



# ***Model-Theoretic Methods for Combining Decision Procedures***

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# ***Plan of the Mini-Course***

*Decision Procedures* (for fragment of logical languages, often modulo theories) are at the heart of computer science applications, in various areas ranging from formal verification, knowledge representation, artificial intelligence, and so on.

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Concrete problems often are quite heterogeneous in nature, so that many decision procedures might be needed in the same application.

Modular (i.e. *black box*) composition of decision procedures is an highly desirable feature in order to save time and resources. When designing integration/communication interfaces, subtle problems may arise deserving - besides non trivial implementation effort - also careful theoretical foundations.

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We plan to make a survey of recent developments in the field, starting from some (hopefully enlightening) motivations arising in the verification area.

- **Part 0**: Motivations.
- **Part I**: Combined Constraint Satisfiability: the disjoint case.
- **Part II**: Combined Constraint Satisfiability: the non-disjoint case.
- **Part III**: Combined Word Problems.
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## *Part 0*

# Motivations

# §1. A Software Verification Example

An example (taken from a FroCoS 05 paper) shows what is needed in certain applications to the verification area. Consider the following two program fragments written in C language:

```
for (k=1; k<=n; k++)  
    a[i+k] = a[i]+k;
```

```
for (k=1; k<=n; k++)  
    a[i+n-k] = a[i+n]-k;
```

If the execution of either fragment produces the same result in the array  $a$ , then  $a[i+n] == a[i] + n$  must hold initially for any value of  $i$  and  $n$ .

*Fixed an integer  $n$ , we want to automatically prove the above property.*

# §1. A Software Verification Example

This amounts to show the unsatisfiability of the conjunction of literals

$$L_n^n = R_n^n \quad \wedge \quad a[i + n] \neq a[i] + n \quad (1)$$

where

$$L_k^n = R_k^n = a \quad (k = 0)$$

$$L_k^n = wr(L_{k-1}^n, i + k, a[i + k]) \quad (1 \leq k \leq n)$$

$$R_k^n = wr(R_{k-1}^n, i + n - k, a[i + n - k]) \quad (1 \leq k \leq n)$$

# ***§1. A Software Verification Example***

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Usually, one needs to check satisfiability not only of conjunctions of literals, but more generally of *quantifier-free formulae*.

*Unbounded verification problems* are also amenable to a (semi)automatic analysis through satisfiability of quantifier-free formulae by the so called *abstract-check-refine* method, as implemented in tools like the model-checker BLAST.



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- Output: yes, if there is a boolean assignment satisfying  $\varphi$ ; no otherwise.

The problem is known to be NP-complete. By applying linear time structural transformations (no expensive distributive law!), we can assume that  $\varphi$  is a conjunction of clauses.

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- make use of appropriate *heuristics*.



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Efficiency of SAT-solvers increased their application domain (e.g. to planning, bounded model-checking, security, etc.). DPLL-based techniques are at the heart of tools for description logics too.

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Systems implementing such specialized satisfiability problems like Yices, BarcelogicTools, CVC Lite, haRVey, Math-SAT, etc. are called **S**(atisfiability) **M**(odulo) **T**(heory)-solvers.



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In concrete cases T might be the **union** of various theories: the design of appropriate combination algorithms and their properties (soundness, completeness, termination, etc.) is the main concern of the present slides.

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DPLL(T) is an example of a formally structured extension of DPLL which is able to cope with the above aspects.

## §3. *Statement of the Problem*

Let  $T$  be a first-order theory (in a first-order signature  $\Sigma$ ) and let  $\Gamma$  be finite set of  $\Sigma$ -literals.<sup>a</sup> We are asked whether there are a model of  $T$  and a variable assignment in it satisfying  $\Gamma$ . We call this the **constraint satisfiability** (CS for short) **problem for  $T$** .



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Notice that  $\Gamma$  may contain free variables: it should be clear from above that these variables are meant to be *existentially* (and not universally) quantified. To stress this fact, sometimes variables are replaced by free (i.e. fresh) constants in the constraints to be tested for satisfiability.

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<sup>a</sup>Equalities among terms and their negations are always included among literals (we consider the identity predicate as a logical constant).

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Notice that being able to decide CS problem for  $T$  is the same as to be able to decide the universal sentences for  $T$ -validity (or again the quantifier-free fragment for  $T$ -satisfiability).

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However, SMT-techniques are needed in concrete implementations to expand decision procedures for CS problem to decision procedures for  $T$ -satisfiability of quantifier-free formulae.

In particular, modules for  $T$ -constraint satisfiability are used by SMT-solvers when checking (partial) assignments found by the propositional SAT enumerator.

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- theories coming from computer algebra ( $K$ -algebras, ...);
- algebraic counterparts of modal logics (i.e. theories axiomatizing varieties of Boolean algebras with operators).

Notice that in all the above cases there is a big gap in decidability/complexity between satisfiability of quantifier-free and arbitrary first order formulae.

## §4. Useful Theories

McCarthy's theory of *arrays* has three sorts (for arrays, index and elements, respectively); axioms are the following:

- $wr(a, i, e)[i] = e;$
- $wr(a, i, e)[j] = a[j] \vee i = j;$
- $a = b \leftrightarrow \forall i (a[i] = b[i]).$

(the last is called the extensionality axiom). Whereas the full first-order decision problem for this theory is undecidable, the quantifier-free fragment satisfiability is just NP.

## §4. Useful Theories

The theory of *acyclic lists* is axiomatized as follows:

- $car(cons(x, y)) = x$ ;
- $cdr(cons(x, y)) = y$ ;
- $cons(car(x), cdr(x)) = x$ ;
- $x \neq t(x)$ , where  $t$  consists of a (non empty) series of applications of  $car, cdr$  in any order.

Again, constraint satisfiability is decidable in linear time, whereas full first-order satisfiability is not elementary.

# *Suggested Readings:*

- **On Abstract-Check-Refine:**

[1] T. Henzinger, R. Jhala, R. Majumdar, K. McMillan *Abstractions from Proofs*, Proceedings of POPL '04, ACM Press.

- **On Satisfiability Modulo Theories:**

[2] R. Nieuwenhuis, A. Oliveras, C. Tinelli *Solving SAT and SAT modulo theories: from an abstract DPLL to DPLL(T)*, Journal of the ACM (to appear) [available from authors' web pages]

[3] M. Bozzano, R. Bruttomesso, A. Cimatti, T. Junttila, P. van Rossum, S. Ranise, R. Sebastiani. *Efficient theory combination via boolean search*, Information and Computation, 204(10), pp. 1493-1525, 2006.

# *Suggested Readings:*

- **On Congruence Closure:**

[4] R. Nieuwenhuis, A. Oliveras *Fast Congruence Closure and Extensions*, Information and Computation, 205(4):557-580, 2007.

- **On Numerical Constraints:**

[5] Bockmayr A., Weispfenning V., *Solving Numerical Constraints*, in Robinson A., Voronkov A., (eds.) “Handbook of Automated Reasoning”, vol. I, Elsevier/MIT, pp. 751-842 (2001).

# *Suggested Readings:*

- **On Arrays:**

- [6] A. R. Bradley, Z. Manna, and H. B. Sipma. *What's decidable about arrays?*, Proc. of VMCAI'06, vol. 3855 of LNCS, 2006.
- [7] S. Ghilardi, E. Nicolini, S. Ranise, and D. Zucchelli. *Deciding extension of the theory of arrays by integrating decision procedures and instantiation strategies*. Proc. of JELIA 06, vol. 4160 of LNAI, 2006.



# ***Suggested Readings:***

- **On Software Verification Theories** (with focus on combination):
  - [8] D. Oppen *Complexity, Convexity, and Combination of Theories*, *Theor. Comp. Sc.*, 12, pp. 291-302, 1980.
  - [9] S. Ranise, C. Ringeissen, D.K. Tran, *Nelson-Oppen, Shostak and the Extended Canonizer: A Family Picture with a Newborn*, *Proc. ICTAC '04, LNCS*, 2004.
  - [10] S. Krstic, A. Goel, J. Grundy, C. Tinelli *Combined Satisfiability Modulo Parametric Theories*, *Proc. TACAS'07, LNCS*, 2007.

## *Part I*

# Combined CS: the Disjoint Case

# §1. Combined CS: the Disjoint Case

Our main task: given algorithms for deciding constraint satisfiability in two theories  $T_1, T_2$  (over signatures<sup>a</sup>  $\Sigma_1, \Sigma_2$ ), **how to build an algorithm for deciding constraint satisfiability in  $T_1 \cup T_2$ ?**

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We shall illustrate some techniques for this combination problem. Once again, such techniques need suitable ‘re-engineering’ in the SMT-solvers case, where Boolean combinations of atoms (and not just constraints) must be taken into account.

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<sup>a</sup>All our signatures are at most countable.

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This is the case originally considered by Nelson-Oppen in 1979.

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**Theorem 0.** [Bonacina, Ghilardi, Ranise, Nicolini and Zucchelli, IJCAR 06] *There are theories  $T_1, T_2$  having disjoint signatures and decidable CS problem such that CS problem in  $T_1 \cup T_2$  is undecidable.*

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*Reason for this negative result:* the fact that you are able to decide whether a  $\Sigma_1$ -constraint  $\Gamma_1$  is satisfiable in a model of  $T_1$  does not mean that you are able to decide whether it is satisfiable in an *infinite* model of  $T_1$ . However, if  $T_2$  has only infinite models, deciding satisfiability of  $\Gamma_1$  modulo  $T_1 \cup T_2$  requires precisely that.

## §2. *The Nelson-Oppen Method*

**Nelson-Oppen method** (Nelson-Oppen, 1979) is the most simple method for combining decision procedures for constraint satisfiability. It was originally proposed for disjoint (first-order) signatures, but it can be applied in a broader context. We summarize here the essence of Nelson-Oppen method from an *intuitive* point of view.

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Let  $T_1, T_2, \Sigma_1, \Sigma_2, \Sigma_0$  be as above ( $\Sigma_0$  is the common subsignature which is empty and constraint satisfiability is decidable in  $T_1, T_2$ ); we fix also a finite set of  $\Sigma_1 \cup \Sigma_2$ -literals  $\Gamma$ .

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Checking satisfiability of  $T_1 \cup T_2 \cup \Gamma$  by Nelson-Oppen requires the following phases:

## §2. The Nelson-Oppen Method

- **Purification**: an equi-satisfiable pure constraint  $\Gamma_1 \cup \Gamma_2$  is produced (this is achieved by Purification Rule, replacing a subterm  $t$  by a fresh variables  $x$  - the equation  $x = t$  is also added to the current constraint); we let  $\underline{x}_0$  be the variables occurring in  $\Gamma_1 \cup \Gamma_2$ .

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- **Propagation**: the  $T_1$ -constraint satisfiability procedure and the  $T_2$ -constraint satisfiability procedure fairly exchange information concerning entailed unsatisfiability of  $\Sigma_0$ -constraints in which at most the variables  $\underline{x}_0$  occur.

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- **Until**: an inconsistency is detected or a saturation state is reached.



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- **About Purification**: this is not problematic and requires only linear time;
- **About Propagation**: this is also not problematic, but see below;
- **About the Exit from the Loop**: whereas it is evident that the procedure is sound (if an inconsistency is detected the input constraint is unsatisfiable), there is no guarantee at all about *completeness*, in other words reaching saturation does not imply consistency. By the above undecidability result, we know that we need conditions to ensure completeness.

## §3. Propagation

We can implement Propagation in two ways (notice that  $\Sigma_0$ -atoms are variable equations):

- **Propagation (Guessing Version)**: here we simply make a *guess* of a  $\Sigma_0(\underline{x}_0)$ -arrangement (namely we guess for a maximal set of  $\Sigma_0$ -literals containing at most the variables  $\underline{x}_0$ ) and check it for both  $T_1 \cup \Gamma_1$ -consistency and  $T_2 \cup \Gamma_2$ -consistency.

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- **Propagation (Guessing Version)**: here we simply make a *guess* of a  $\Sigma_0(\underline{x}_0)$ -arrangement (namely we guess for a maximal set of  $\Sigma_0$ -literals containing at most the variables  $\underline{x}_0$ ) and check it for both  $T_1 \cup \Gamma_1$ -consistency and  $T_2 \cup \Gamma_2$ -consistency.
- **Propagation (Backtracking Version)**: identify a disjunction of  $\underline{x}_0$ -atoms  $A_1 \vee \dots \vee A_n$  which is entailed by  $T_i \cup \Gamma_i$  ( $i = 1$  or  $2$ ) and make case splitting by adding some  $A_j$  to both  $\Gamma_1, \Gamma_2$  (if none of the  $A_1, \dots, A_n$  is already there). Repeat until possible.

## §3. *Propagation*

- An advantage of the first option is that whenever constraints are represented not as sets of literals, but as boolean combinations of atoms, one may combine heuristics of SMT-solvers with specific features of the theories to be combined in order to produce efficiently the right arrangement.

## §3. Propagation

- An advantage of the first option is that whenever constraints are represented not as sets of literals, but as boolean combinations of atoms, one may combine heuristics of SMT-solvers with specific features of the theories to be combined in order to produce efficiently the right arrangement.
- An advantage of the second option is that it works in the non disjoint case under *noetherianity* hypotheses (we turn to this later on).



## §3. Propagation

- Another advantage of the second method is that the procedure can be made deterministic in case the  $T_i$  are both  $\Sigma_0$ -convex ( $T_i$  is said to be  $\Sigma_0$ -convex iff whenever  $T_i \cup \Gamma_i$  entails a disjunction of  $n > 1$   $\Sigma_0$ -atoms, then it entails one of them).

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From the complexity viewpoint, convexity may keep combined problems tractable, since it avoids don’t-know nondeterminism.

## §4. Completeness

The standard requirement to gain completeness is stably infiniteness: a theory  $T$  is said to be *stably infinite* iff every  $T$ -satisfiable constraint is satisfiable in an infinite model of  $T$  (by compactness, this is the same as requiring that every model of  $T$  embeds into an infinite model of  $T$ ).

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**Theorem 1.** *If  $T_1, T_2$  are both stably infinite and the shared subsignature  $\Sigma_0$  is empty, then Nelson-Oppen procedure transfers decidability of constraint satisfiability problems from  $T_1$  and  $T_2$  to  $T_1 \cup T_2$ .*

## §5. *Asymmetric Approaches*

Stable infiniteness requirement is sometimes a real drawback (e.g. enumerated datatypes theories are not stably infinite!) To overcome it, asymmetric approaches have been proposed: in these approaches, different kind of requirements are asked for  $T_1$  and  $T_2$ .

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The Nelson-Oppen combination schema is slightly modified accordingly.

We give here few more information on these asymmetric approaches, which are rather simple but sometimes amazingly powerful.



## §5. *Asymmetric Approaches*

A theory  $T$  in the signature  $\Sigma$  is said to be *shiny* iff for every  $T$ -satisfiable constraint  $\Gamma$  it is possible to compute a finite cardinal  $\kappa$  such that  $\Gamma$  has a  $T$ -model in every cardinality  $\lambda \geq \kappa$ .

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**Theorem 2.** [Tinelli-Zarba, 03] *If  $T_1$  is shiny and the shared subsignature  $\Sigma_0$  is empty, then decidability of constraint satisfiability problems transfers from  $T_1$  and  $T_2$  to  $T_1 \cup T_2$ .*

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Since the pure equality theory in any signature is shiny, we get:

**Corollary 3.** [Ganzinger, 2002] *If  $T$  is any  $\Sigma$ -theory, then decidability of constraint satisfiability problems transfers from  $T$  to any free extension of  $T$  in a larger signature  $\Omega \supseteq \Sigma$ .*

## §5. *Asymmetric Approaches*

In verification one often needs combinations of a theory modeling the *elements* with (one or more) many-sorted theories (such as lists, arrays, sets, multisets, etc.) describing container based *data-structures*. Whereas the theory describing the elements is rather arbitrary, the theory modeling data-structures can be subject to restrictions, provided these restrictions are met in concretely used cases.

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This is the genuine motivation for taking the asymmetric approach. The same motivation leads to extensions to the many-sorted case (see the notion of *politeness* in [Ranise, Ringeissen, Zarba 05]) and also to higher-order contexts (see the notion of *parametricity* in [Krstic, Goel, Grundy, Tinelli 07]).

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To complete the picture, we must mention that another combination schema (requiring the existence of so-called ‘solvers’ and ‘canonizers’ for the ingredient theories) has been proposed by Shostak in 1984.

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Despite the original paper suffered by various technical drawbacks, Shostak’s point of view has been quite influential in the implementations.



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To complete the picture, we must mention that another combination schema (requiring the existence of so-called ‘solvers’ and ‘canonizers’ for the ingredient theories) has been proposed by Shostak in 1984.

Despite the original paper suffered by various technical drawbacks, Shostak’s point of view has been quite influential in the implementations.

Nowadays correct approaches like [Ganzinger 2002] clarified the matter and tend to see Shostak procedure as a refinement of the Nelson-Oppen one.

# References:

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## *Part II*

# Combined CS: the Non-Disjoint Case

# §1. Nelson-Oppen Schema Revisited

Let  $T_1$  be a  $\Sigma_1$ -theory and  $T_2$  be a  $\Sigma_2$ -theory; now the common subsignature  $\Sigma_0 := \Sigma_1 \cap \Sigma_2$  is not assumed to be empty anymore.

We nevertheless try to apply the (plain symmetric) Nelson-Oppen combination schema:

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We nevertheless try to apply the (plain symmetric) Nelson-Oppen combination schema:

- **Purification**: no problem, goes as in the disjoint case (but further optimizations are possible);
- **Propagation**: how to do it in a terminating way ??
- **Completeness**: even more problematic than before ...

# §1. Nelson-Oppen Schema Revisited

The most simple method for avoiding the non-termination risk is to assume that there is a  $\Sigma_0$ -theory  $T_0$  contained in both  $T_1, T_2$  which is *effectively locally finite*: this means that  $\Sigma_0$  is finite and that, given a finite set of variables  $\underline{x}_0$ , there are only finitely many  $\Sigma_0(\underline{x}_0)$ -terms up to  $T_0$ -equivalence. Representative terms for each equivalence class should also be computable.



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If effective local finiteness of a shared theory  $T_0$  is assumed, the total amount of exchangeable information is finite. Propagation can be still implemented by guessing (guess a maximal set of representative  $\Sigma_0(\underline{x}_0)$ -literals) or by backtracking (make case-split on disjunctions of  $\Sigma_0(\underline{x}_0)$ -atoms that are not entailed by both current purified constraints).

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We still have to identify sufficient conditions for completeness. To this aim it is sufficient to analyze carefully *from a model-theoretic point of view* the stable infiniteness requirement and the completeness proof in the disjoint case.

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$T_i$  to be stably infinite means that every model of  $T_i$  embeds into a model of  $T_i \cup T_0^*$ , where  $T_0^*$  is the model completion the pure theory of equality  $T_0$ .

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If we adapt this hypothesis to the non-disjoint case (see below for a precise formulation), the completeness proof still works: Robinson Joint Consistency Lemma becomes the main ingredient of it.

# §1. Nelson-Oppen Schema Revisited

**Definition.** *Let  $T_0 \subseteq T$  be theories in signatures  $\Sigma_0 \subseteq \Sigma$ ; suppose also that  $T_0$  is universal and has a model completion  $T_0^*$ . We say that  $T$  is  $T_0$ -compatible iff every model of  $T$  embeds into a model of  $T \cup T_0^*$ .*

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We recall that  $T_0^*$  being a model completion of  $T_0 \subseteq T_0^*$  means that: (i) every model of  $T_0$  embeds into a model of  $T_0^*$ ; (ii) the union of  $T_0^*$  with the Robinson diagram of a model of  $T_0$  is a complete theory.

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Examples can be easily found in standard model theory textbooks.

# ***§1. Nelson-Oppen Schema Revisited***

We are now ready for a first formulation of the combination theorem in the non-disjoint case:



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**Theorem 4.** [Ghilardi 04] *Suppose that there is an effectively locally finite and universal  $\Sigma_0$ -subtheory  $T_0$  of  $T_1$  and  $T_2$  which also admits a model completion. If  $T_1, T_2$  are both  $T_0$ -compatible, then Nelson-Oppen procedure transfers decidability of constraint satisfiability problem from  $T_1$  and  $T_2$  to  $T_1 \cup T_2$ .*

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As a Corollary of the above Theorem, one can easily deduce the *decidability transfer result for global consequence relation to fusions of modal logics* [Wolter 1998].

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As a Corollary of the above Theorem, one can easily deduce the *decidability transfer result for global consequence relation to fusions of modal logics* [Wolter 1998].

This is because: (i) deciding global consequence relation in a modal logic means deciding constraint satisfiability in the corresponding variety of Boolean algebras with operators; (ii) fusion of modal logics corresponds to union of the equational theories axiomatizing such varieties; (iii) any equational theory axiomatizing a variety of Boolean algebras with operators is *BA*-compatible (here *BA* is the theory of Boolean algebras).

## ***§2. Termination by Noetherianity.***

The local finiteness requirement ensures termination of the Nelson-Oppen algorithm. If we implement Propagation by backtracking, we can get termination by a requirement that is weaker than local finiteness:

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**Definition.** *A  $\Sigma_0$ -theory  $T_0$  is Noetherian if and only if for every finite set of variables  $\underline{x}_0$ , every infinite ascending chain*

$$\Theta_1 \subseteq \Theta_2 \subseteq \dots \subseteq \Theta_n \subseteq \dots$$

*of sets of  $\Sigma_0(\underline{x}_0)$ -atoms is eventually constant modulo  $T_0$  (i.e. there is an  $n$  such that  $T_0 \models \bigwedge \Theta_n \rightarrow A$ , for every natural number  $m$  and atom  $A \in \Theta_m$ ).*

## **§2. Termination by Noetherianity.**

The above definition is suggested by algebraic examples.

Typically, if  $T_0$  is any equational theory axiomatizing a variety in which finitely generated algebras are finitely presented, then  $T$  is noetherian.

Thus, the theory of  $K$ -algebras (for a field  $K$ ), of  $R$ -modules (for a noetherian ring  $R$ ), of abelian groups and semigroups, etc. are noetherian (for applications to verification, this means in particular that linear - integer or real - arithmetic is noetherian, provided ordering is dropped in the signature).

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An argument based on König Lemma shows that Propagation (implemented through backtracking) must eventually halt if  $T_0$  is noetherian.

## **§2. Termination by Noetherianity.**

However, *it is not true* that Noetherianity of  $T_0$  and  $T_0$ -compatibility of both  $T_1, T_2$  are sufficient for a decidability transfer result (there are counterexamples: the trouble is that one may not be able to realize that Propagation is over).



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**Definition.** Let  $T_0 \subseteq T$  be theories in signatures  $\Sigma_0 \subseteq \Sigma$ ; given a  $\Sigma$ -constraint  $\Gamma$  and a finite set of free variables  $\underline{x}_0$ , a  $T_0$ -basis for  $\Gamma$  w.r.t.  $\underline{x}_0$  is a finite set  $\Delta$  of positive  $\Sigma_0(\underline{x}_0)$ -clauses such that

- $T \models \bigwedge \Gamma \rightarrow C$ , for all  $C \in \Delta$  and
- if  $T \models \bigwedge \Gamma \rightarrow C$  then  $T_0 \models \bigwedge \Delta \rightarrow C$ , for every positive  $\Sigma_0(\underline{x}_0)$ -clause  $C$ .

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If  $T_0$  is noetherian, one can prove that  $T_0$ -bases exist for every  $\Gamma$ , but we must ensure that they are computable:

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When ‘good’ decision procedures (e.g. decision procedures based on some rewriting/completion mechanism) are available for constraint satisfiability in  $T$ , then one may extract  $T_0$ -bases out of them (such an extraction might however require little extra work).

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- one can manufacture Fourier-Motzkin QE in order to show that linear rational arithmetic (with  $<$ ) is effectively noetherian over linear rational arithmetic (without  $<$ );
- the theory having infinitely many 0-ary predicates saying that the domain has less than  $n$  elements is noetherian and superposition calculus (in suitable cases of termination) give examples of effectively noetherian extensions of this theory;



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In all the above cases, the larger theory  $T$  is not only effectively noetherian over the smaller theory  $T_0$ , but it is also  $T_0$ -compatible, hence all such  $T$  satisfy the combinability requirements over  $T_0$  stated in the following:

## §2. Termination by Noetherianity.

**Theorem 5.** [Ghilardi, Nicolini and Zucchelli, FroCoS 05] *Suppose that there is a noetherian and universal  $\Sigma_0$ -subtheory  $T_0$  of  $T_1$  and  $T_2$  which also admits a model completion. If  $T_1, T_2$  are both  $T_0$ -compatible and effectively noetherian extensions of  $T_0$ , then decidability of constraint satisfiability problem transfers from  $T_1$  and  $T_2$  to  $T_1 \cup T_2$ .*

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For earlier results on non-disjoint combined CS problems, see [Tinelli, Ringeissen 2003]. Further non-disjoint combination results arise also in connection to *locality of theory extensions* - a subject deserving a little course by itself (see [Sofronie-Stokkermans 06] for a survey).

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The combined CS problems we analyzed so far can be generalized in various ways.

### §3. *Variations and Extensions.*

One can consider combination constructions acting on theories different from set-theoretic union: an example of this is the *theory connection* schema analyzed in [Baader, Ghilardi 2007]: this is a special case of a cocomma category construction from categorical logic and is analogous to the  $E$ -connections known from modal/description logics.

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One can go beyond the limits of first-order logics: in [Ghilardi, Nicolini, Zucchelli 05] *higher order logic is used to specify a combination schema* that, once applied to decidable fragments of first-order languages, may produce interesting new decidable fragments (for instance, one can analyze in this way monodic fragments of first order temporal logics and recover decidability results from recent literature as instances of generalized Nelson-Oppen combination algorithms).



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## *Part III*

# Combined Word Problems

## ***§1. The Problem.***

In the remaining part of today slides we assume our signatures to be purely functional and our theories to be first-order **equational** theories.

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The **combined word problem** for  $T_1, T_2$  is the following: suppose that  $T_1, T_2$  have decidable word problem, *what can we say about decidability of the word problem in  $T_1 \cup T_2$ ?*

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The **combined word problem** for  $T_1, T_2$  is the following: suppose that  $T_1, T_2$  have decidable word problem, *what can we say about decidability of the word problem in  $T_1 \cup T_2$ ?*

**Warning.** One cannot directly use Nelson-Oppen schema for attacking this: purification and propagation steps produce constraints that cannot be handled by the input algorithms!

---

<sup>a</sup>Notice that when people in computer algebra speak of ‘word problems’, they deal with identity of elements in finitely presented algebras: these problems usually correspond to CS problems in our sense.

# ***§1. The Problem.***

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**Theorem 6.** [Pigozzi, 1974] *If  $T_1$  and  $T_2$  have disjoint signatures, then decidability of word problem transfers from  $T_1$  and  $T_2$  to  $T_1 \cup T_2$ .*



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The following result was known since long time:

**Theorem 6.** [Pigozzi, 1974] *If  $T_1$  and  $T_2$  have disjoint signatures, then decidability of word problem transfers from  $T_1$  and  $T_2$  to  $T_1 \cup T_2$ .*

An early attempt to drop the disjoint signature requirement was done by [Domenjoud, Klay, Ringeissen, 1994]: the results from this paper were strengthened by the next theorem (proved independently - and with different techniques - in [Baader, Tinelli 2002] and [Fiorentini, Ghilardi 2003]).

## ***§2. A First Combination Result.***

Let  $T_0, T$  be equational theories in respective signatures  $\Sigma_0, \Sigma$  such that  $\Sigma_0 \subseteq \Sigma$  and  $T_0 \subseteq T$ .

## §2. A First Combination Result.

Let  $T_0, T$  be equational theories in respective signatures  $\Sigma_0, \Sigma$  such that  $\Sigma_0 \subseteq \Sigma$  and  $T_0 \subseteq T$ .

**Definition** We say that  $T$  is constructible over  $T_0$  iff  $T$  is a conservative extension of  $T_0$  and there is a set  $G$  of  $\Sigma$ -terms such that:

- (i)  $G$  contains the variables and is closed under renamings;
- (ii) every  $\Sigma$ -terms factors “uniquely” (modulo  $T$ - and  $T_0$ -equivalence) as

$$u(g_1, \dots, g_k)$$

where  $u$  is a  $\Sigma_0$ -term and  $\{g_1, \dots, g_k\} \subseteq G$ .

## §2. *A First Combination Result.*

$T$  is said to be *effectively* constructible over  $T_0$  iff the terms  $u, g_1, \dots, g_k$  in (ii) above can be effectively computed.

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One can get typical examples of this definition in abstract algebra (but not only there): rings are effectively constructible over abelian groups, differential rings are constructible over rings, etc.

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One can get typical examples of this definition in abstract algebra (but not only there): rings are effectively constructible over abelian groups, differential rings are constructible over rings, etc.

**Theorem 7.** [cit., 2002-03] *If  $T_1$  and  $T_2$  are both effectively constructible over a theory  $T_0$  in the common subsignature, then decidability of word problem transfers from  $T_1$  and  $T_2$  to  $T_1 \cup T_2$ .*

### ***§3. A Second Combination Result.***

The assumptions of Theorem 7 are symmetric, but of syntactic nature. We give here a second recent result (Theorem 8 below) having symmetric model-theoretic assumptions.

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We try to adapt Nelson-Oppen schema; besides  $T_0$ -compatibility, we need an extra assumption on the shared subtheory, whose role is that of allowing the conversion of positive constraints into rewrite rules.



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We try to adapt Nelson-Oppen schema; besides  $T_0$ -compatibility, we need an extra assumption on the shared subtheory, whose role is that of allowing the conversion of positive constraints into rewrite rules.

Such an extra assumption is inspired by Gaussian elimination from linear algebra. Thus, among such ‘Gaussian’, theories we have the theory of vector spaces on a given field, but also some others, like - surprisingly! - Boolean algebras.

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**Definition** *An equational theory  $T_0$  is Gaussian iff for every e-formula  $\varphi(\underline{x}, y)$  it is possible to compute an e-formula  $C(\underline{x})$  and a term  $s(\underline{x}, \underline{z})$  with fresh variables  $\underline{z}$  such that*

$$T_0 \models \varphi(\underline{x}, y) \leftrightarrow (C(\underline{x}) \wedge \exists \underline{z}. (y = s(\underline{x}, \underline{z})))$$

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We call the formula  $C$  the *solvability condition* of  $\varphi$  w.r.t.  $y$ , and the term  $s$  a *(local) solver* of  $\varphi$  w.r.t.  $y$  in  $T_0$ .

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We are ready for our second combination result concerning word problems:

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**Theorem 8.** [Baader, Ghilardi, Tinelli 2006] *Let  $T_0, T_1, T_2$  be three equational theories of respective signatures  $\Sigma_0, \Sigma_1, \Sigma_2$  such that*

- $\Sigma_0 = \Sigma_1 \cap \Sigma_2$ ;
- $T_0$  is Gaussian and effectively locally finite;
- for  $i = 1, 2$ , the theory  $T_i$  is  $T_0$ -compatible and a conservative extension of  $T_0$ .

*If the word problem in  $T_1$  and in  $T_2$  is decidable, then the word problem in  $T_1 \cup T_2$  is also decidable.*

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Since the theory of Boolean algebras can be shown to be Gaussian, the following result follows, solving a long-standing open problem in modal logic:

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**Corollary 9.** *If two classical multimodal logics are decidable, so is their fusion.*



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Since the theory of Boolean algebras can be shown to be Gaussian, the following result follows, solving a long-standing open problem in modal logic:

**Corollary 9.** *If two classical multimodal logics are decidable, so is their fusion.*

Notice that we used Segerberg's definition, according to which a modal logic is classical iff it has an algebraic semantics (i.e. classical modal logics are in bijective correspondence to equational classes of Boolean-algebras-endowed-with-further-operations). In particular, a classical modal logic needs not be normal.

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Let's try to give some informal explanation about the use of Gaussianity in the combined algorithm of Theorem 8.

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If we negate the input equation to be tested for validity and if we purify it, we get a constraint (to be tested for un-satisfiability) of the kind

$$u_1 \neq u_2 \wedge a_1 = t_1 \wedge \cdots \wedge a_n = t_n \quad (2)$$

(we use free constants like  $a_1, a_2, \dots$  instead of variables), where each of the  $t_j$  is a pure term and  $u_1, u_2$  are  $\Sigma_0$ -terms.

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(we use free constants like  $a_1, a_2, \dots$  instead of variables), where each of the  $t_j$  is a pure term and  $u_1, u_2$  are  $\Sigma_0$ -terms.

The equational part of (2) can be shown to consist of two pure and convergent rewrite systems  $R_1 \cup R_2$  (where equations  $a_j = t_j$  are oriented as ground rewrite rules  $a_j \rightarrow t_j$ ).

### **§3. A Second Combination Result.**

The trick is that of updating  $R_1, R_2$  in such a way to keep them convergent and to force them to entail the same  $\Sigma_0$ -equations (if this task is accomplished, then it is sufficient to  $R_i$ -normalize and check for  $T_i$ -validity the equation  $u_1 = u_2$ , for  $i = 1$  or  $i = 2$  indifferently).

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In fact, this updating is done by induction on any strict total order on the constants ‘compatible’ with the rewriting system  $R_1 \cup R_2$ .

When examining  $a_j \rightarrow t_j$  (suppose  $t_j$  is a  $\Sigma_1$ -term) one collects all representative  $\Sigma_0(a_1, \dots, a_j)$ -equations that normalize to a  $T_1$ -valid equation using  $R_1$ . The conjunctions of these equations is then solved with respect to  $a_j$  and the skolemized solver  $s$  gives a new rewrite rule  $a_j \rightarrow s$  to be added to  $R_2$  in order to update it.

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Theorem 6 and Theorem 7 both imply the disjoint signature case covered by Theorem 5, but none of them is stronger than the other.



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Whereas it is well-known that combined word problems may be undecidable in the non-disjoint signature case, we tend to believe that substantial work can still be done in this area.

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