## Genetic algorithms

Exercise Consider a population of 4 individuals $X=\left\{x_{1}, x_{2}, x_{3}, x_{4}\right\}$, characterised by the following fitness values

$$
\phi(x)=\left[\begin{array}{lll}
10 & 18 & 9 \\
13
\end{array}\right]
$$

and assume that the pseudorandom number generator provides the following sequence: $r=(0.47,0.33,0.80,0.71,0.12,0.93)$. Generate a new population of individuals with
a) the roulette wheel selection mechanism;
b) the rank selection mechanism;
c) the tournament selection mechanism on the following subsets of individuals: $(1,3),(1,4),(2,3)$ and $(2,4)$;

## Solution

Part a) Roulette wheel selection assigns to each individual a probability proportional to the value of its fitness. Since the sum of all fitnesses is $10+18+9+13=50$, the corresponding probabilities and their partial sums are reported in the following table.

| Individual $i$ | $x_{1}$ | $x_{2}$ | $x_{3}$ | $x_{4}$ |
| :---: | :---: | :---: | :---: | :---: |
| $\phi(\xi(x))$ | 10 | 18 | 9 | 13 |
| $\pi_{i}=\frac{\phi_{i}}{\sum_{j} \phi_{j}}$ | 0.20 | 0.36 | 0.18 | 0.26 |
| $\sum_{j=1}^{i} \pi_{j}$ | 0.20 | 0.56 | 0.74 | 1.00 |

The sequence of extractions is the following (the extracted elements are not removed, so that they can be extracted any number of times):

1. $r=0.47$ falls in the second interval, so that we select $x_{2}$;
2. $r=0.33$ falls in the second interval, so that we select $x_{2}$;
3. $r=0.80$ falls in the fourth interval, so that we select $x_{4}$;
4. $r=0.71$ falls in the third interval, so that we select $x_{3}$.

The final population is $X=\left\{x_{2}, x_{2}, x_{3}, x_{4}\right\}$.
Part b) Rank selection assigns to each individual a probability proportional to its index in a fitness nondecreasing order. Since $\phi\left(\xi\left(x_{3}\right)\right)<\phi\left(\xi\left(x_{1}\right)\right)<\phi\left(\xi\left(x_{2}\right)\right)<$ $\phi\left(\xi\left(x_{4}\right)\right)$, solution $x_{3}$ has index 1 , solution $x_{1}$ has index 2 and so on, producing the following table of indices, probabilities and partial sums of probabilities.

| Individual $i$ | $x_{1}$ | $x_{2}$ | $x_{3}$ | $x_{4}$ |
| :---: | :---: | :---: | :---: | :---: |
| Index $k$ | 2 | 4 | 1 | 3 |
| $\pi_{i}=\frac{2 k}{n(n+1)}$ | 0.20 | 0.40 | 0.10 | 0.30 |
| $\sum_{j=1}^{i} \pi_{j}$ | 0.20 | 0.60 | 0.70 | 1.00 |

The sequence of extractions is the following (the extracted elements are not removed, so that they can be extracted any number of times):

1. $r=0.47$ falls in the second interval, so that we select $x_{2}$;
2. $r=0.33$ falls in the second interval, so that we select $x_{2}$;
3. $r=0.80$ falls in the fourth interval, so that we select $x_{4}$;
4. $r=0.71$ falls in the fourth interval, so that we select $x_{4}$.

The final population is $X=\left\{x_{2}, x_{2}, x_{4}, x_{4}\right\}$.
Part c) Tournament selection extracts $n_{p}=4$ subsets of $\alpha=2$ individuals, given in the text of the exercise, and selects the individual with the largest fitness in each subset.

The sequence of selections is:

1. from $\left(x_{1}, x_{3}\right)$, select $x_{1}$;
2. from $\left(x_{1}, x_{4}\right)$, select $x_{4}$;
3. from $\left(x_{2}, x_{3}\right)$, select $x_{2}$;
4. from $\left(x_{2}, x_{4}\right)$, select $x_{2}$.

The final population is $X=\left\{x_{1}, x_{2}, x_{2}, x_{4}\right\}$.

