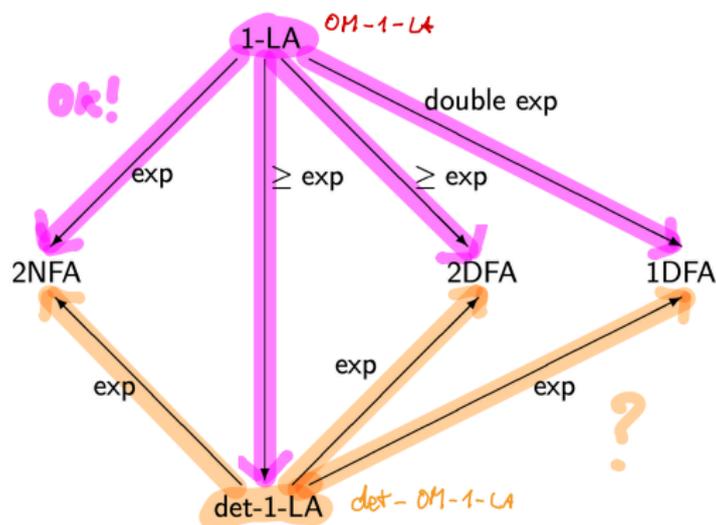
Conversions from Once-Marking 1-Limited Automata

Costs from *nondeterministic* OM-1-LAs:



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Costs from *deterministic* OM-1-LAs?

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Theorem

For each n -state deterministic OM-1-LA \mathcal{A} there exists an equivalent 2DFA \mathcal{A}' with $O(n^3)$ states.

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- ▶ At the beginning \mathcal{A}' makes the same moves as \mathcal{A}
- ▶ When the marking move is reached:
 - \mathcal{A}' simulates it without marking
 - \mathcal{A}' saves the state q and the symbol σ in its control
- ▶ Remaining moves:
 - if symbol on the tape $\neq \sigma$: simulated as in \mathcal{A}
 - otherwise \mathcal{A}' calls a *verification procedure* to decide if the symbol is the marked one
 - According to the result \mathcal{A}' choose the move
- ▶ *Verification procedure*:
 - “backward search” in the computation tree [Sipser '80]

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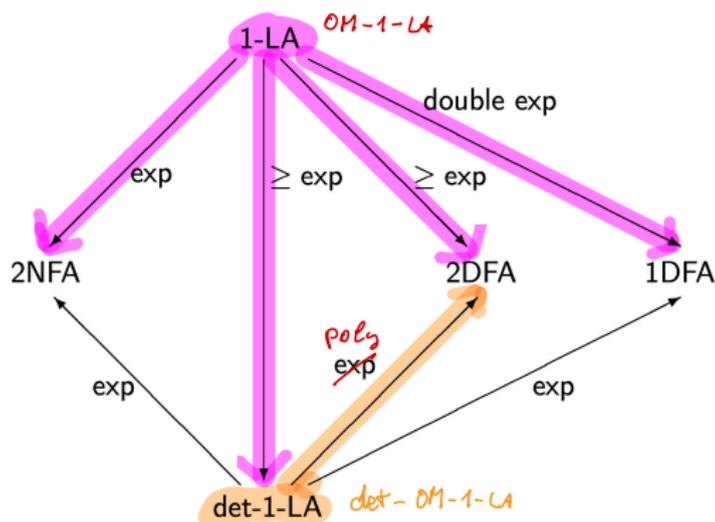
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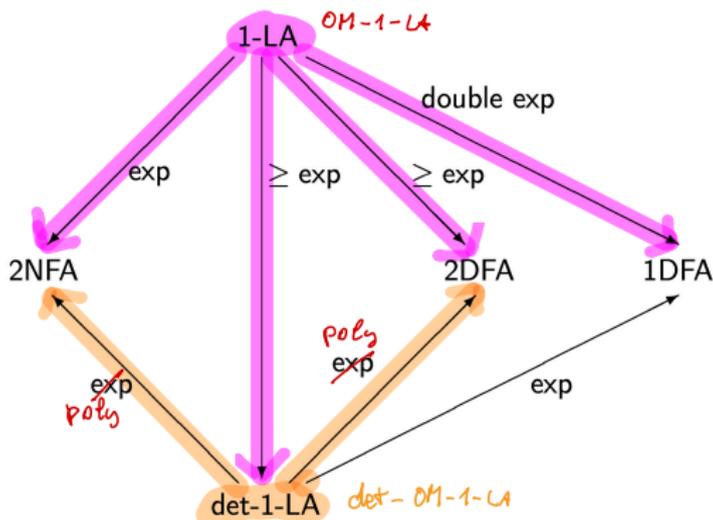
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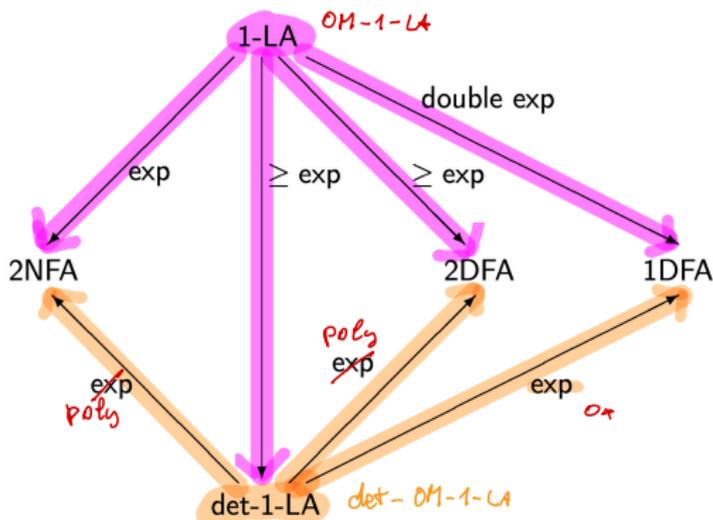
Size Costs of the Conversion From OM-1-LAs



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Reducing the Gap to a Single Exponential

Always-Marking 1-Limited Automata

Reducing the Gap: First Attempts

Double role of nondeterminism in 1-LAs

On a tape cell:

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Two “naïf” restrictions of 1-LAs:

1. *Deterministic choice* for rewritings
Nondeterministic choice for next state and head movement
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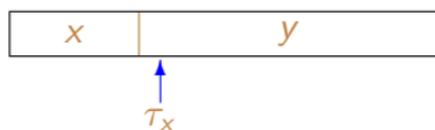
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For both restrictions, in the worst case the size gap to 1DFAs
remains double exponential!

Simulation of 1-Limited Automata by Finite Automata

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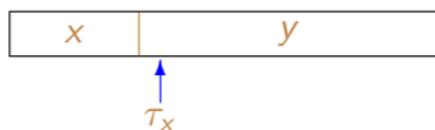
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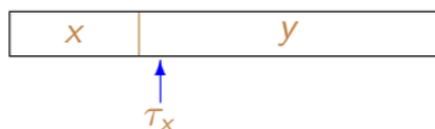
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Consider restrictions that avoid that!

Simulation of 1-Limited Automata by Finite Automata

- ▶ First visit to a cell: direct simulation
- ▶ Further visits: *transition tables*



$$\tau_x \subseteq Q \times Q$$

$$(p, q) \in \tau_x \text{ iff } \boxed{x} \begin{array}{l} \leftarrow p \\ \rightarrow q \end{array}$$

- ▶ Finite control of the simulating automaton:
 - *transition table* τ_x
 - *set of possible current states*

2^{n^2+n} states
 2^{n^2} possible tables
 2^n possible sets

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⇒ Always-Marking 1-Limited Automata

Always-Marking 1-Limited Automata

Definition

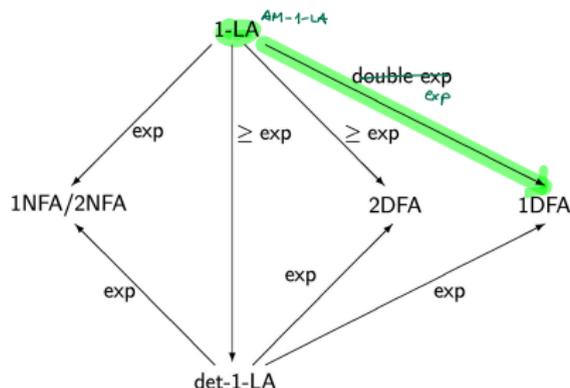
A 1-LA is said to be *always marking* if
each time the head visits a tape cell for the first time,
the input symbol σ in it is replaced with its marked version σ^\bullet

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- ▶ Computational power:
Regular languages
- ▶ Costs of the conversion to 1DFAs:
Single exponential

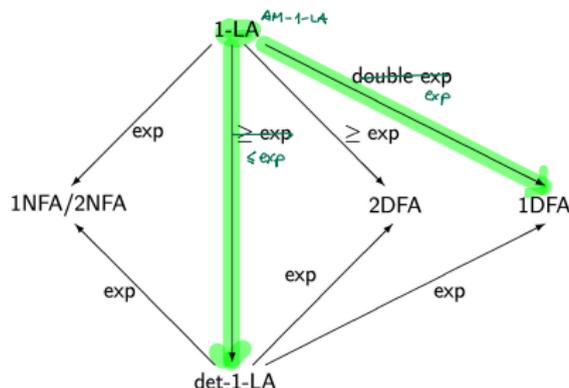


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The Language J_n ($n > 0$)

$$J_n = \{x_1 x_2 \cdots x_k \mid k > 0, x_1, \dots, x_k, x \in \{a, b\}^n, \\ \text{and } \exists j \in \{1, \dots, k\} \text{ s.t. } x_j = x\}$$

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Then:

- ▶ $J_n = (K_n)^R$
- ▶ Each 2NFA accepting J_n has a number of states at least exponential in n
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 - J_n and K_n have 2NFAs of the same size

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Recognizing J_n with AM-1-LAs

▷ a b a a b b a b b a b a b a a b b b b b a ◁ $(n = 3)$

- ▶ Visit and mark the first n tape cells
Then inspect the following blocks as follows:
- ▶ When the head reaches a cell for the first time:
 - Mark it and locate the corresponding cell in the first block
 - If *the symbols in the two cells do not match*
then skip the remaining symbols of the current block
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Implementation with $O(n)$ states

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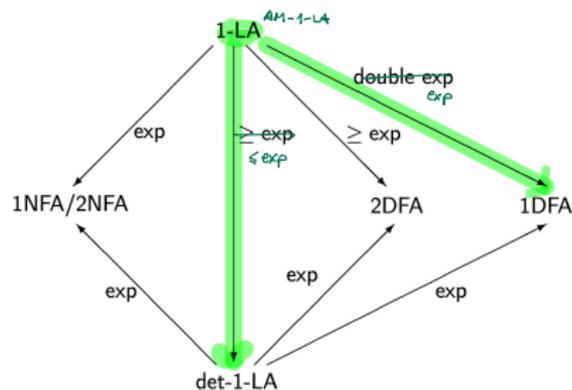
Implementation with $O(n)$ states

Only deterministic transitions!

Simulations of AM-1-LAs

Summing up:

- ▶ det-1-LAs \rightarrow 2NFAs costs exponential
- ▶ J_n is accepted by a det-AM-1-LA with $O(n)$ states
- ▶ Each 2NFA accepting J_n has a number of states at least exponential in n



Simulations of AM-1-LAs

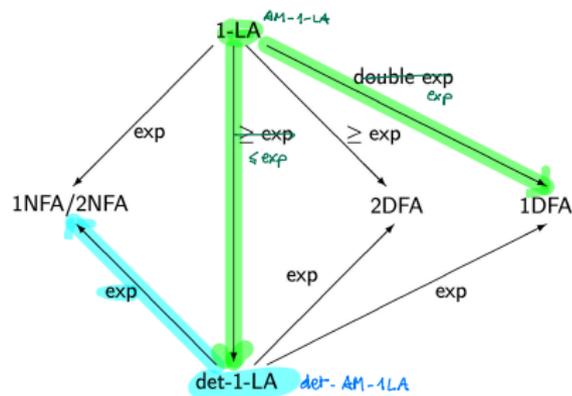
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Exponential cost!



Simulations of AM-1-LAs

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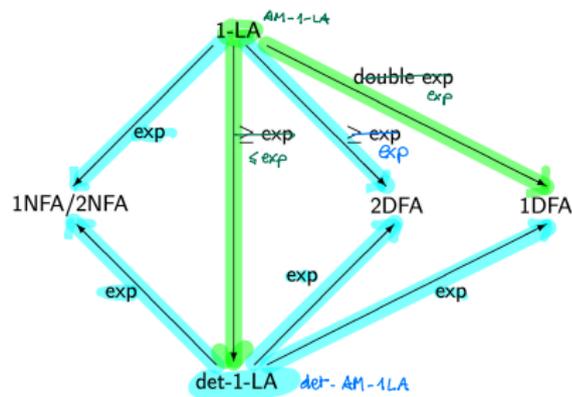
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det-AM-1-LAs \rightarrow 2NFAs

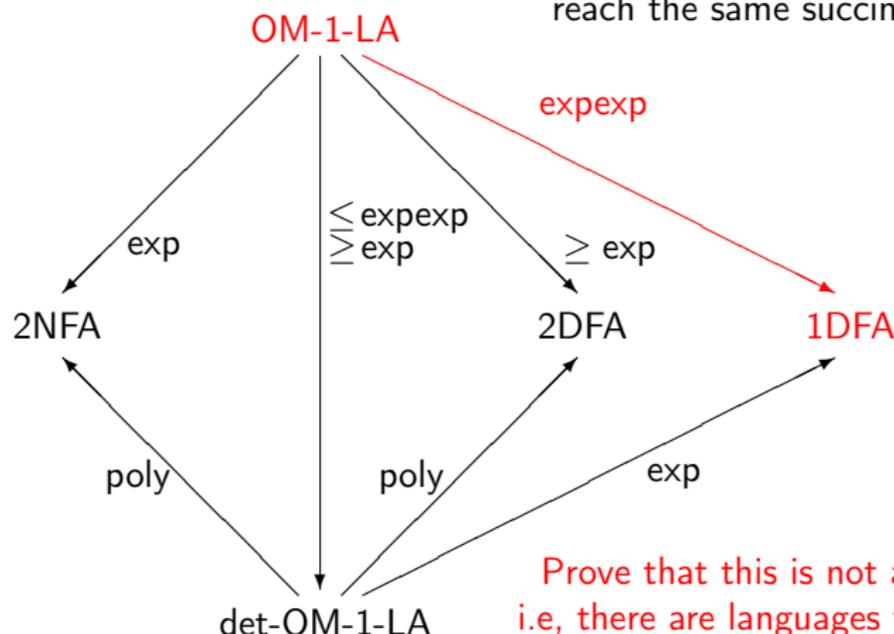
Exponential cost!

\Rightarrow Even the costs of the remaining simulations are exponential



Conclusion: Once-Marking 1-Limited Automata

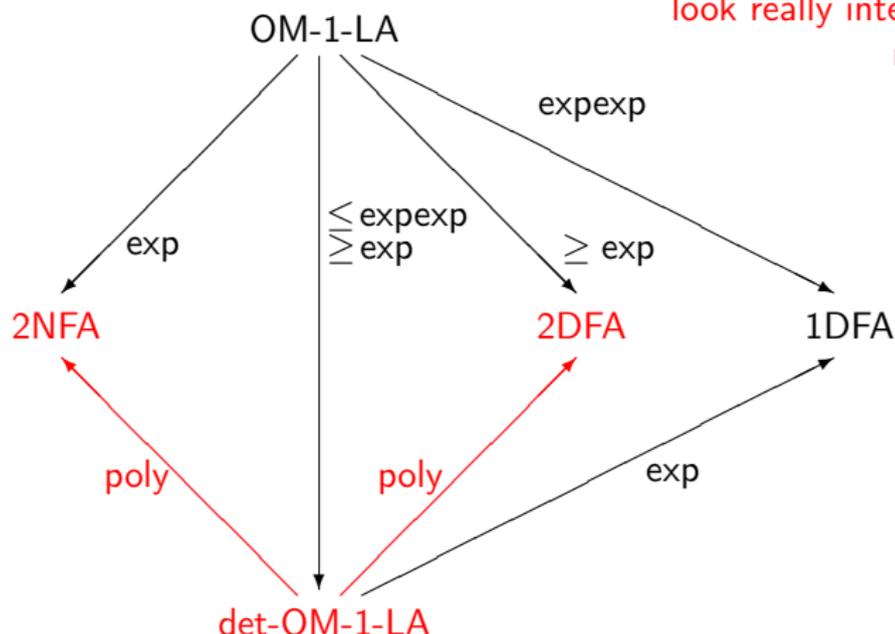
“One drop” of nondeterminism allows to reach the same succinctness as 1-LAs (language K_n)



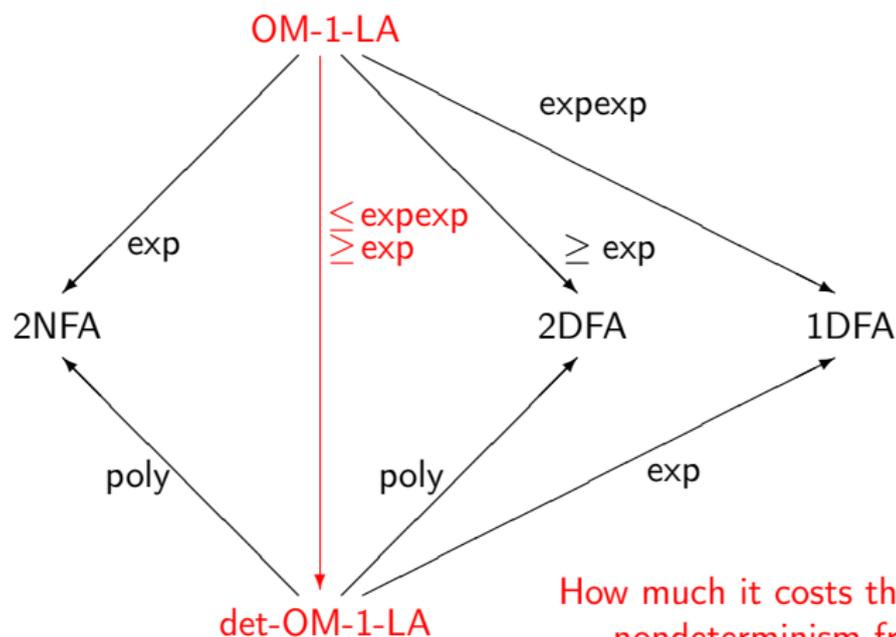
Problem
Prove that this is not always the case, i.e., there are languages for which 1-LAs are more succinct than OM-1-LAs (e.g., variants of K_n)

Conclusion: Once-Marking 1-Limited Automata

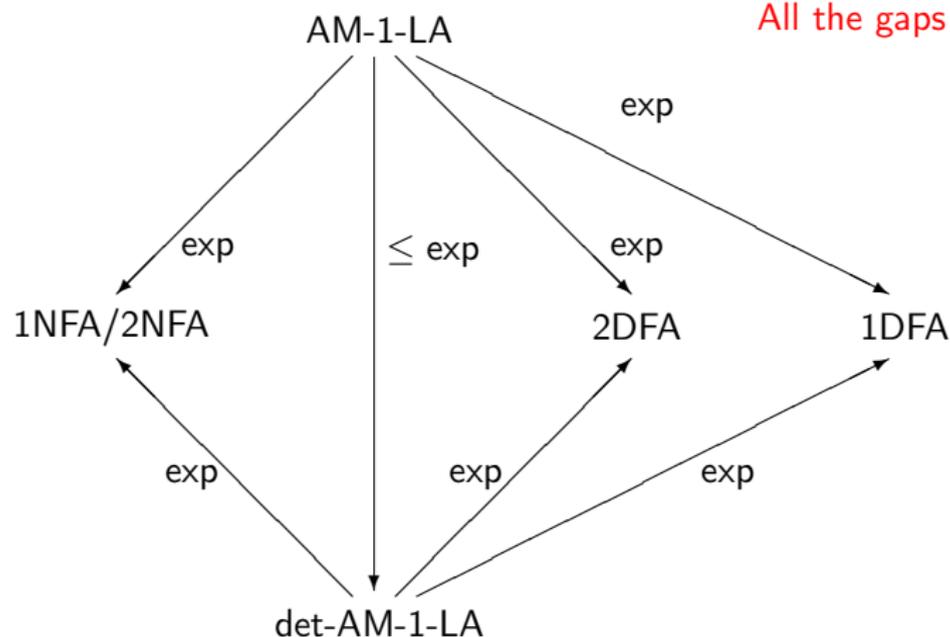
The capability of once marking does not look really interesting without nondeterminism



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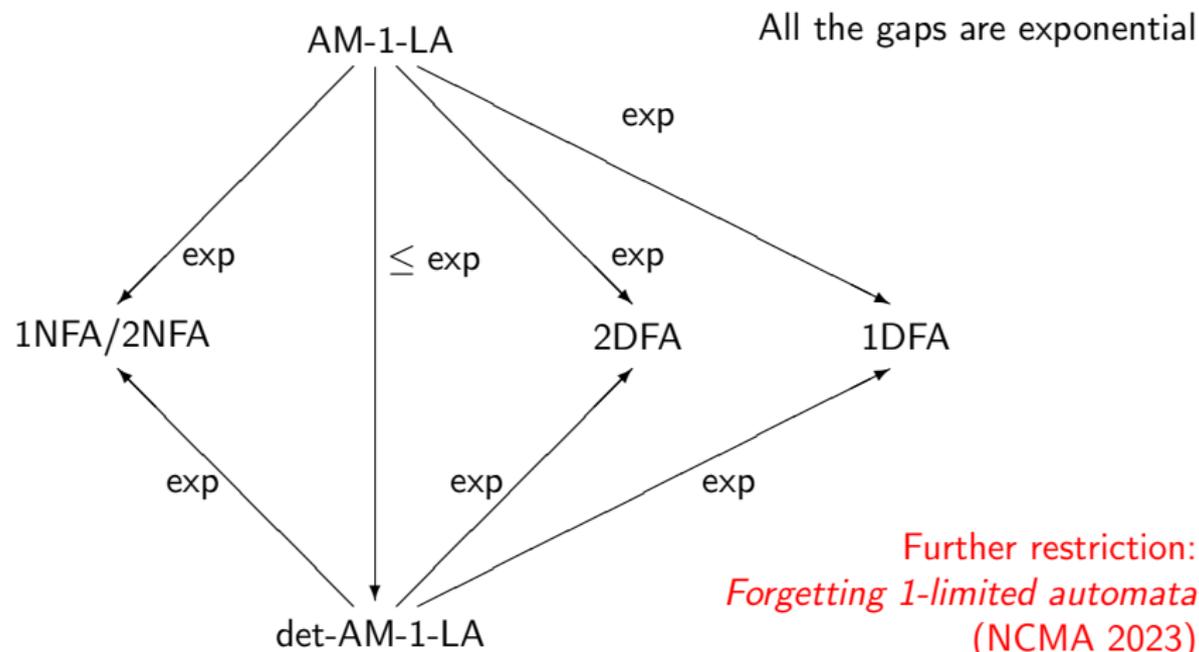


Conclusion: Always-Marking 1-Limited Automata



All the gaps are exponential

Conclusion: Always-Marking 1-Limited Automata



Further possible investigation lines

- ▶ Unary case
- ▶ Connections with the Sakoda and Sisper question
(costs of $2\text{NFA} \rightarrow 2\text{DFA}$ and $1\text{NFA} \rightarrow 2\text{DFA}$)
- ▶ ...

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Thank you for your attention!